

# Iterator Interface Extended LSM-tree-based KVSSD for Range Queries

Youngjae Kim (PhD)

2023.10.18.

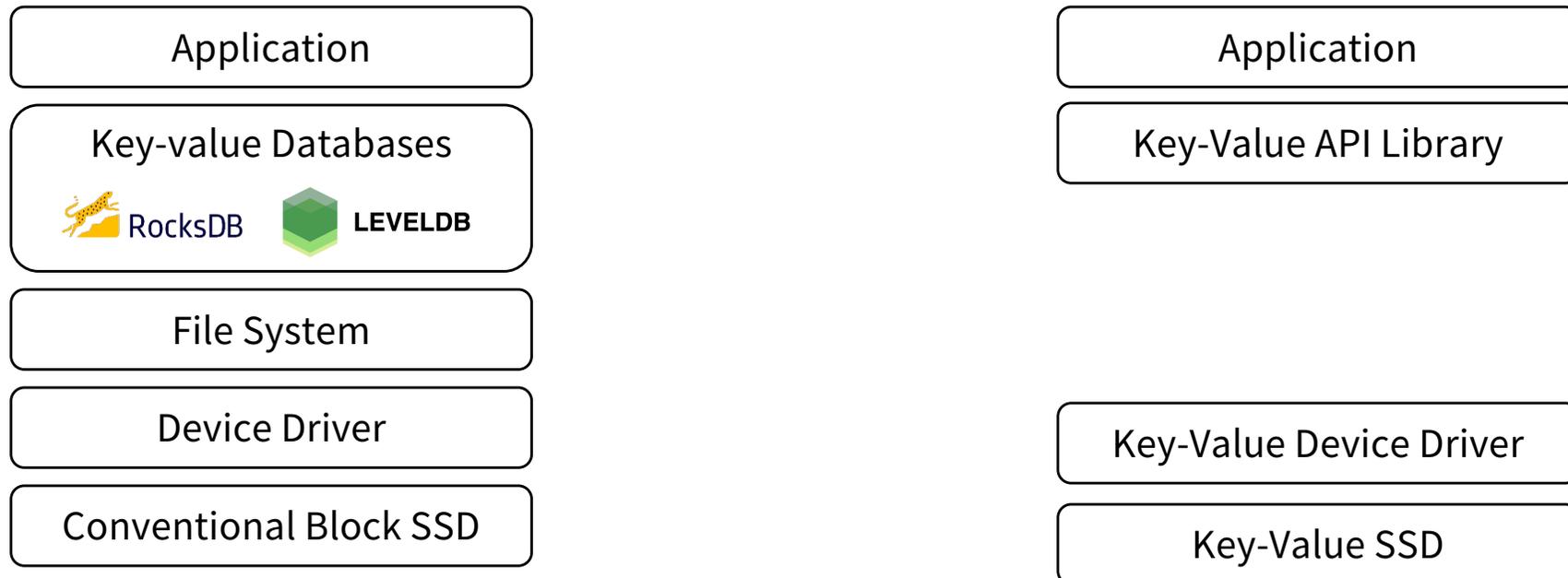
Seungjin Lee, Chang-Gyu Lee, Donghyun Min, Inhyuk Park, Woosuk Chung,  
Anand Sivasubramaniam, Youngjae Kim

16th ACM International Systems and Storage Conference (**SYSTOR**) (2023), Haifa, Israel, June 2023

NVRAMOS'23

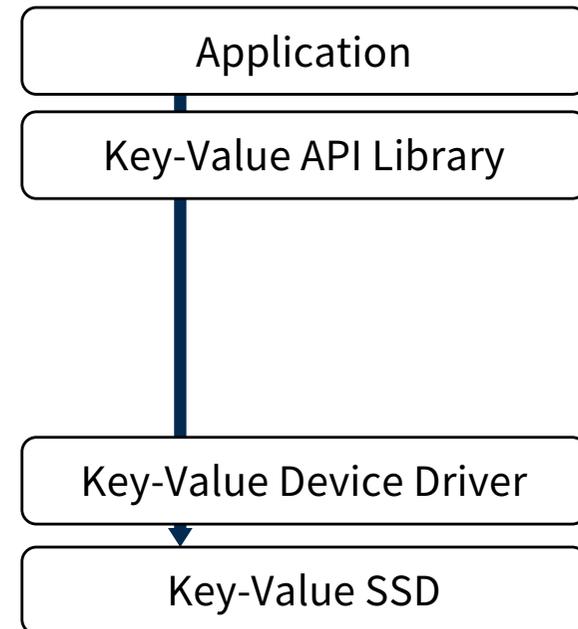
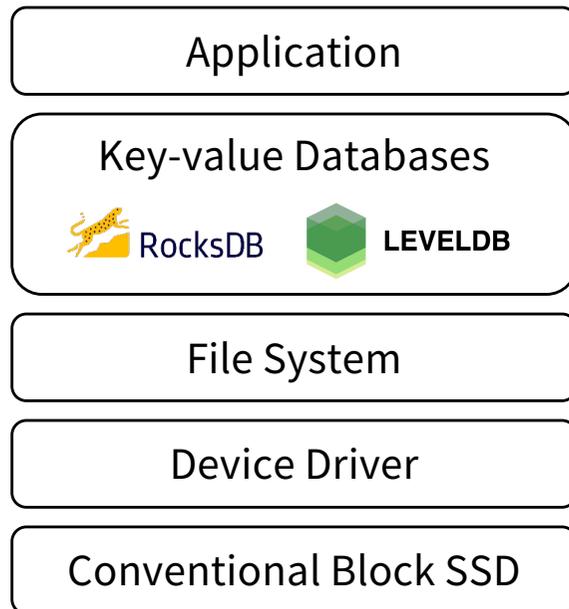
# Key-Value SSD

- **Key-Value SSD: Removing the host software I/O stack**



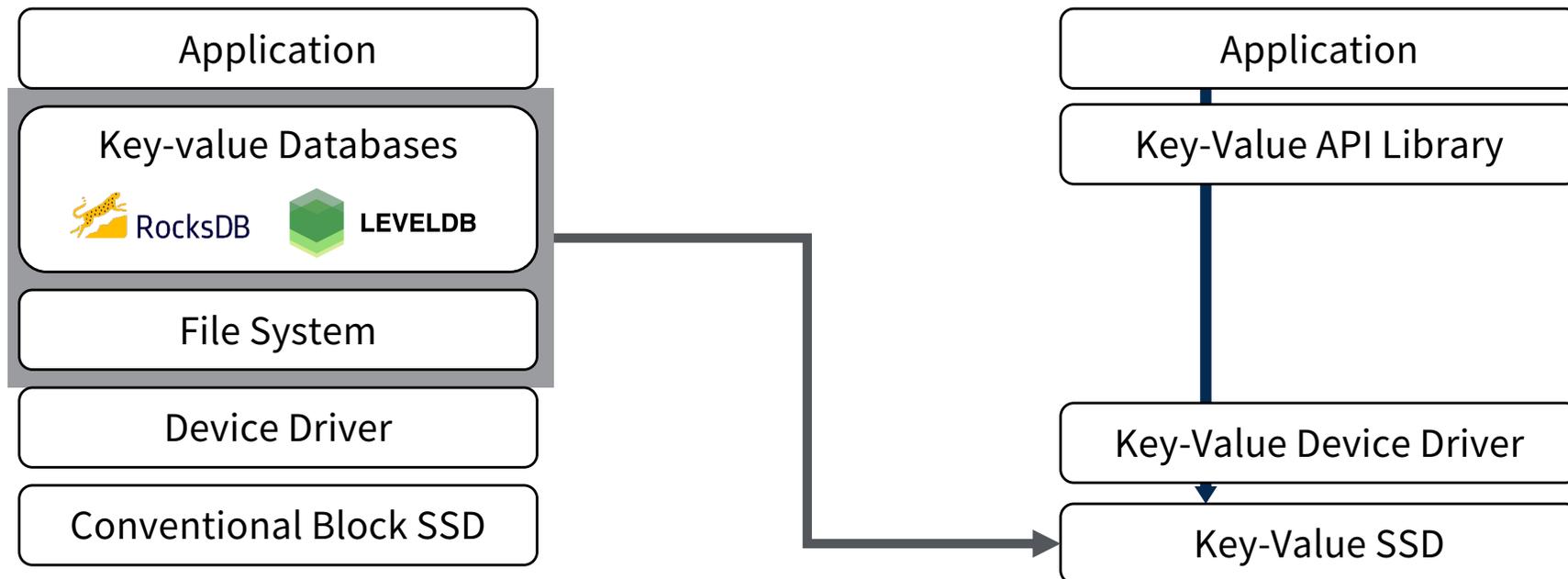
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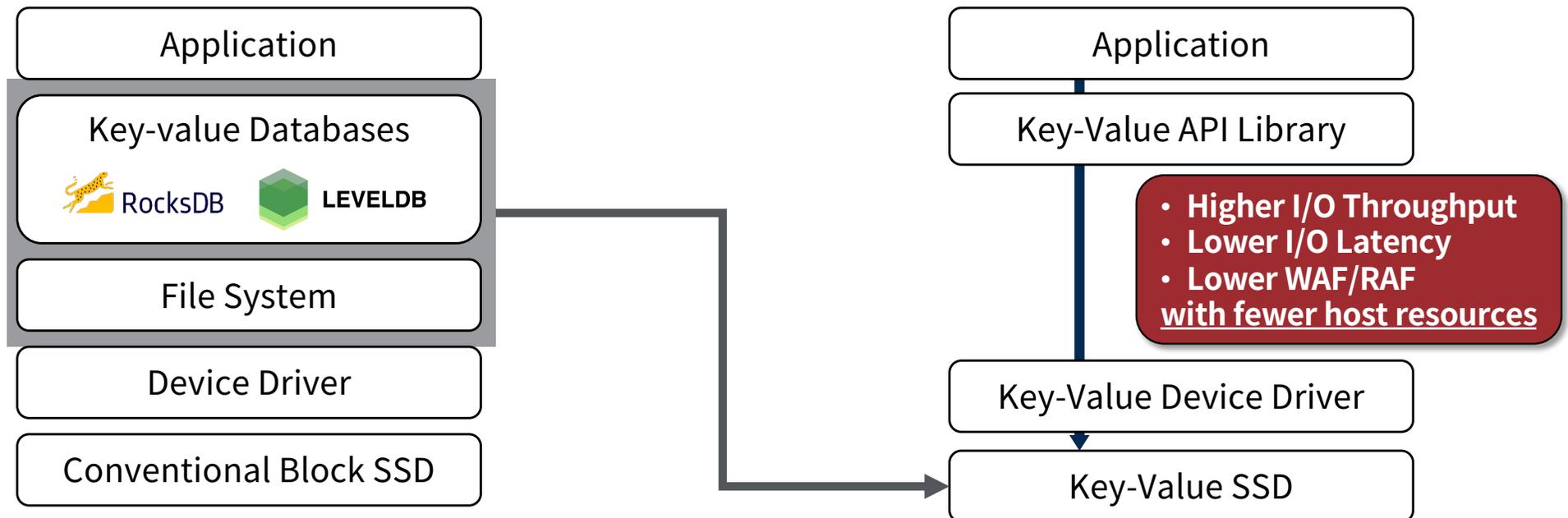
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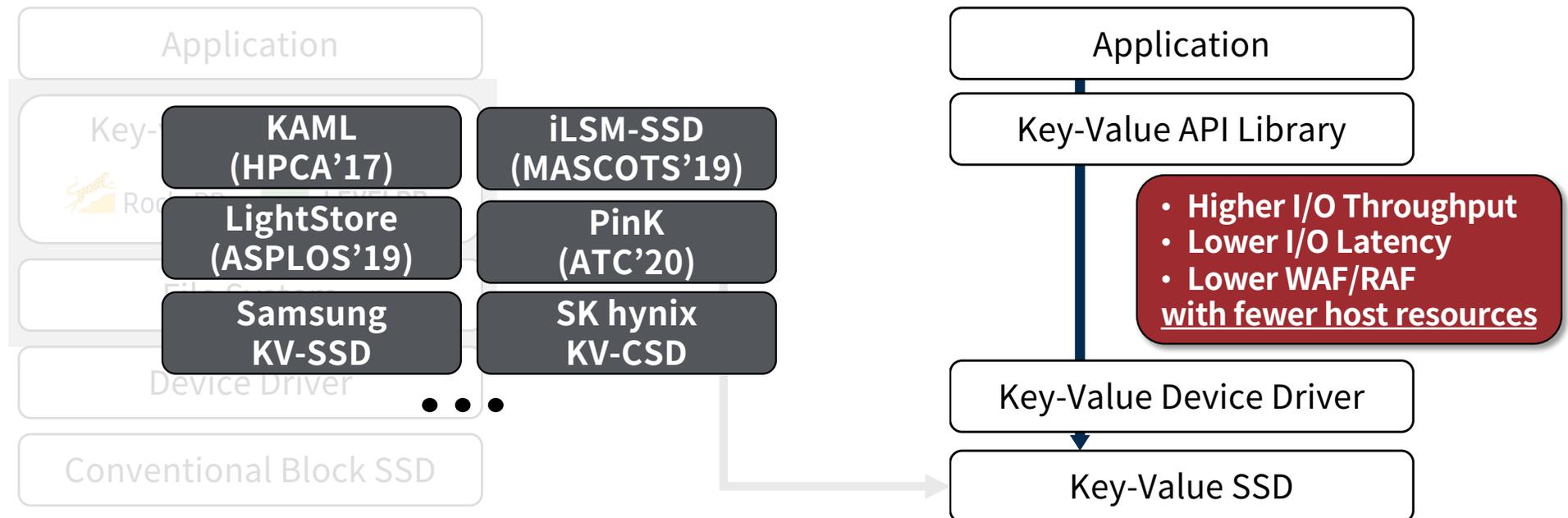
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# Range Query for KVSSD

[1] PinK: High-speed In-storage Key-value Store with Bounded Tails, USENIX ATC 2020

[2] Modernizing File System through In-Storage Indexing, USENIX OSDI 2021

# Range Query for KVSSD

- **Existing KVSSDs mostly focus on Point Query (Put/Get)**
  - Index Pinning<sup>[1]</sup>, Index Compression<sup>[2]</sup>
  - H/W Accelerator for Compaction<sup>[1]</sup>
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- **What about Range Query (Seek/Next)?**
  - Using a sorted data structure makes range query implementation simple.
  - However, previous studies have not addressed the design details of range queries.

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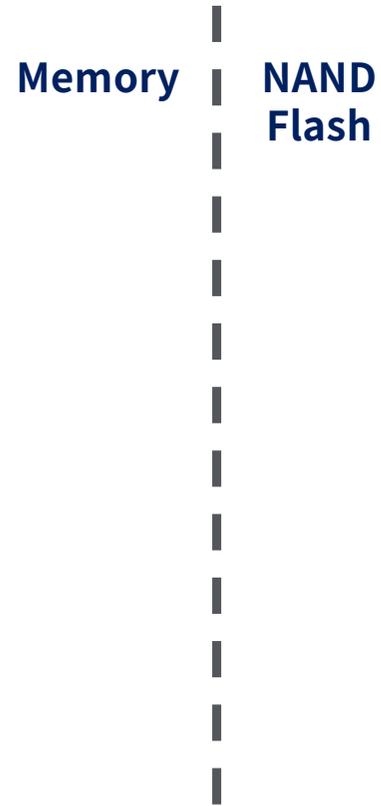
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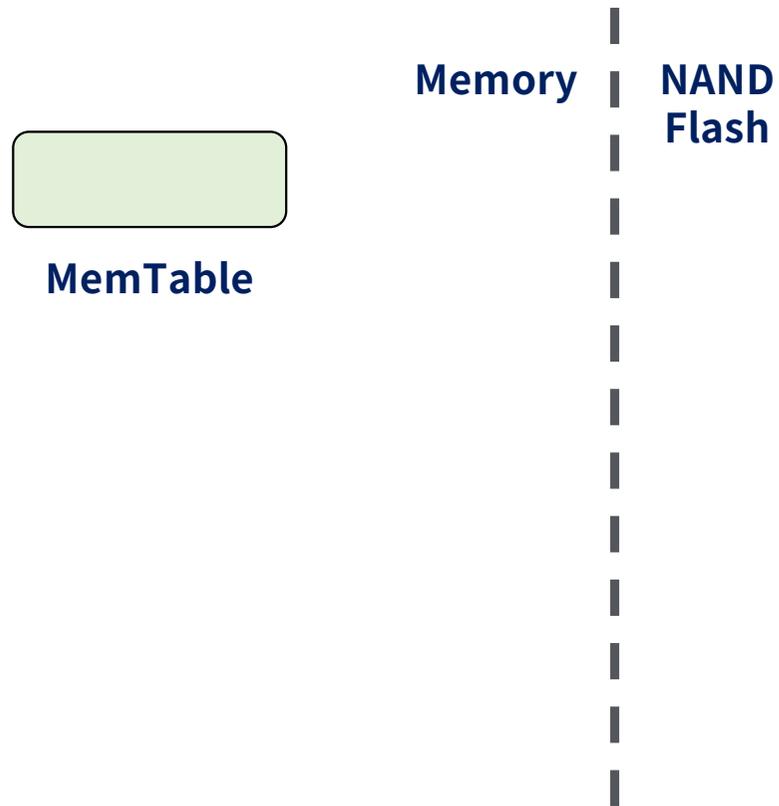
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- **LSM-tree-based Key-Value SSD**



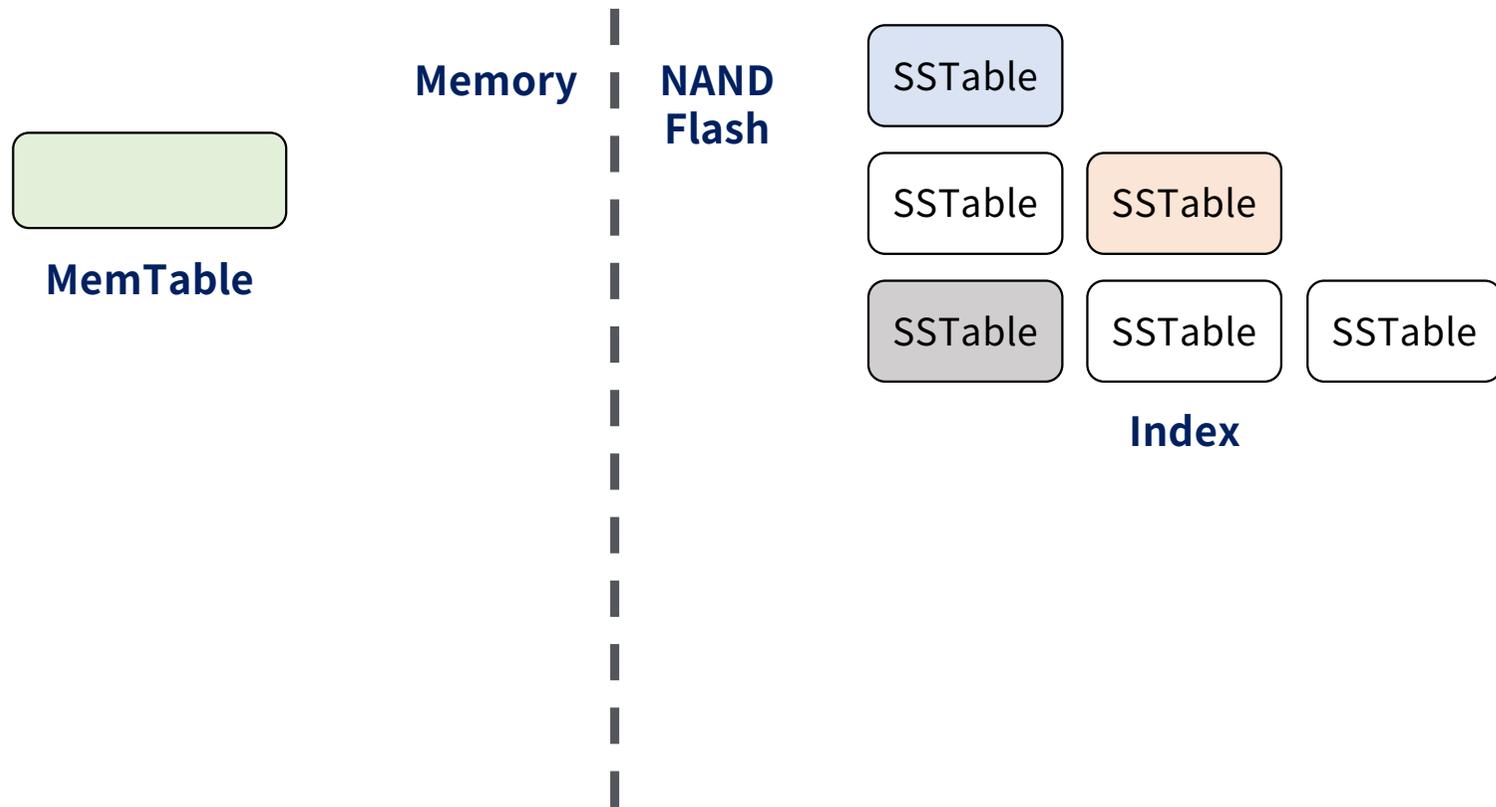
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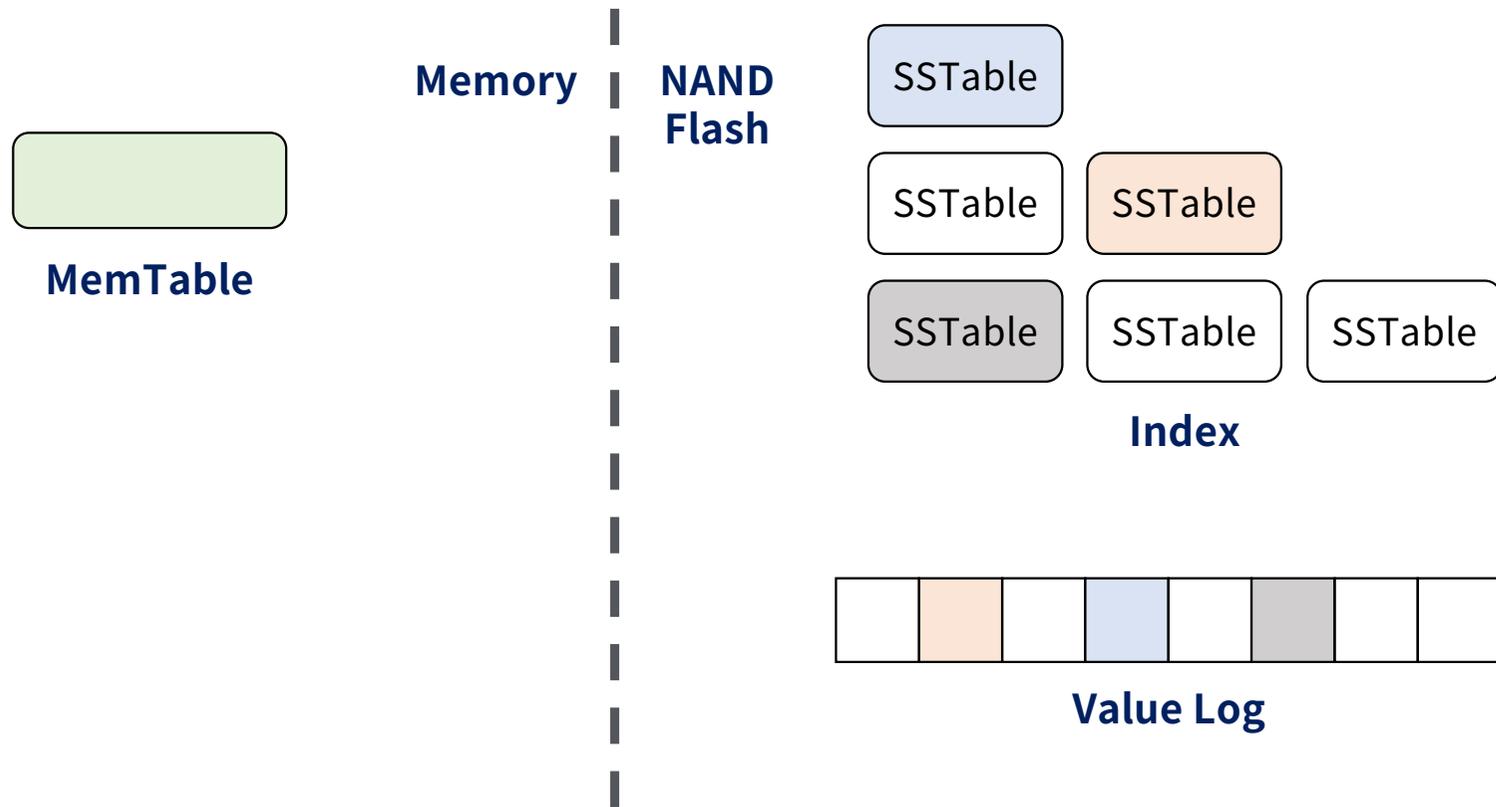
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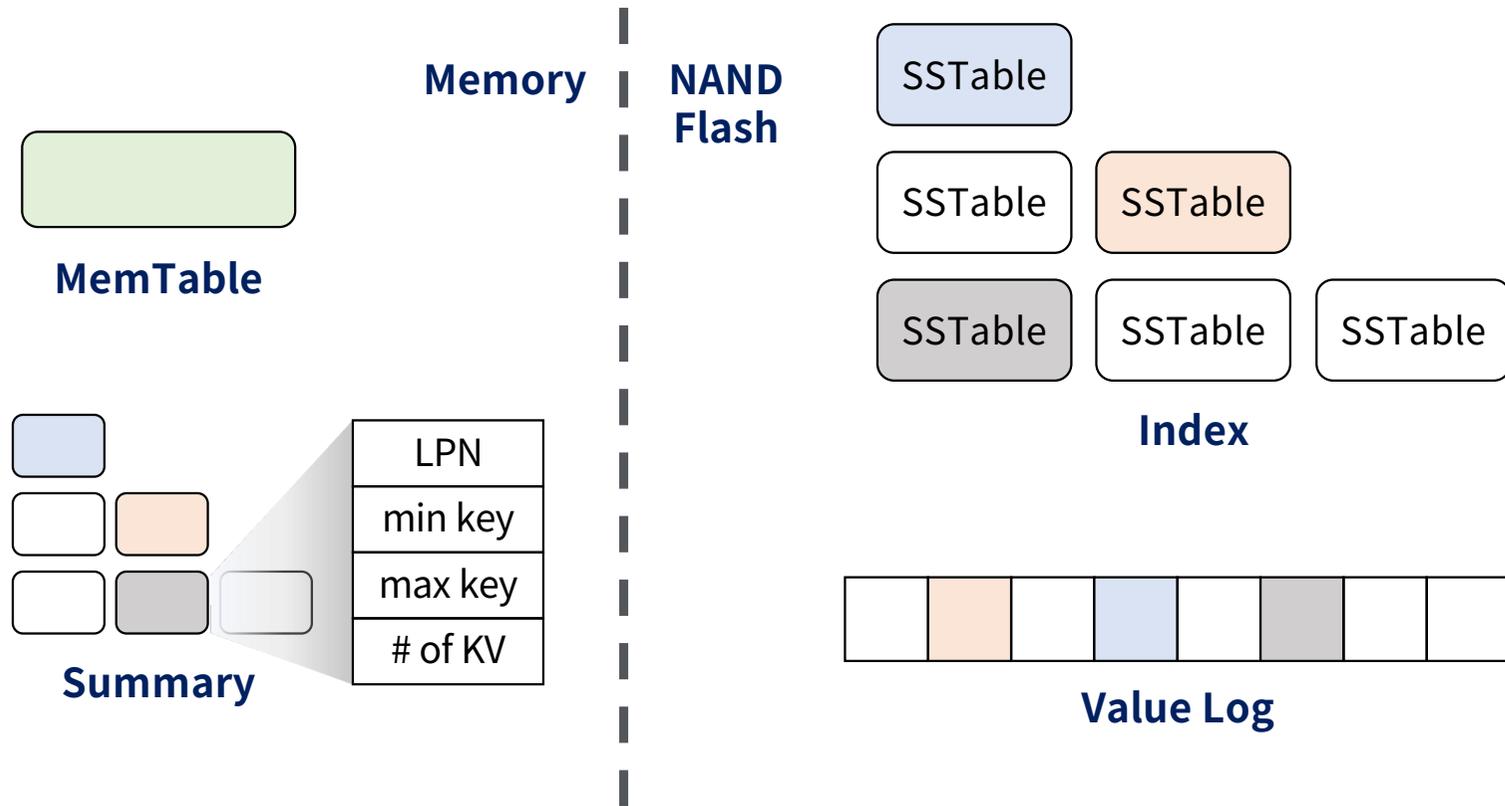
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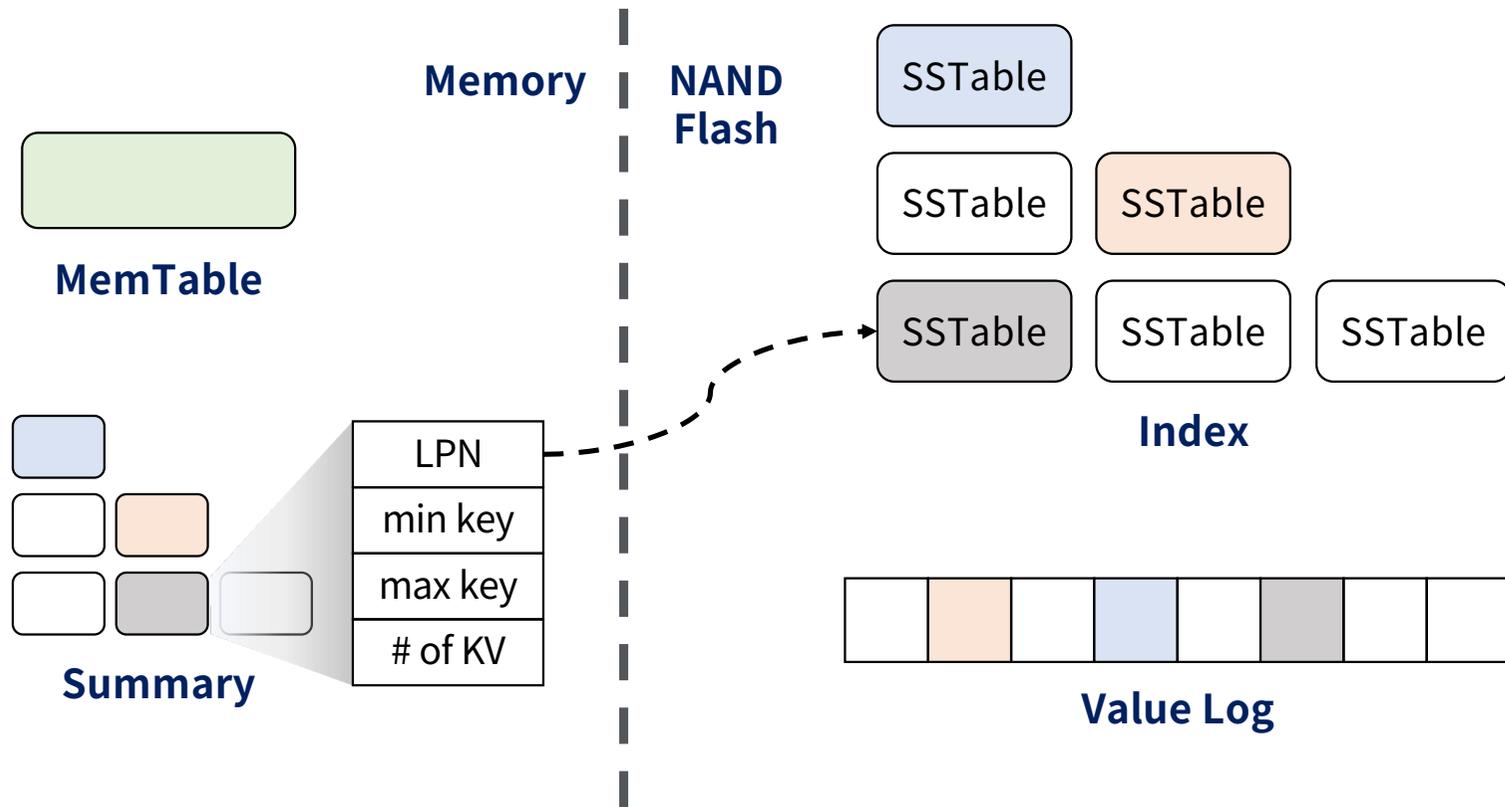
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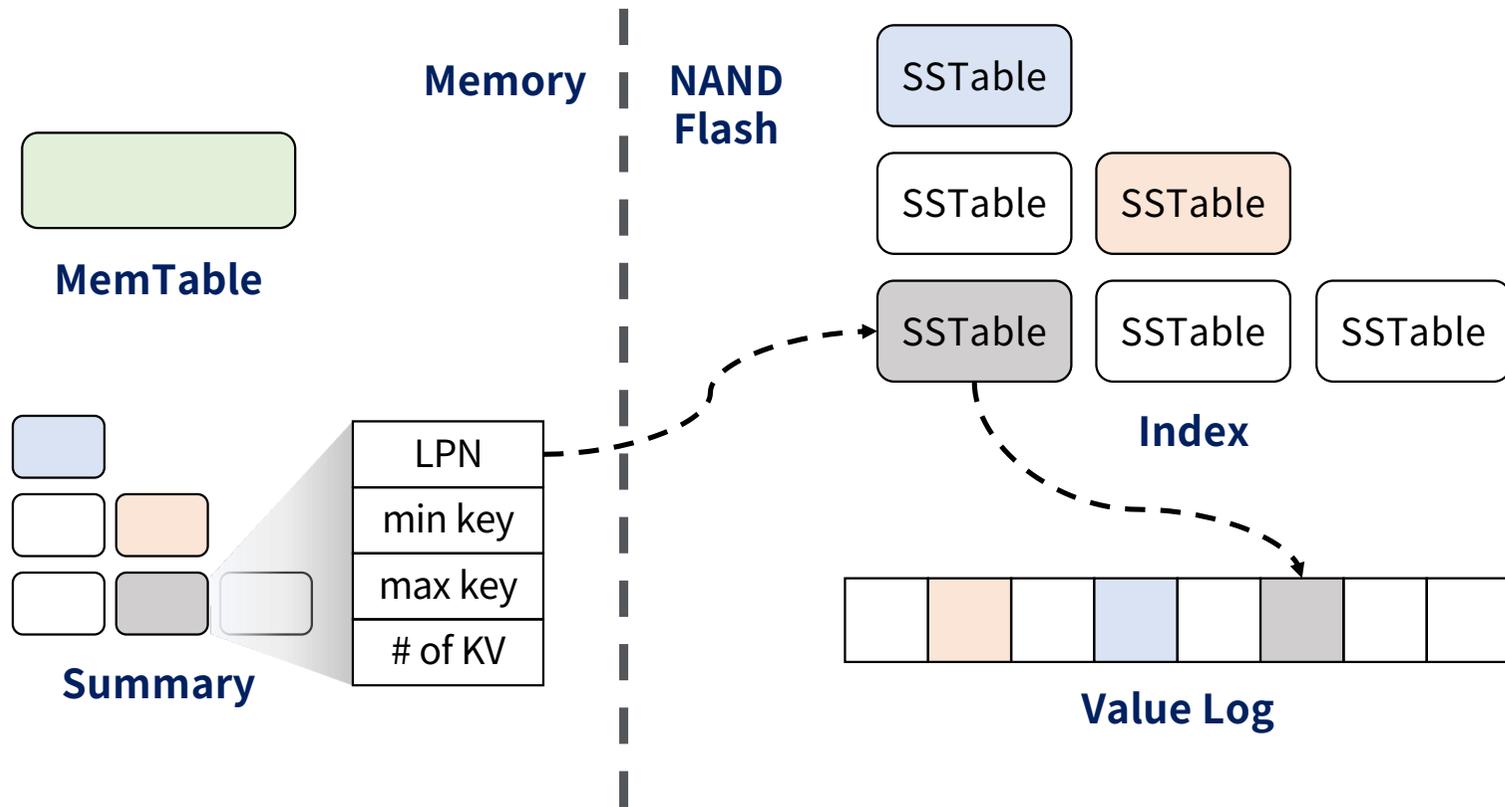
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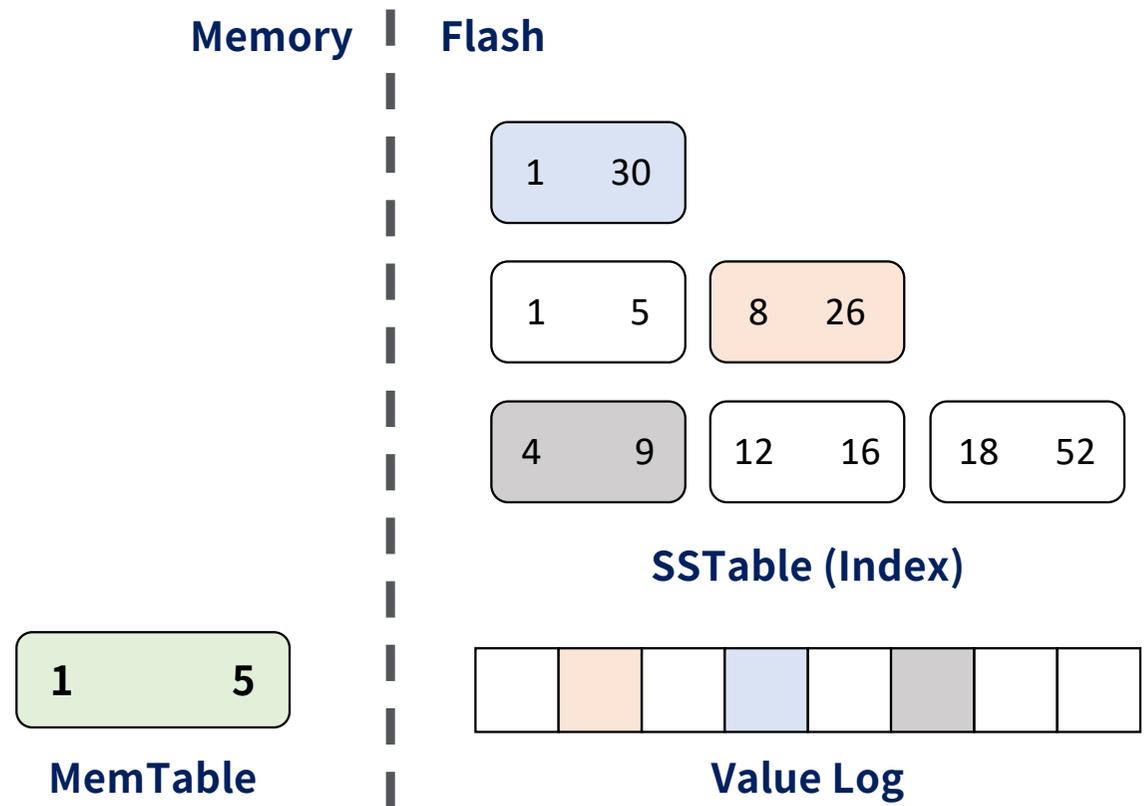


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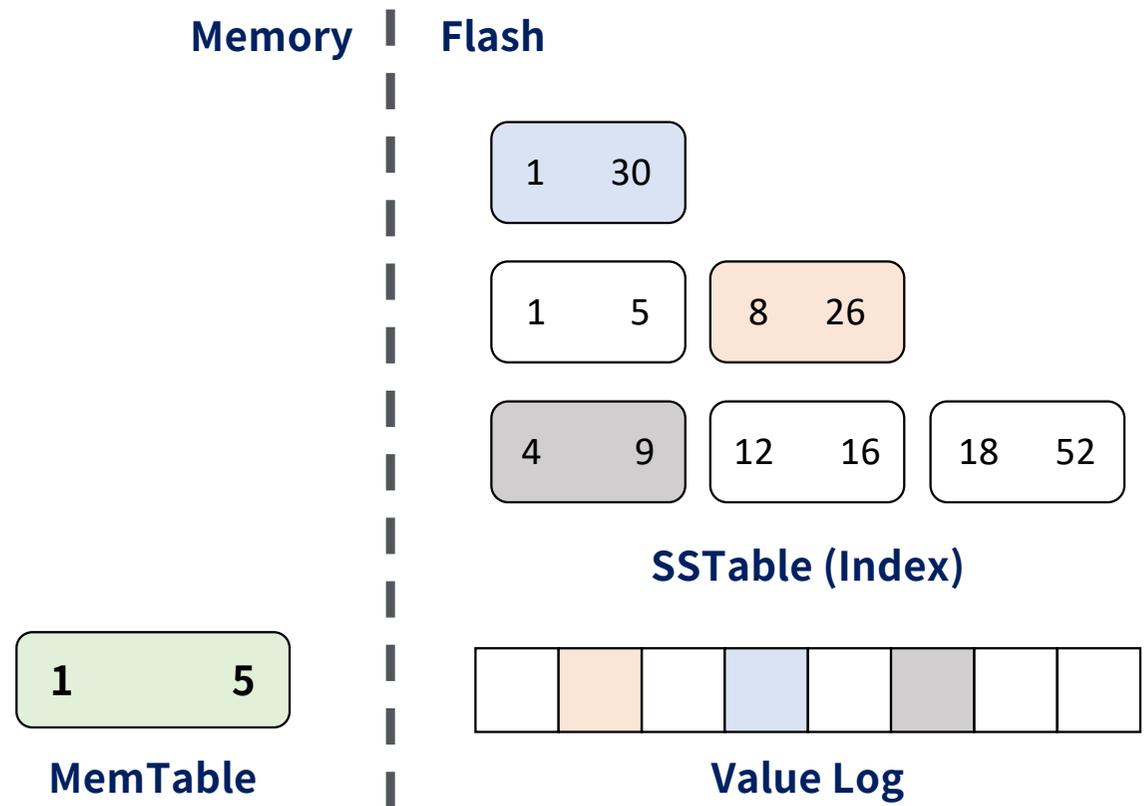
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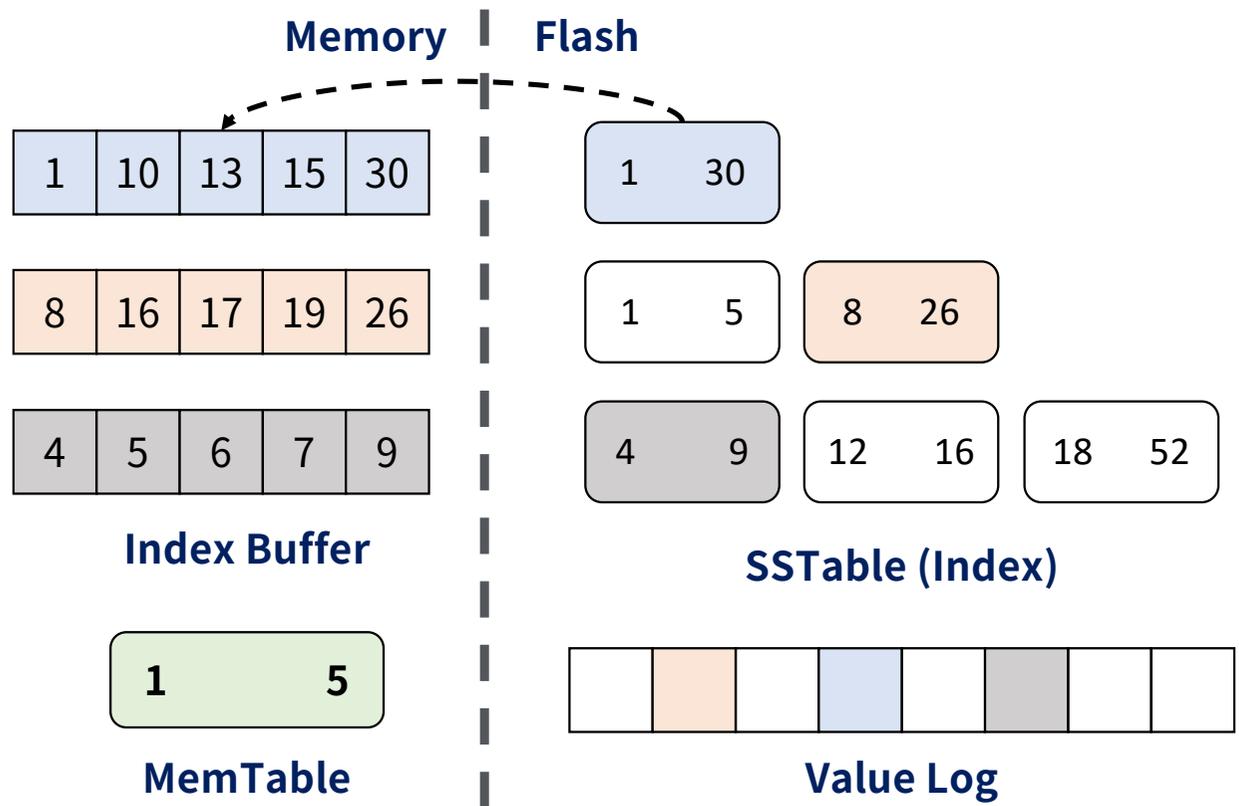
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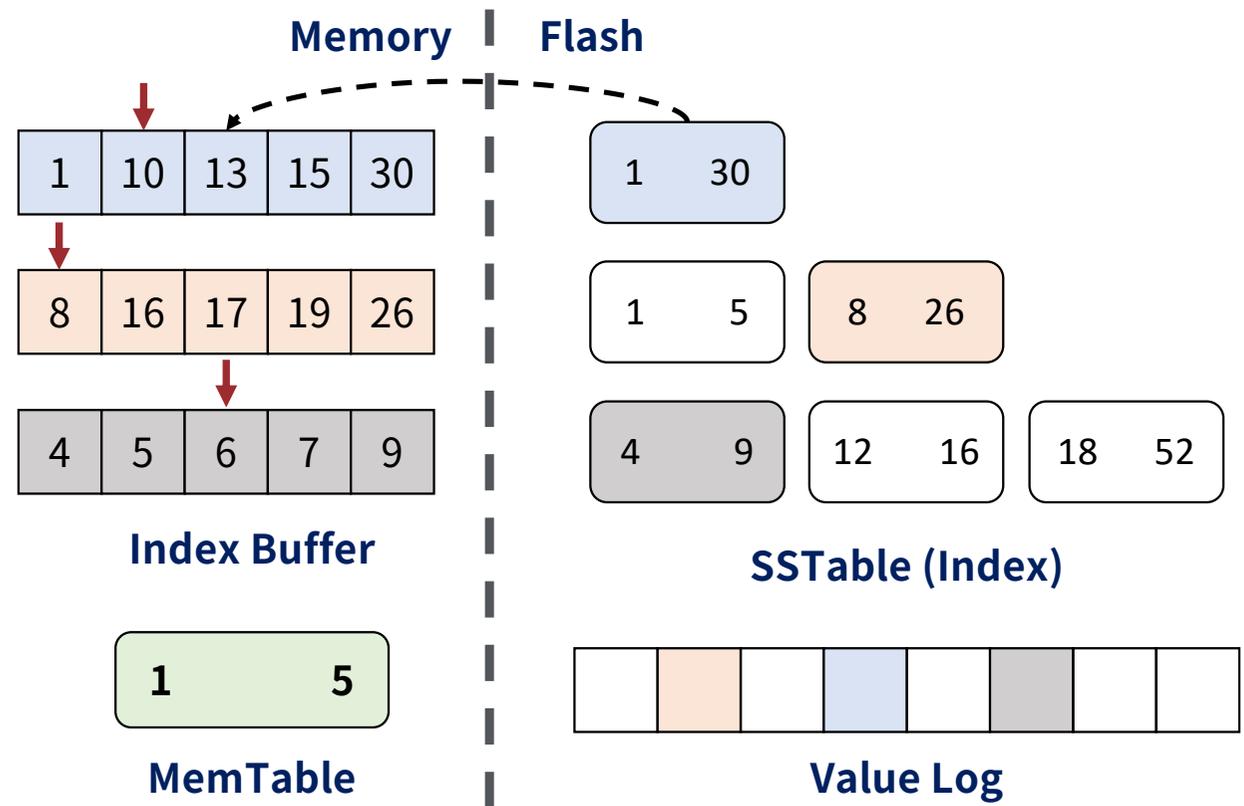
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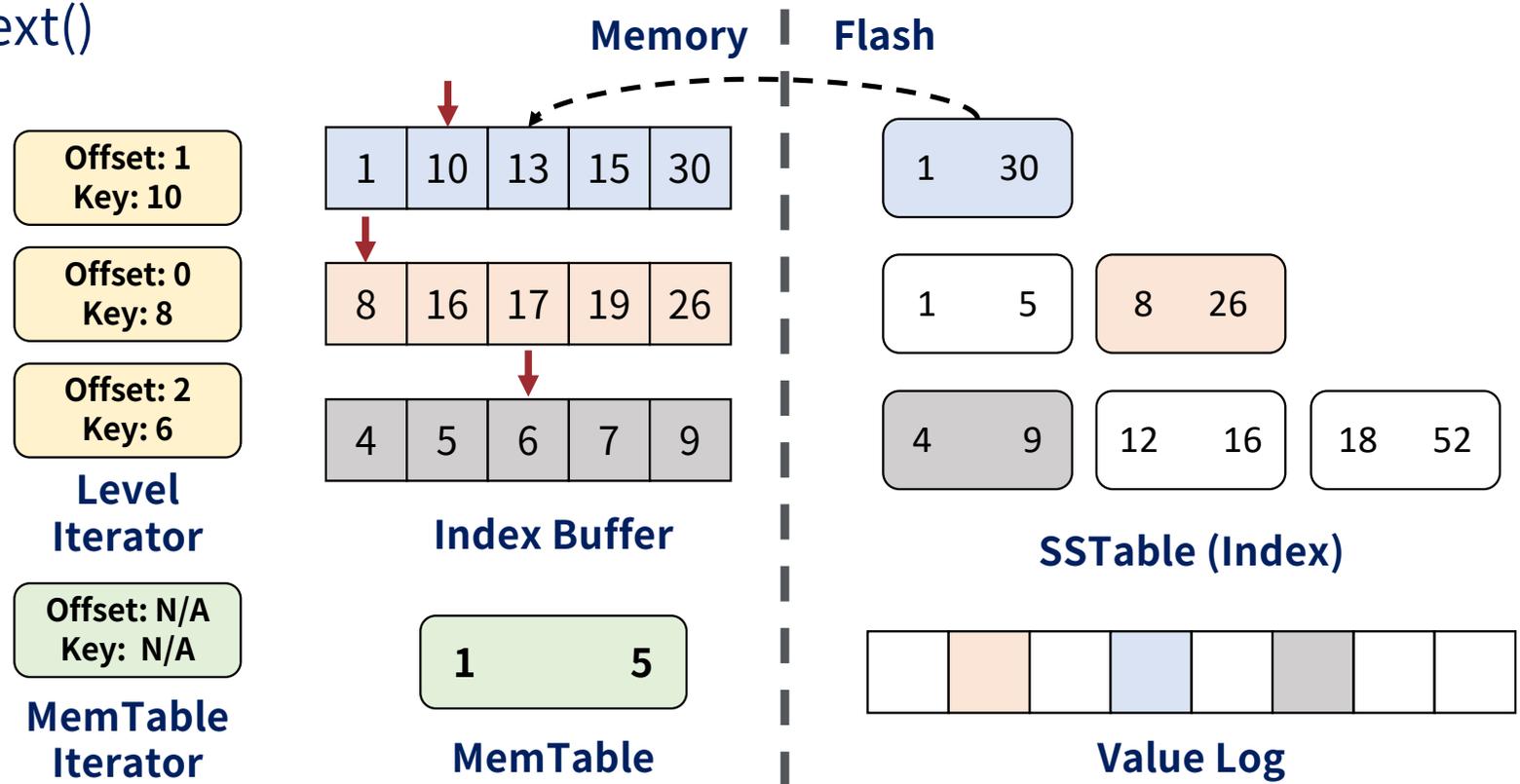
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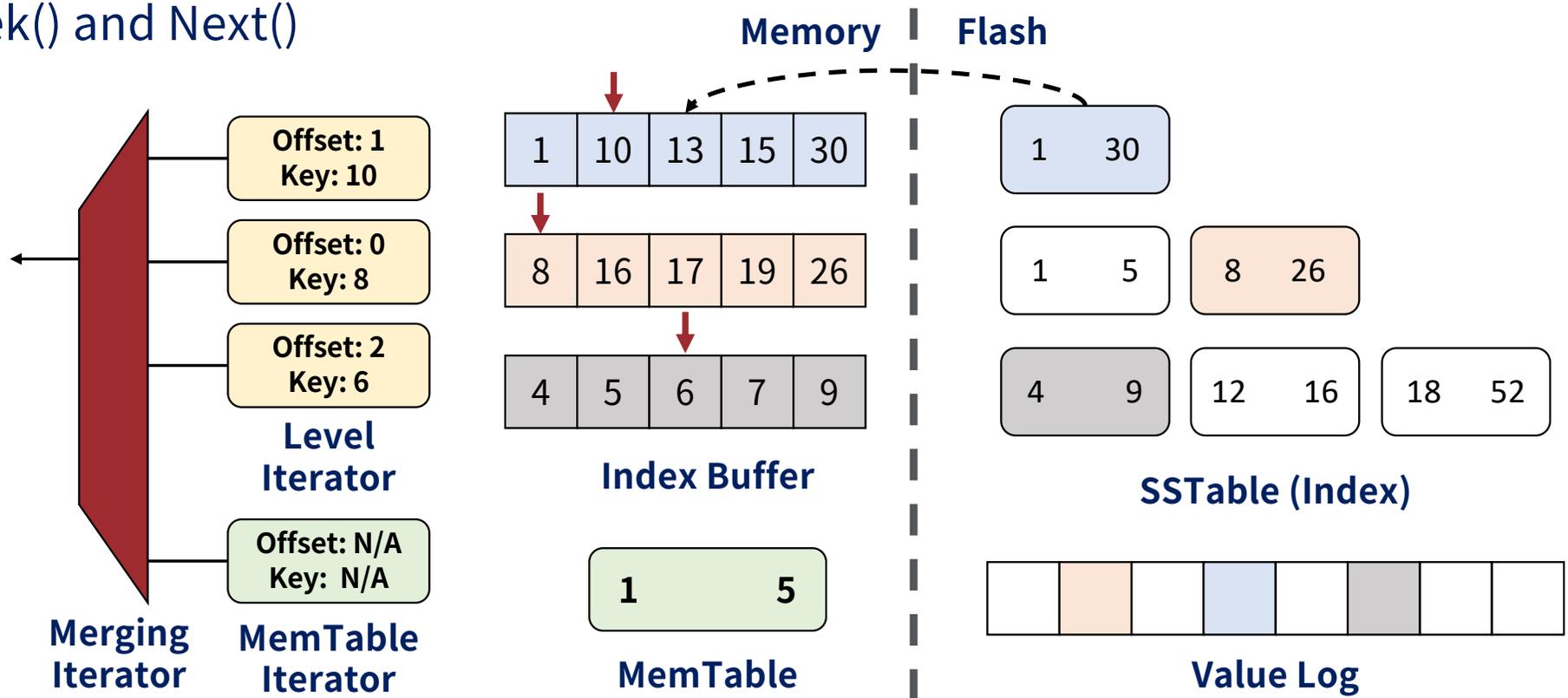
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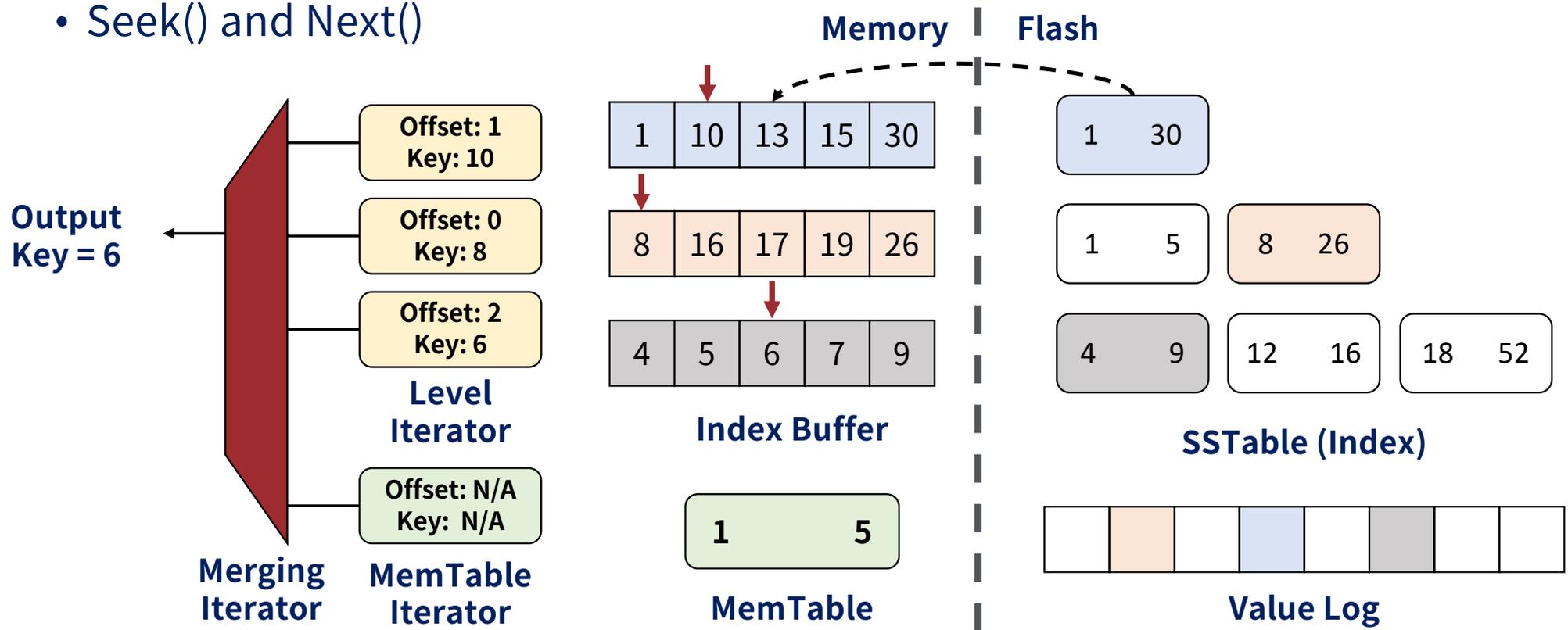
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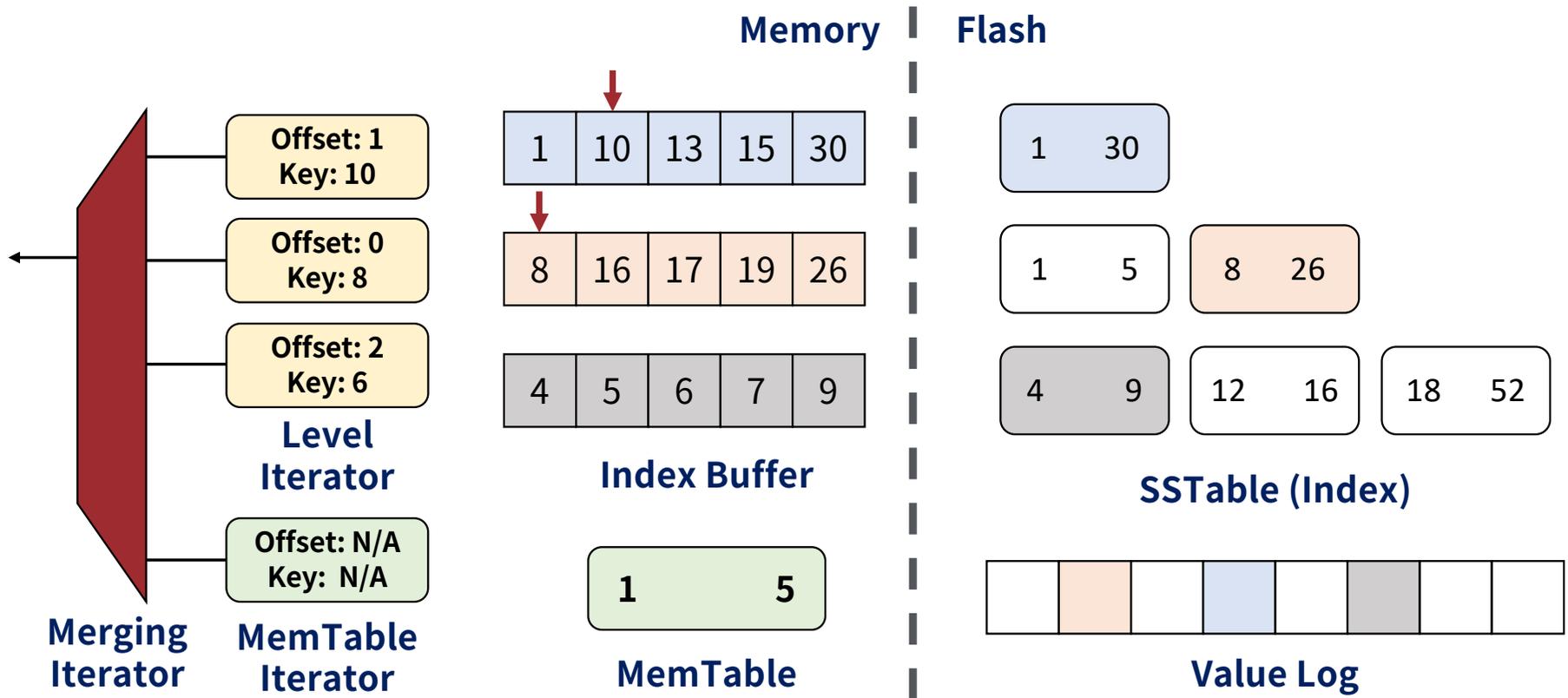
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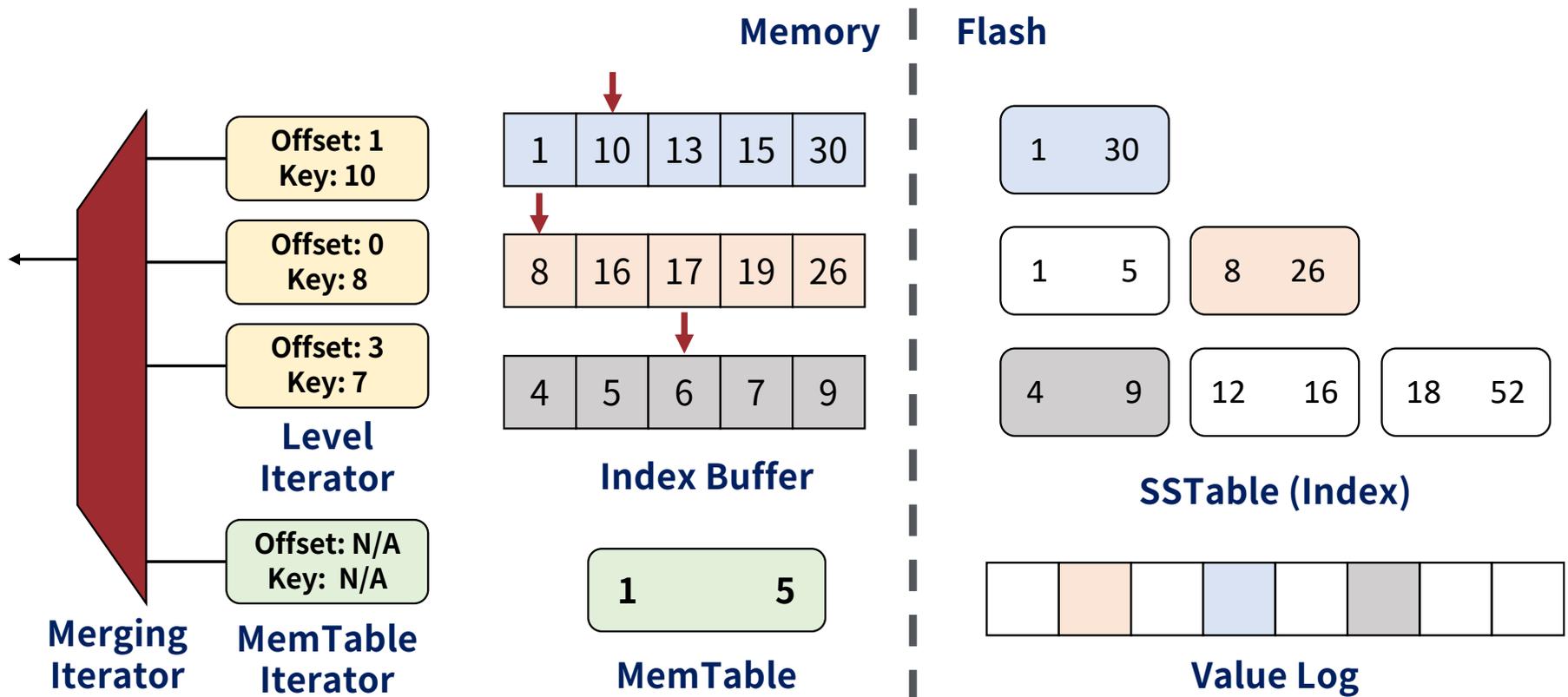
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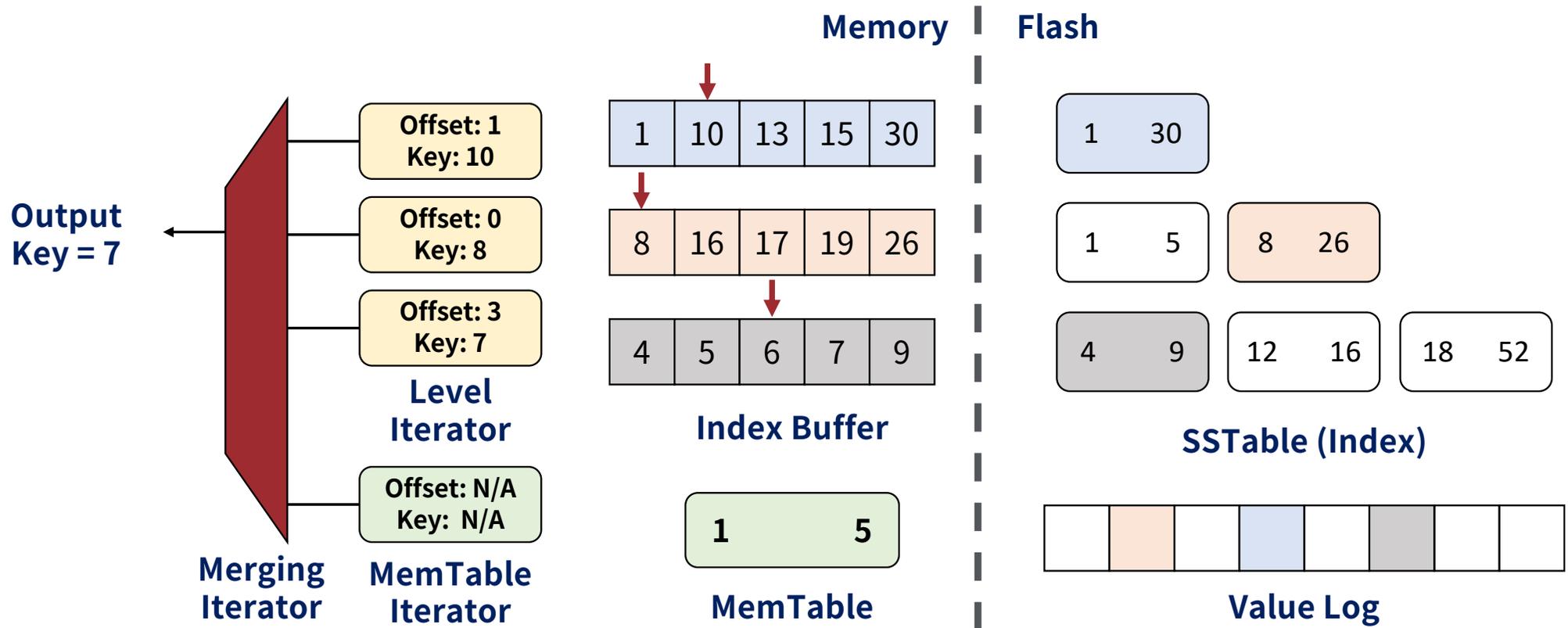
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- During range queries, LSM-tree can change by Put, Delete commands
- How can the change in LSM-tree structure be handled?

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- During range queries, Iterator interface sometimes requires Index Read
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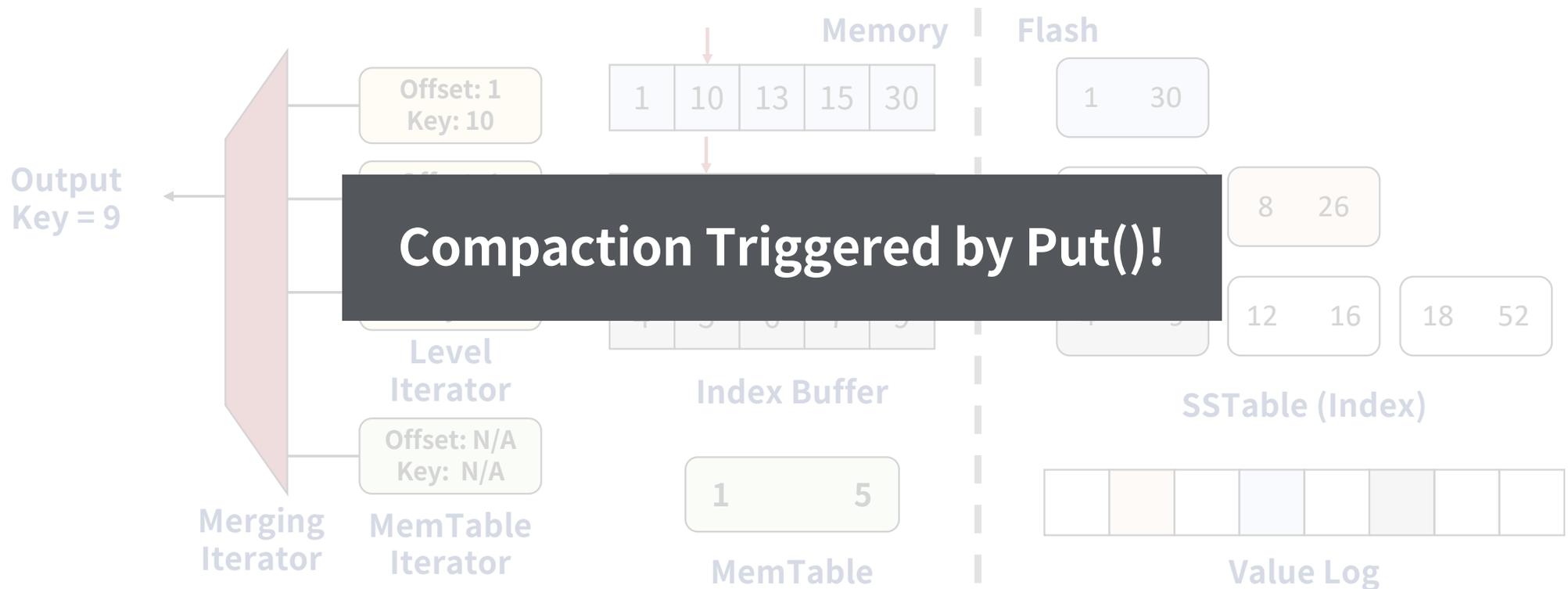
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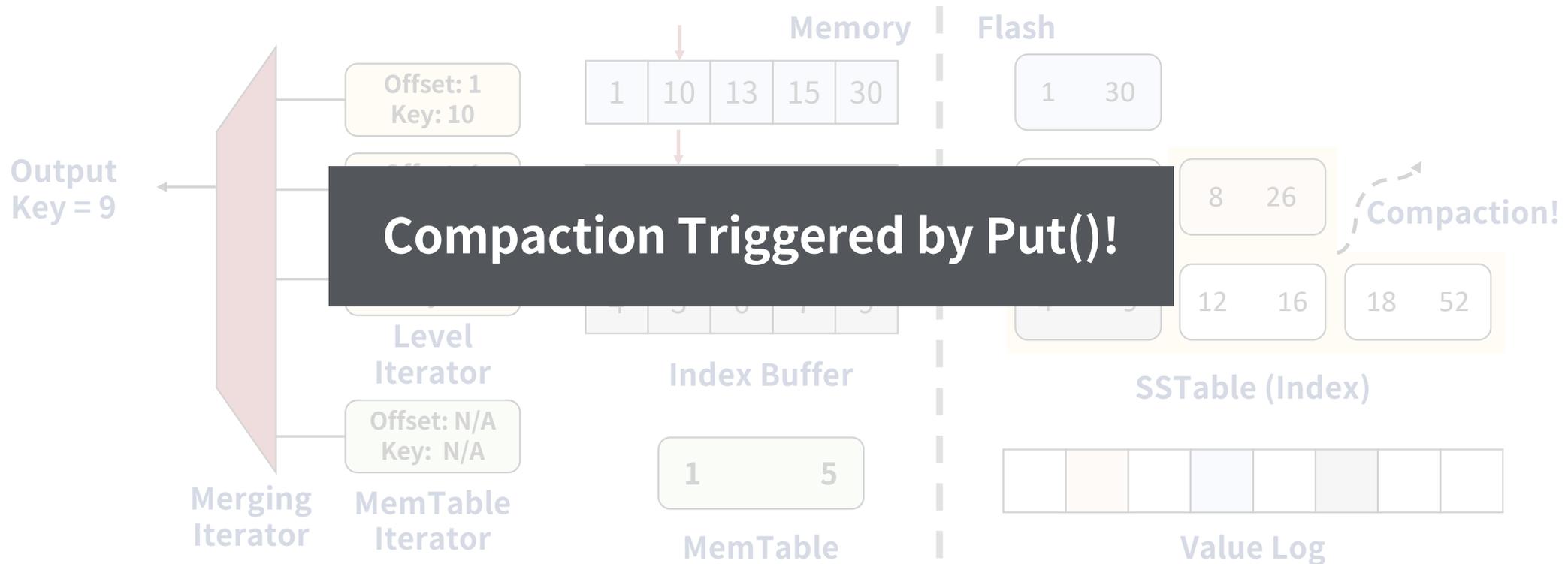
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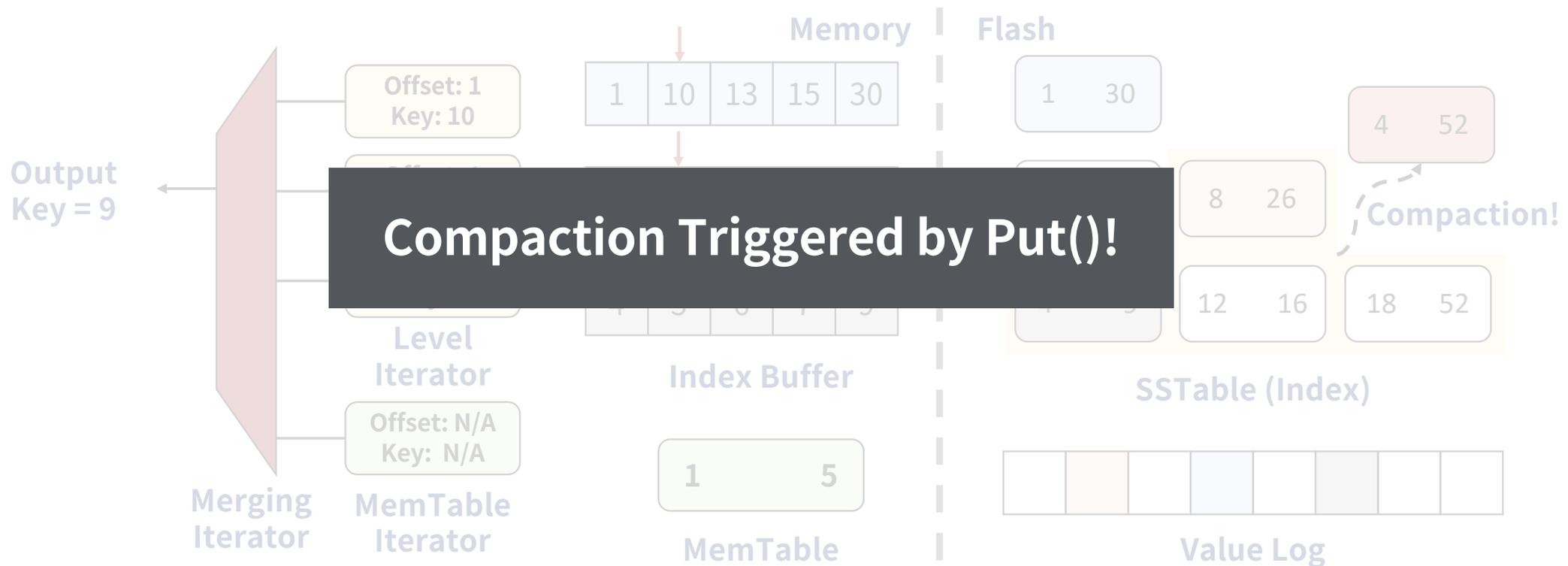
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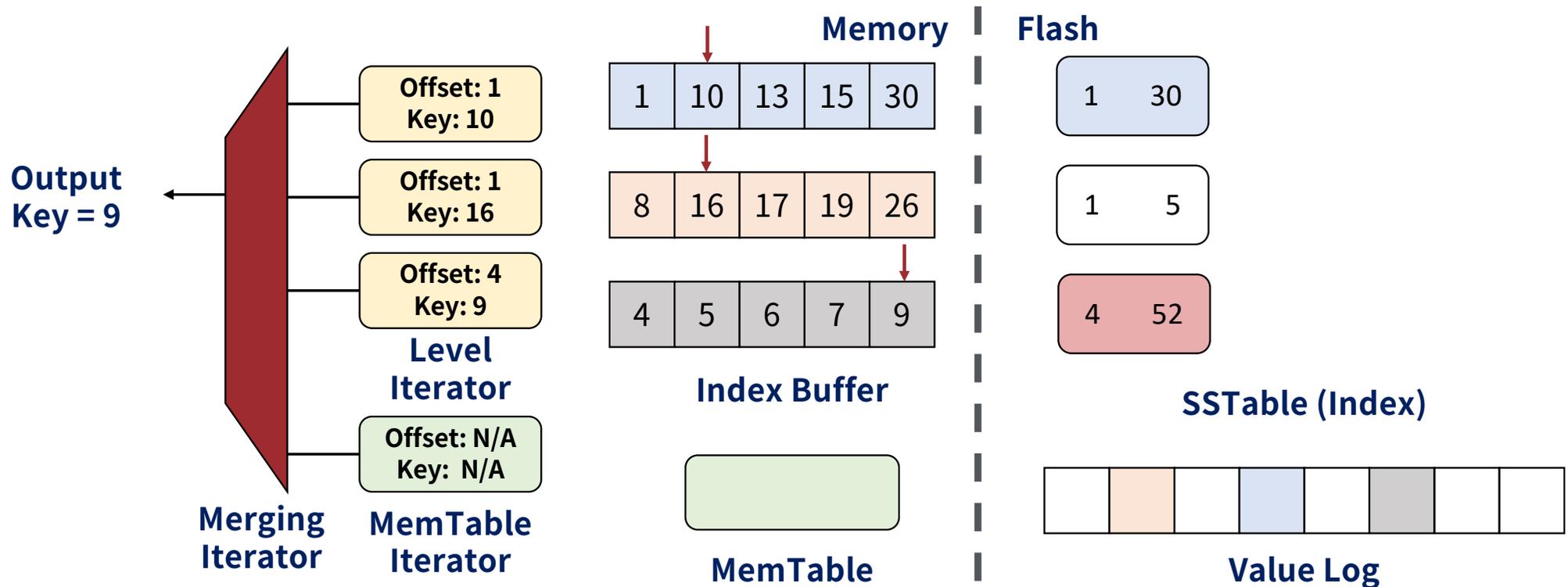
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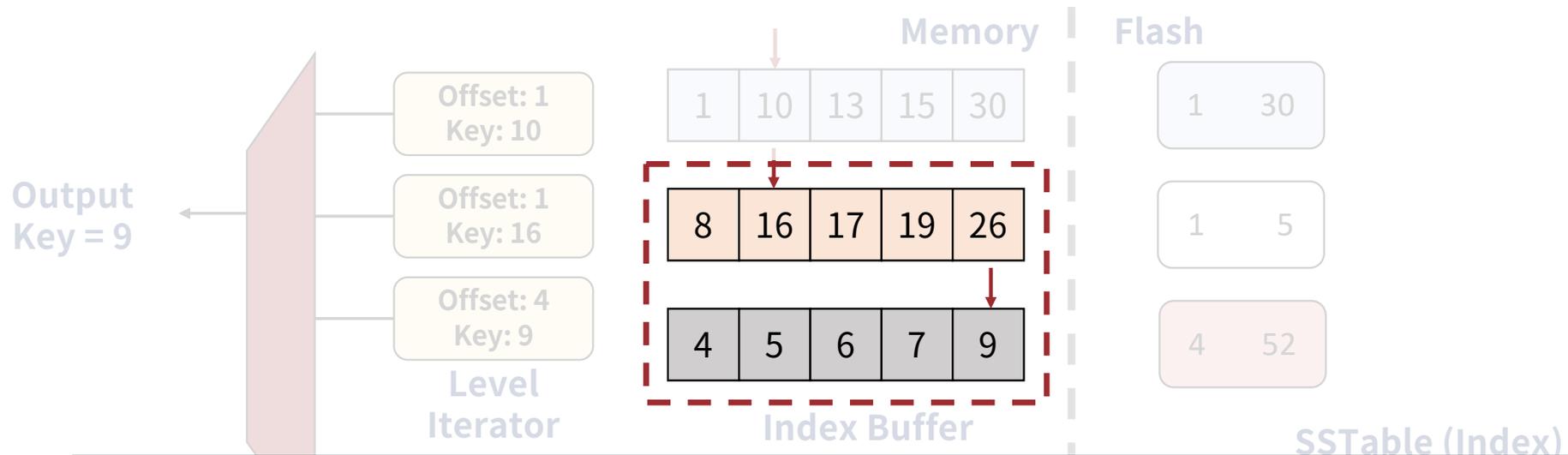
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# Problem #1 - Versioning

## • Versioning Problem

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**Current state of the Iterator becomes stale and, Iterator might lose some key due to compaction**

Iterator

Iterator

Mem Table

Value Log

# Problem #1 - Versioning

- **Versioning Problem**

- Put(), Delete() can be issued in the middle of range query
- For this reason, host-side Key-Value stores support versioning in general
- An iterator needs to see the version of the LSM-tree at its creation time.

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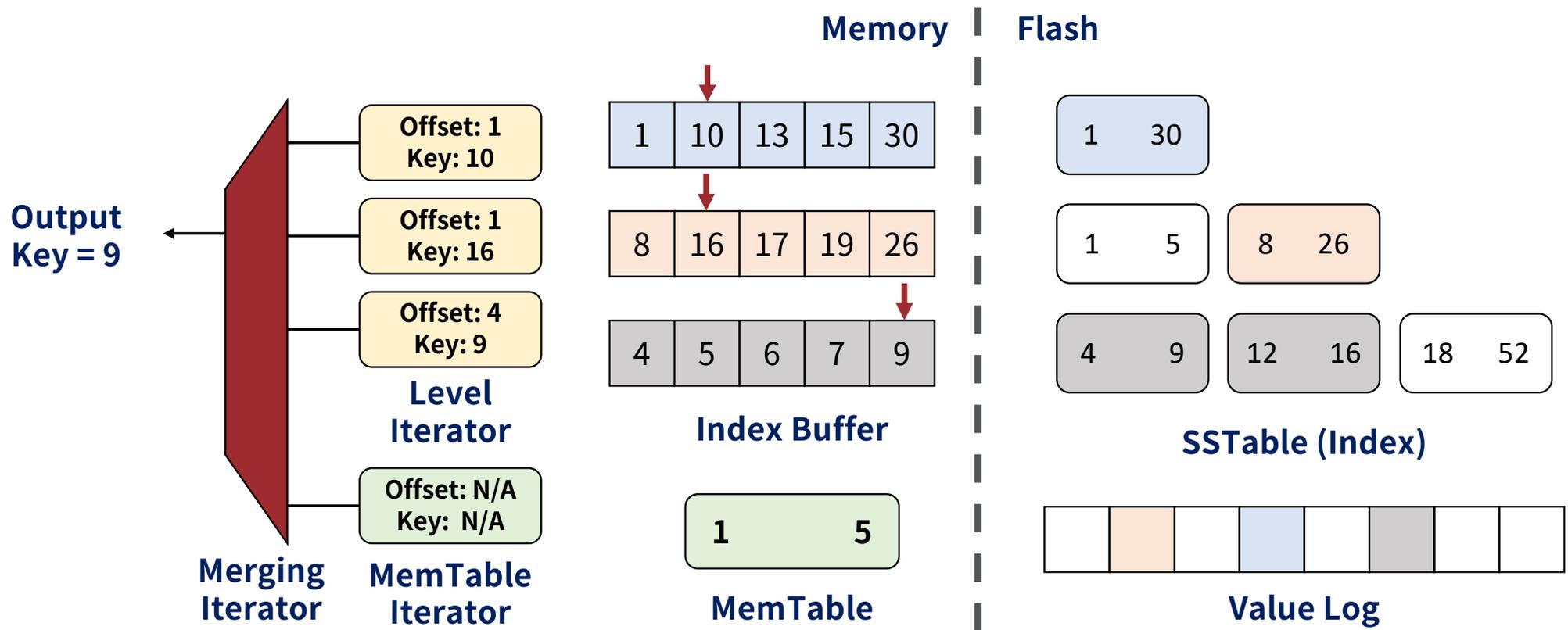
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**But, we need memory-efficient versioning inside the device!**

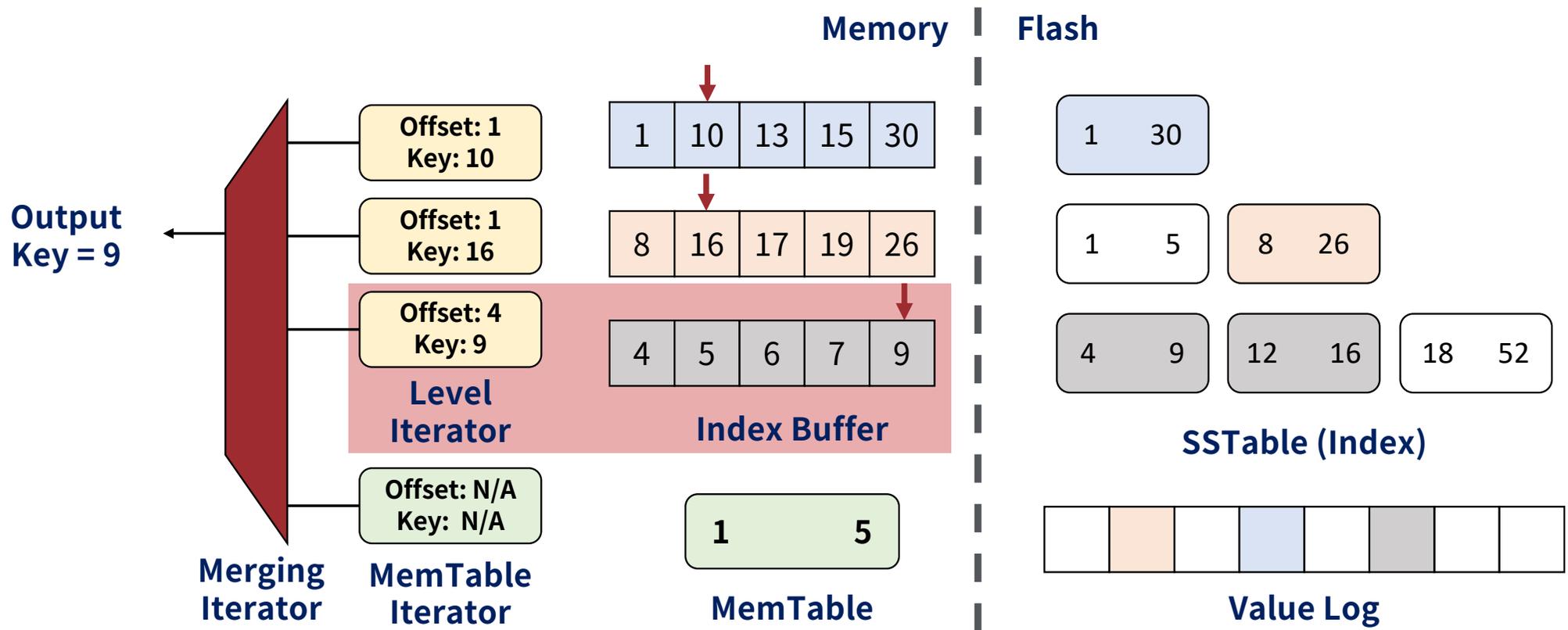
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## • Synchronous NAND Flash Access for Index Read



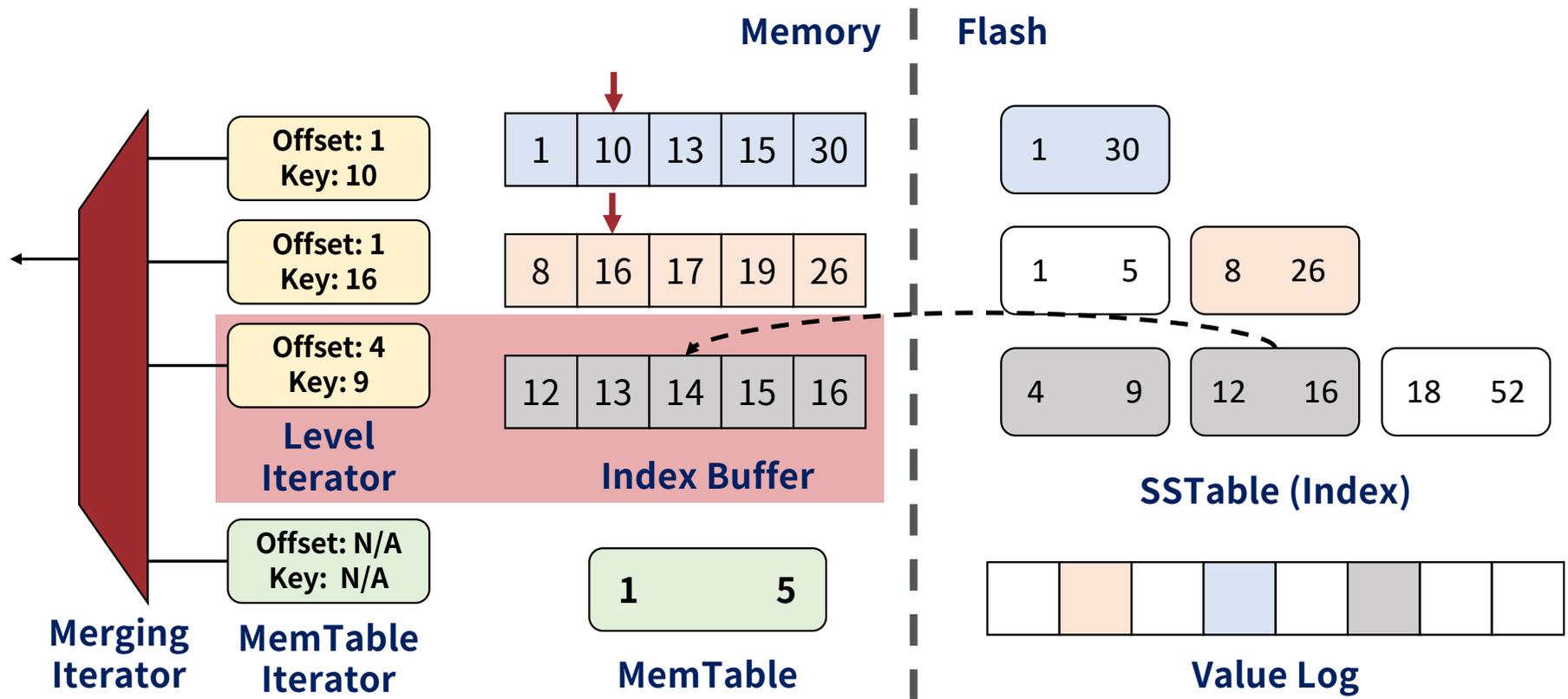
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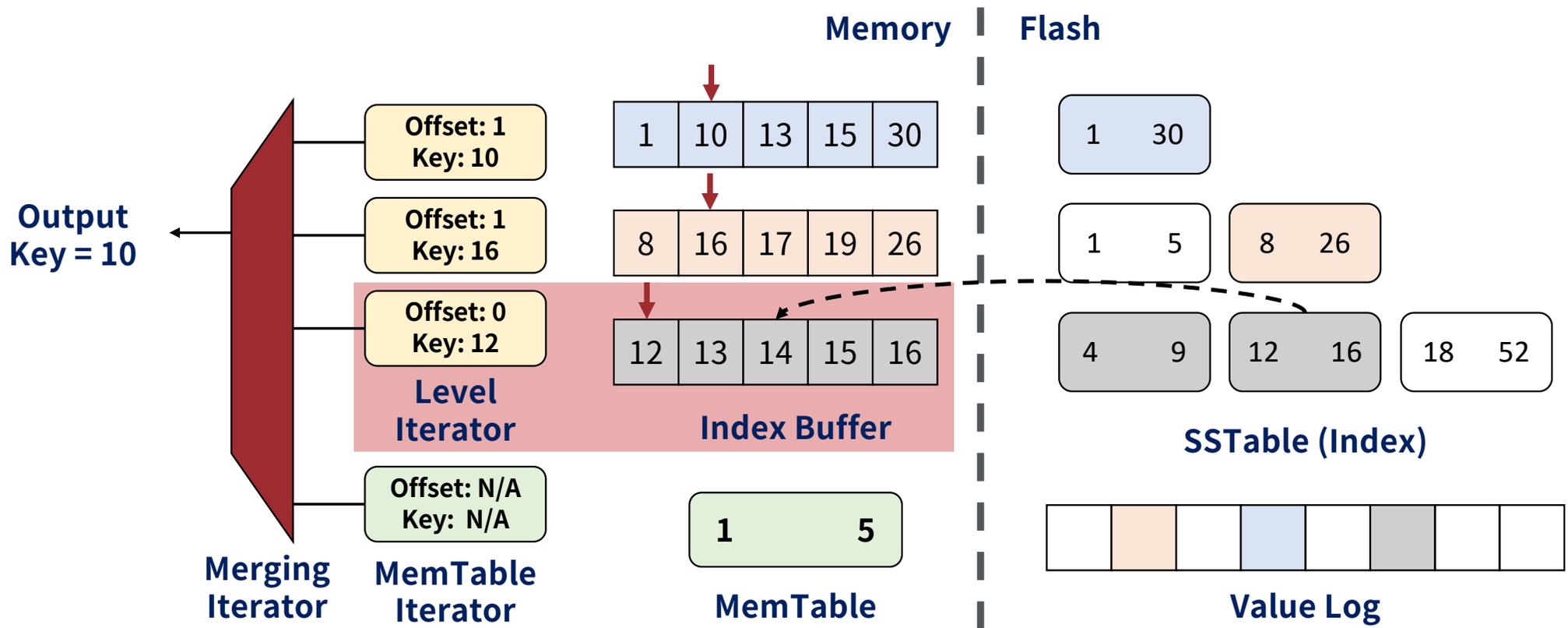
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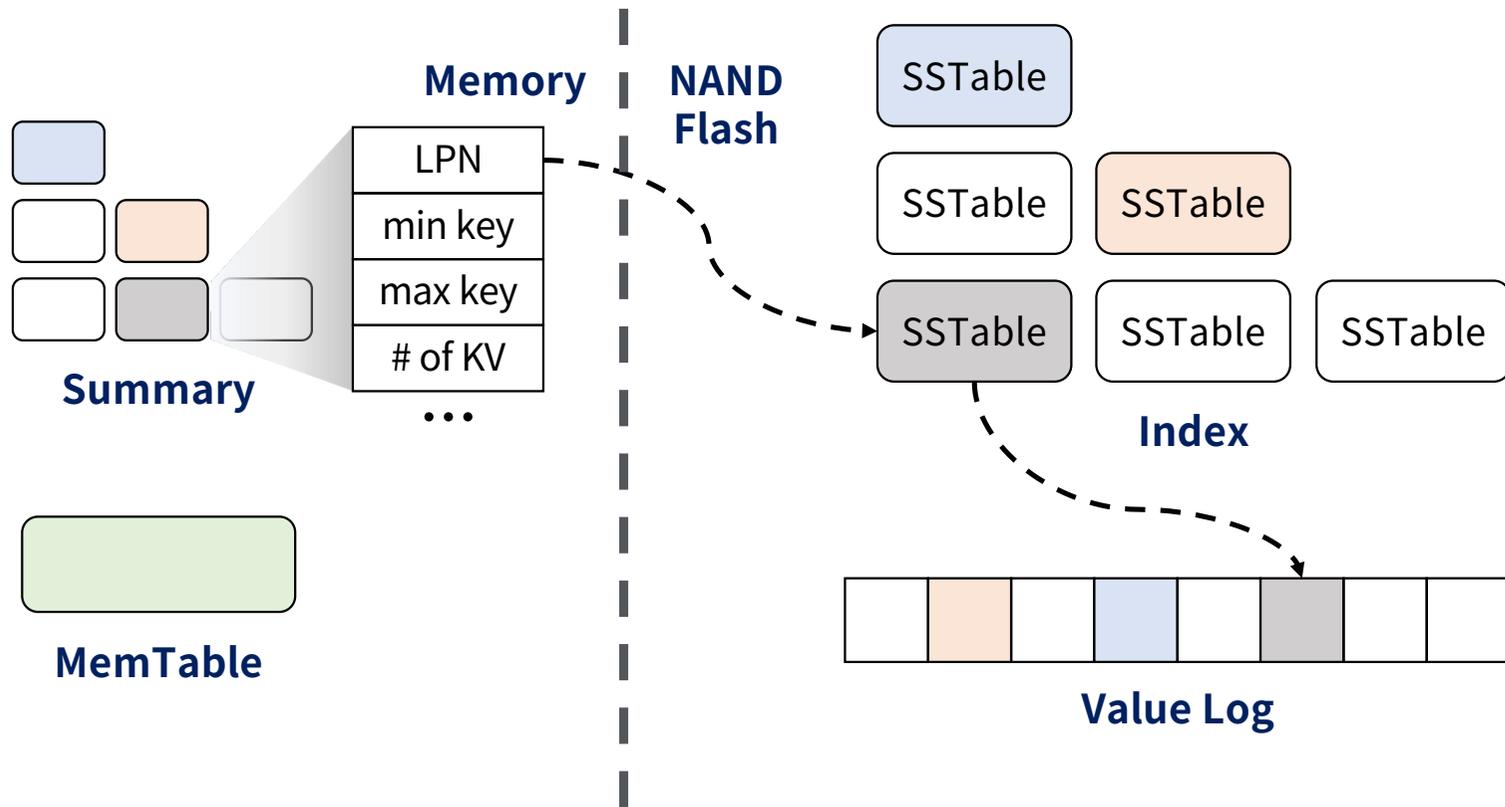
# Problem #3 – Synchronous Value Read

- **Design Challenge #3 – NAND Flash Access for Value Read**
  - Every Seek() and Next() command requires NAND Flash Access for Value
  - Considering that NAND Flash access is much slower than the other steps, synchronous NAND Flash access for Value may woefully aggravate the overall performance

# Design of IterKVSSD

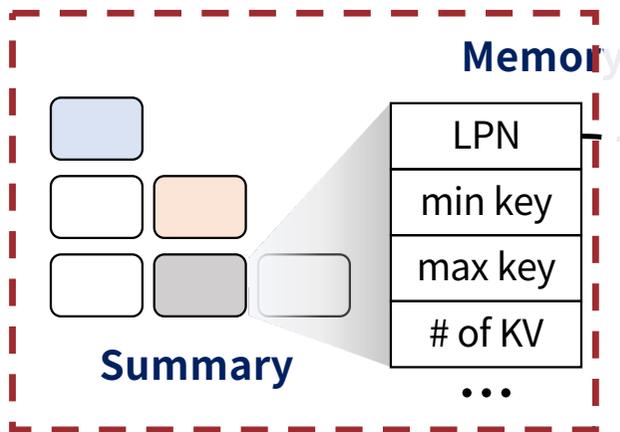
# Memory Efficient Versioning Data Structure

- How to support Versioning inside the device?

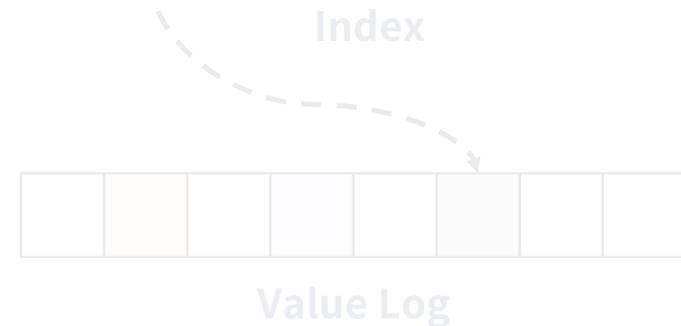


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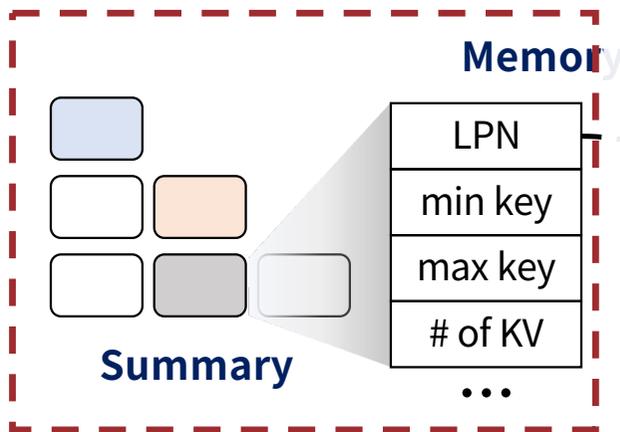


- Summary represents the state of LSM-tree
- Summary Size  $\propto$  # of SSTables
- In our setup,
  1. Summary Entry Size = 44B
  2. # of SSTables  $\approx$  65,000
  3. Total Size  $\approx$  2.7MB

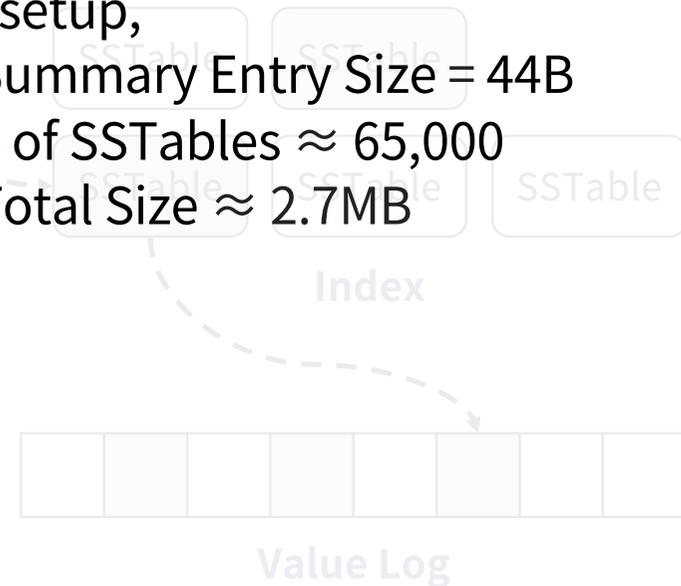


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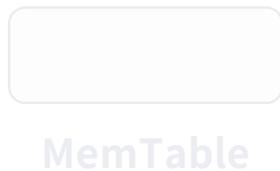
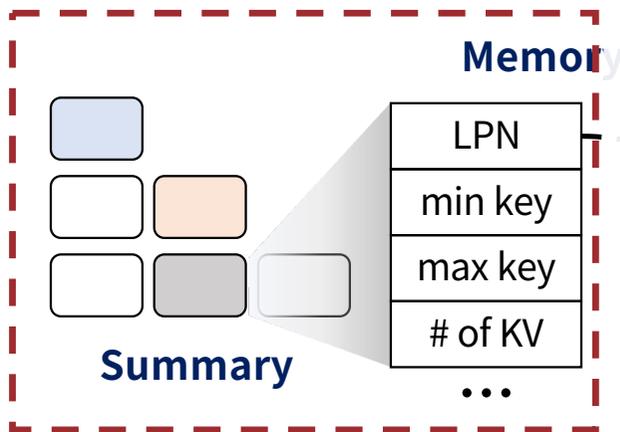


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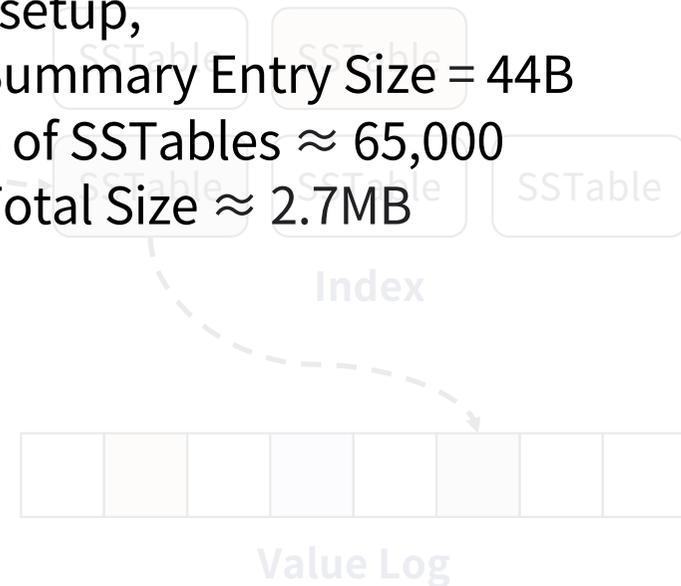


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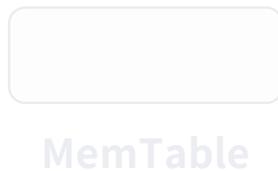
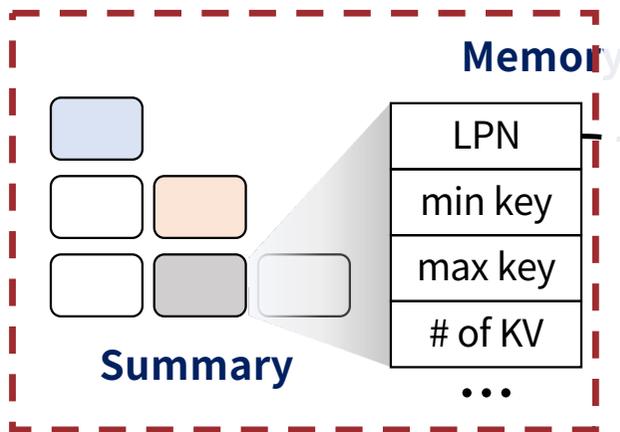


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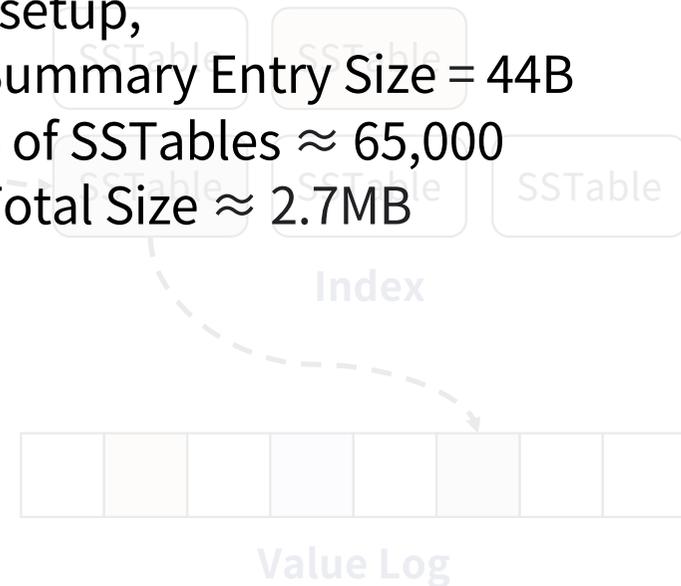


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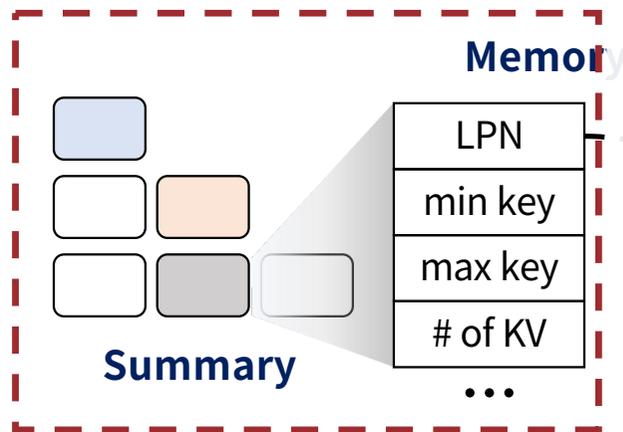


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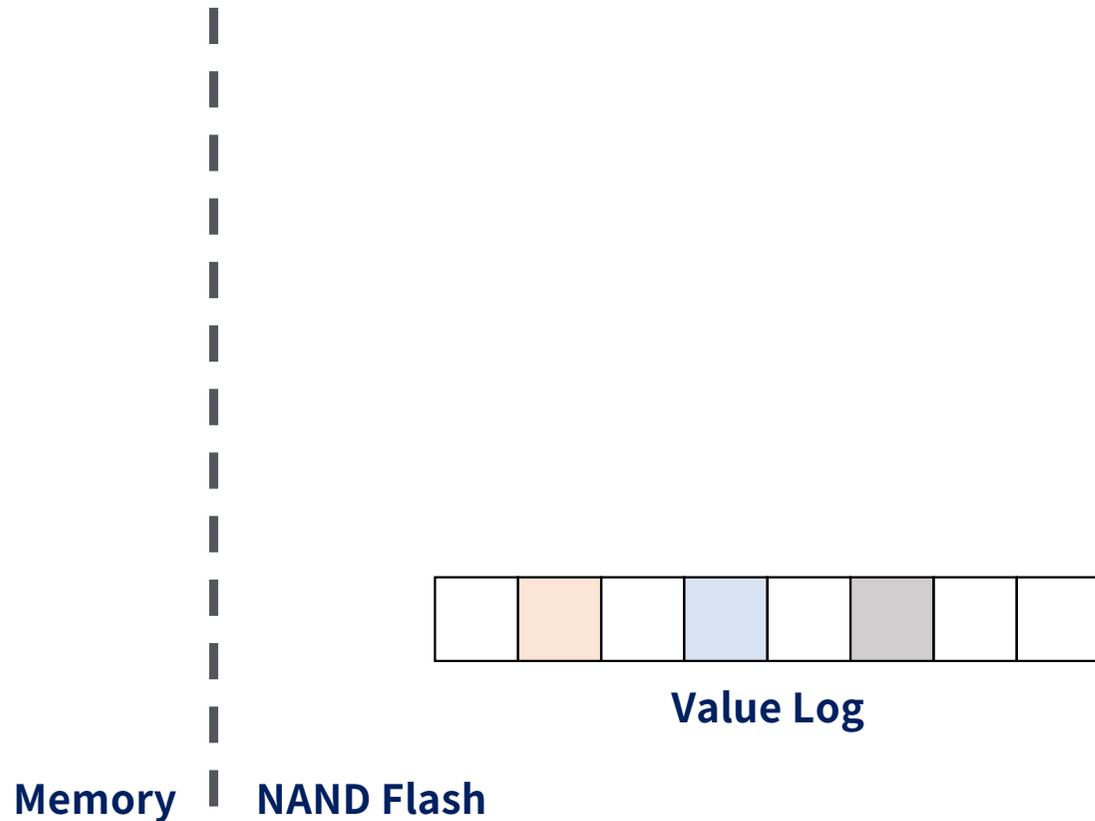


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**Keeping Summary for every Iterator is too expensive!**

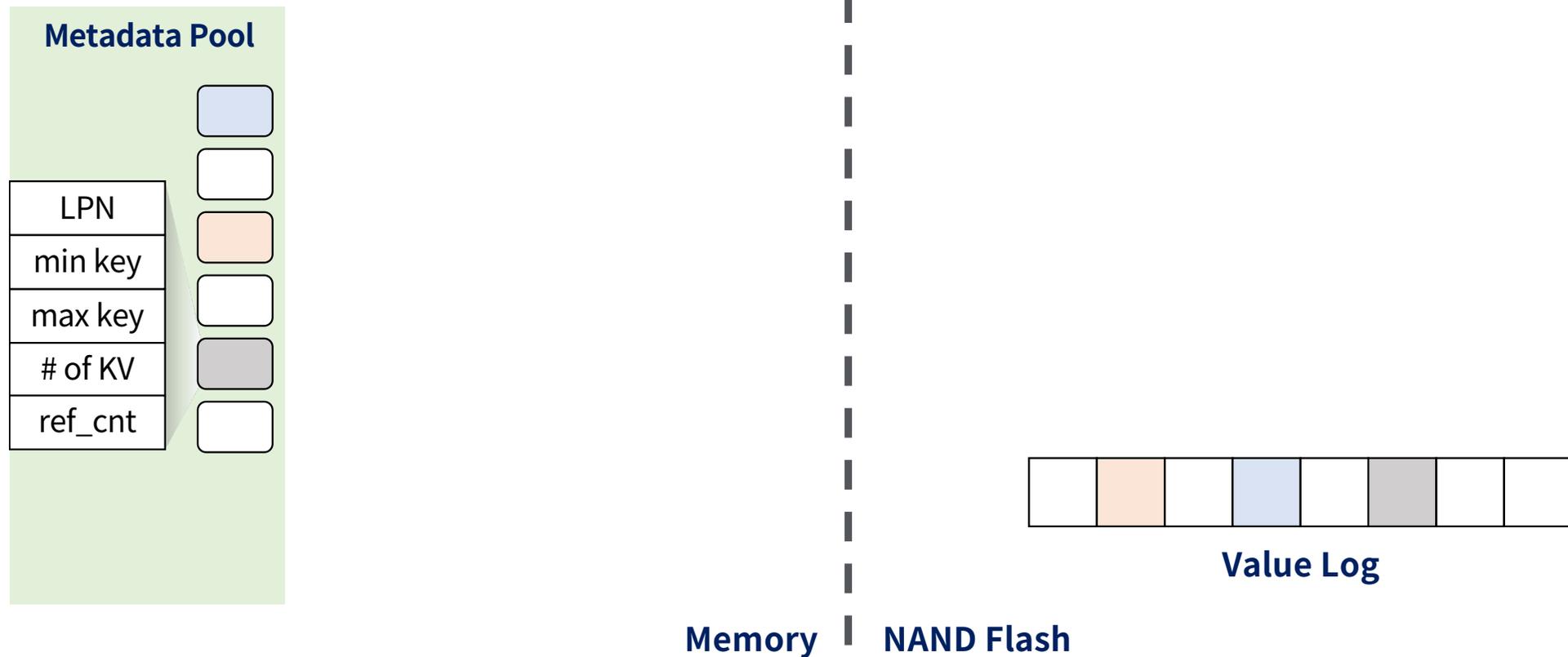
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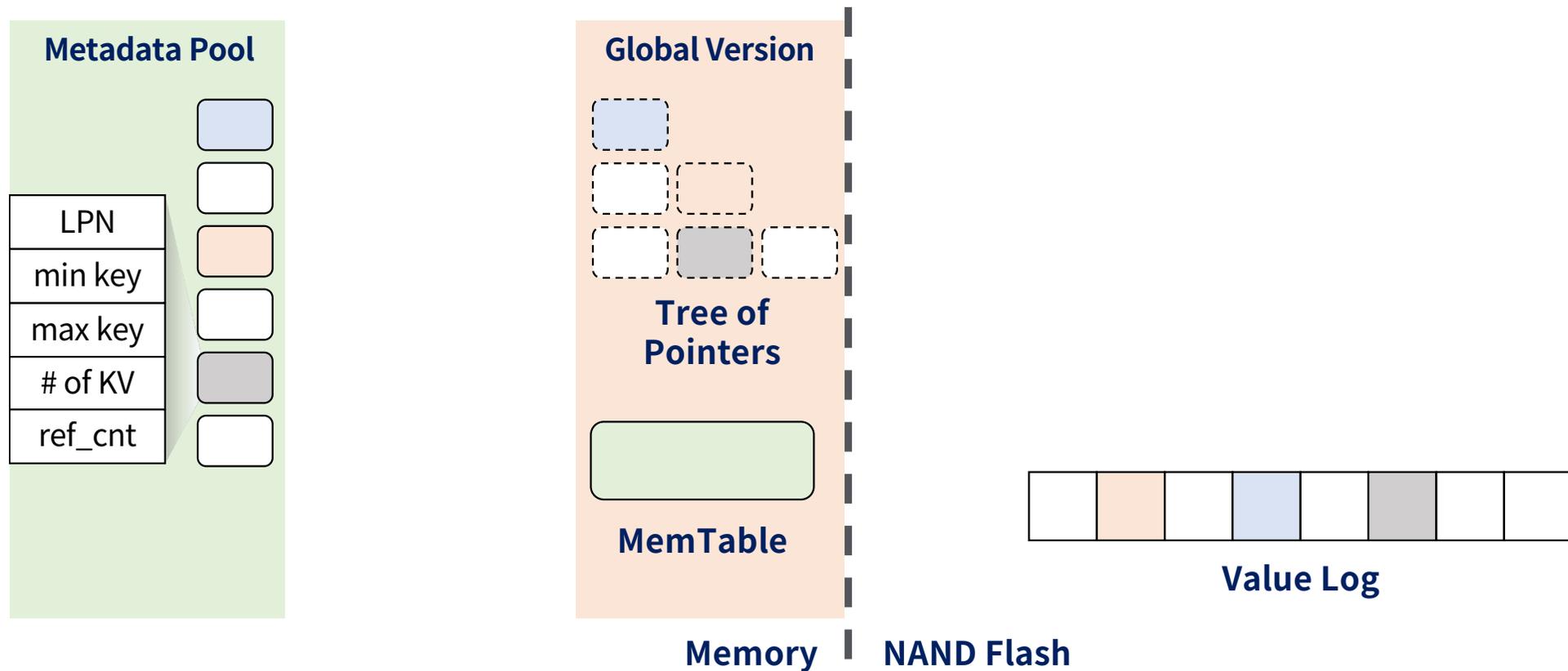
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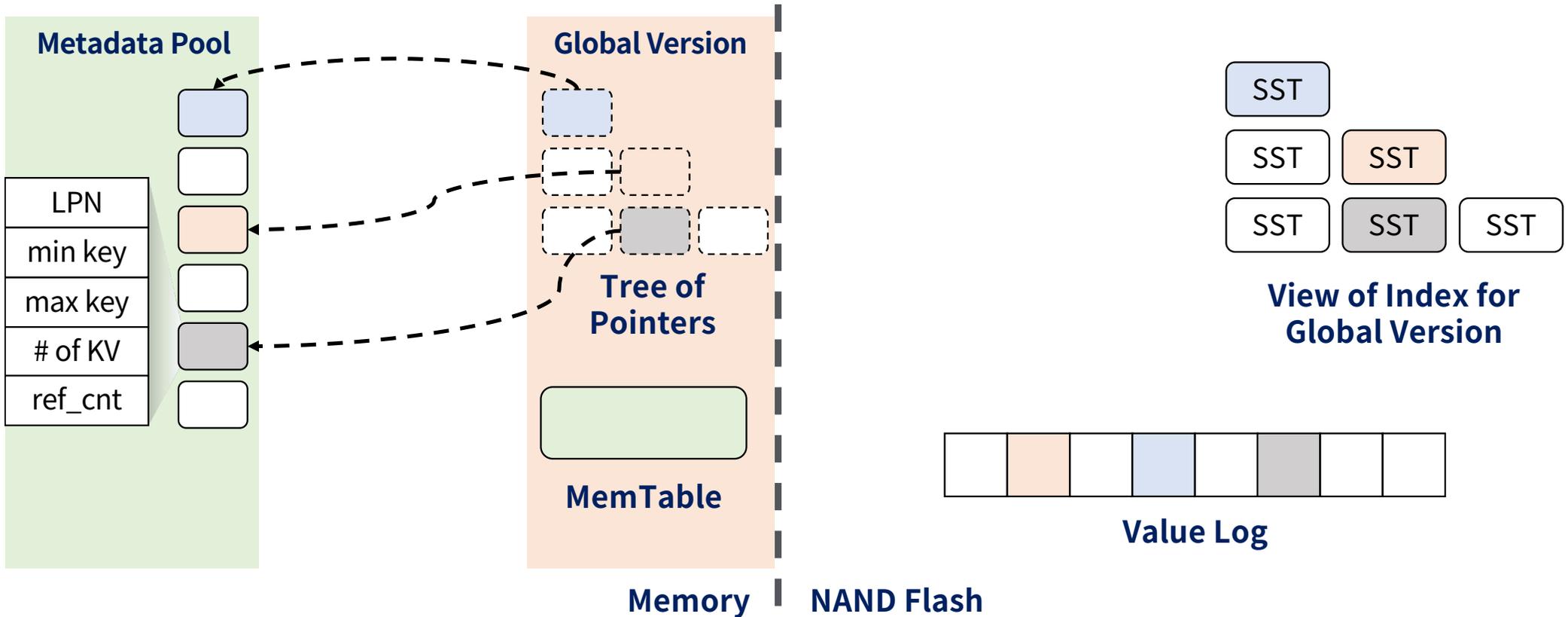
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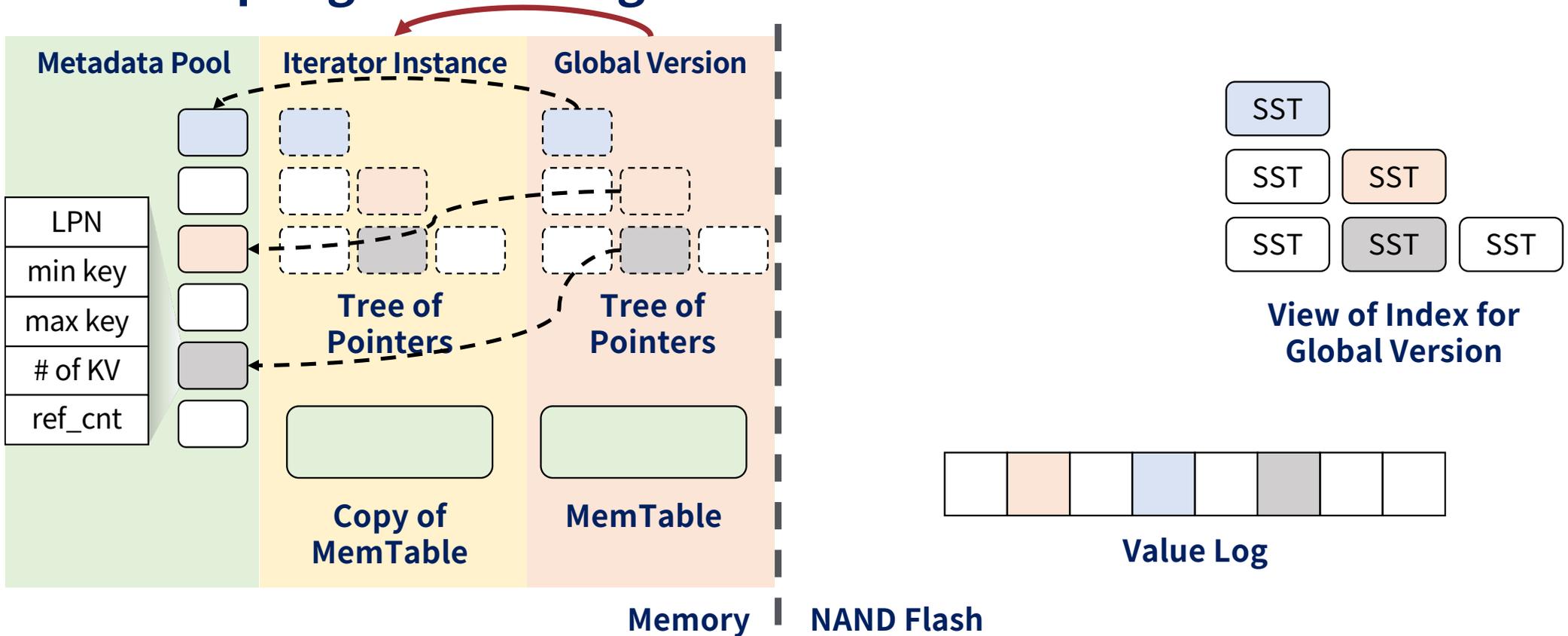
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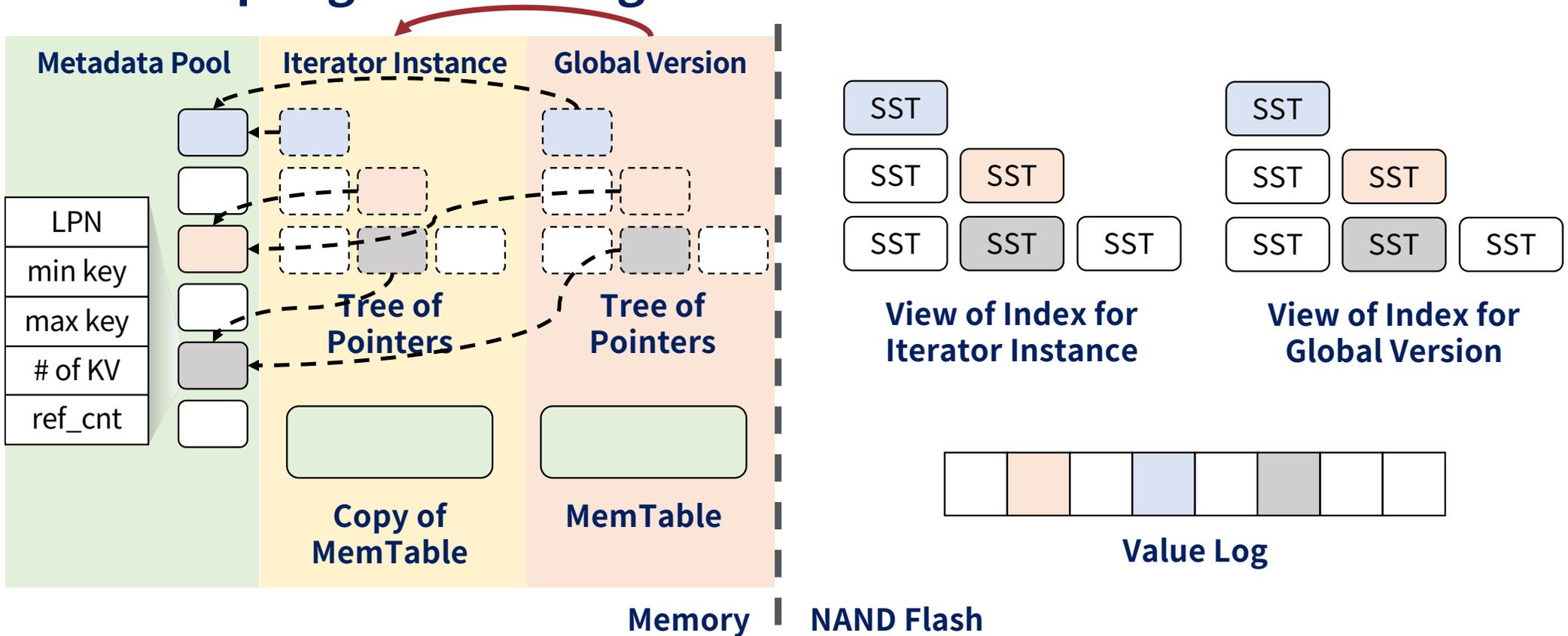
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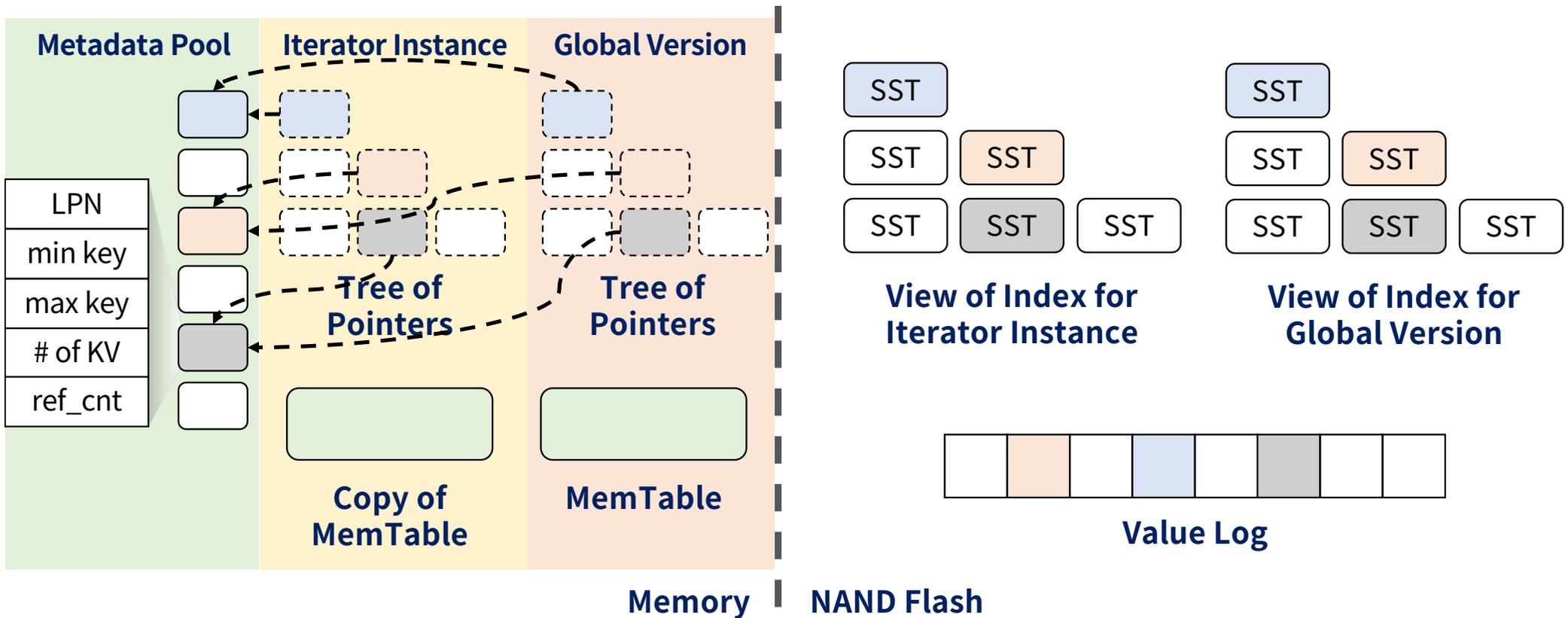
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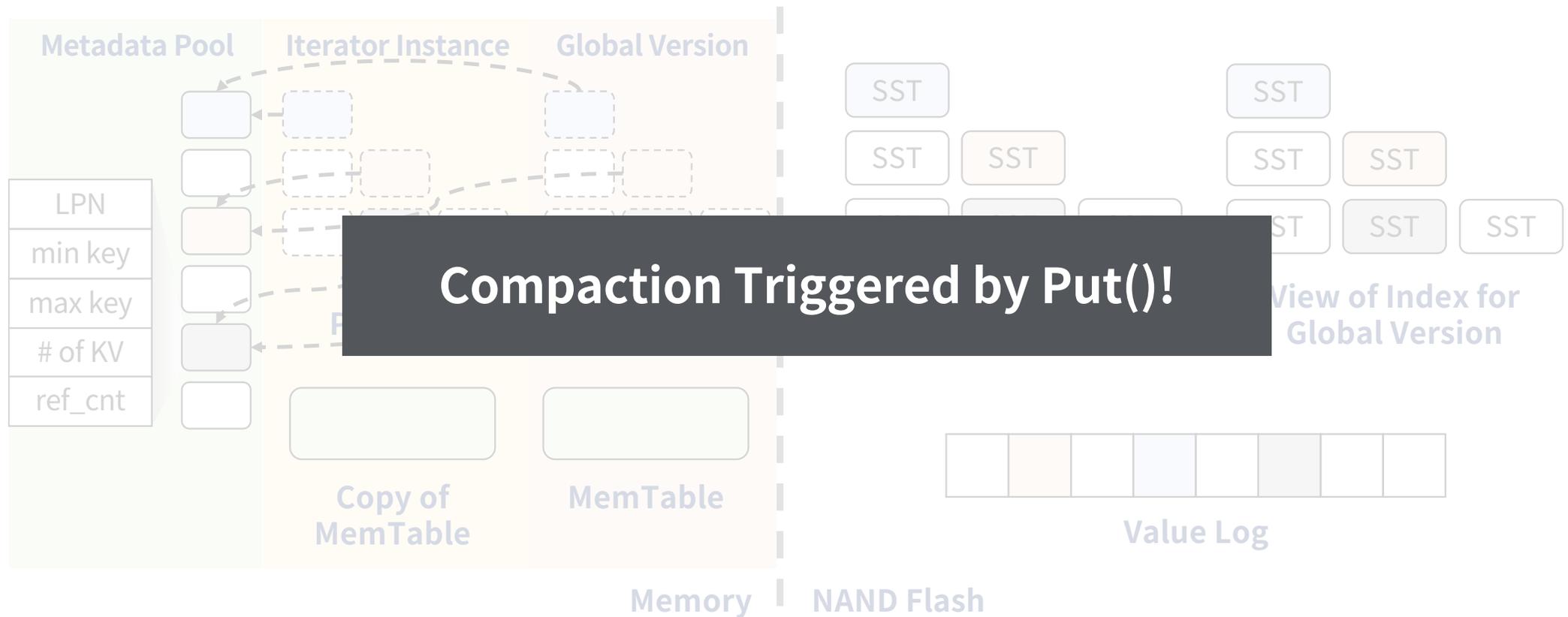
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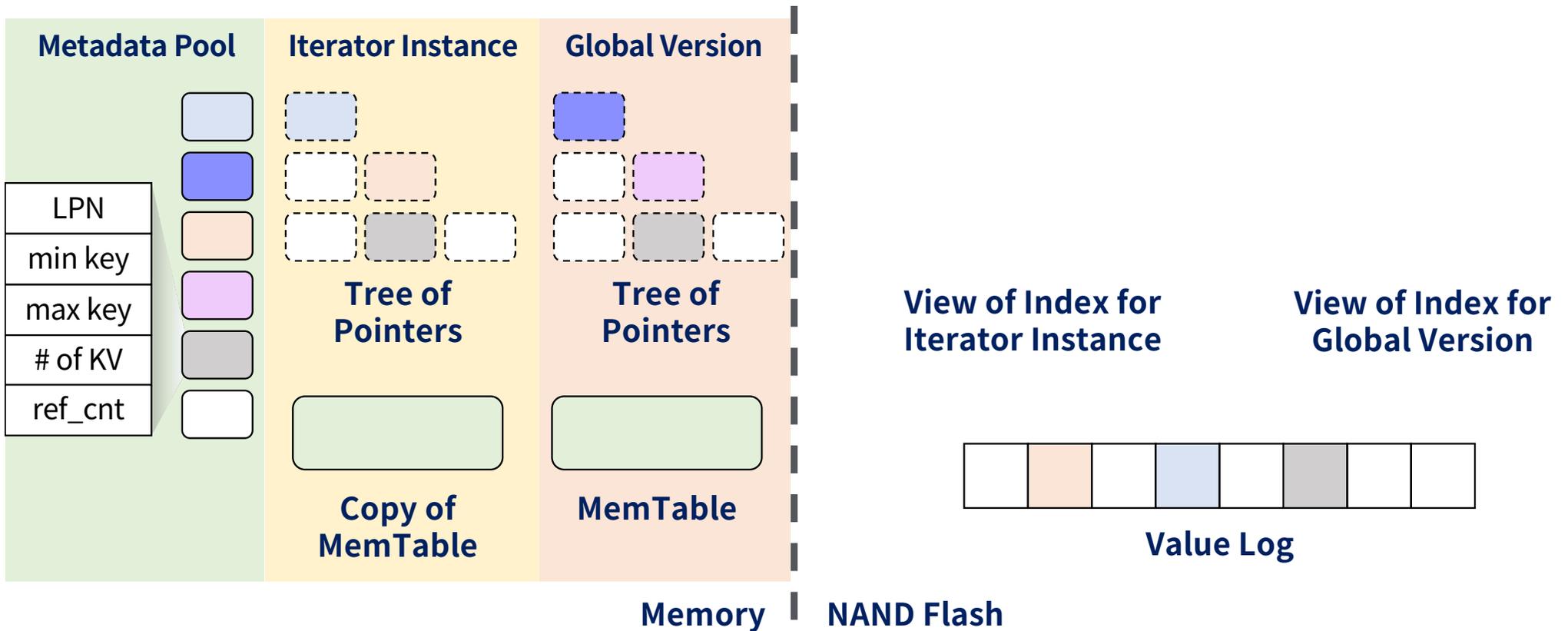
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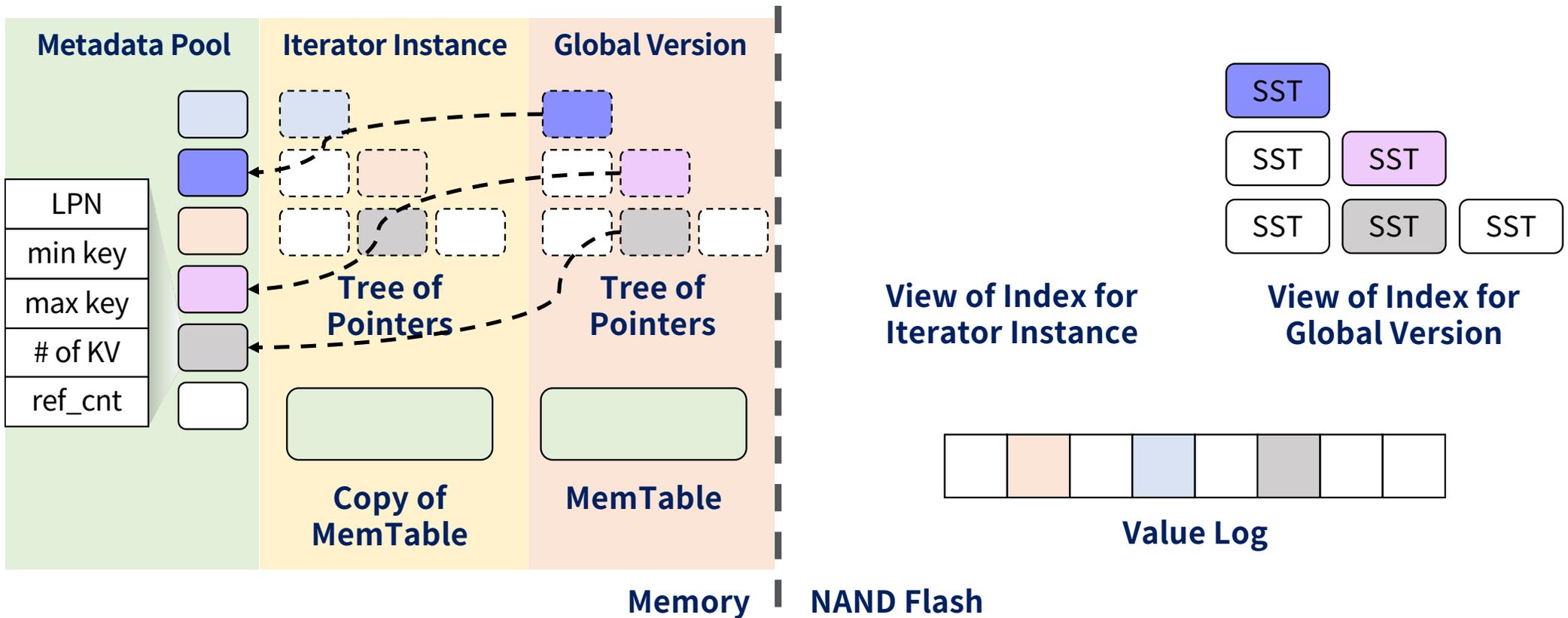
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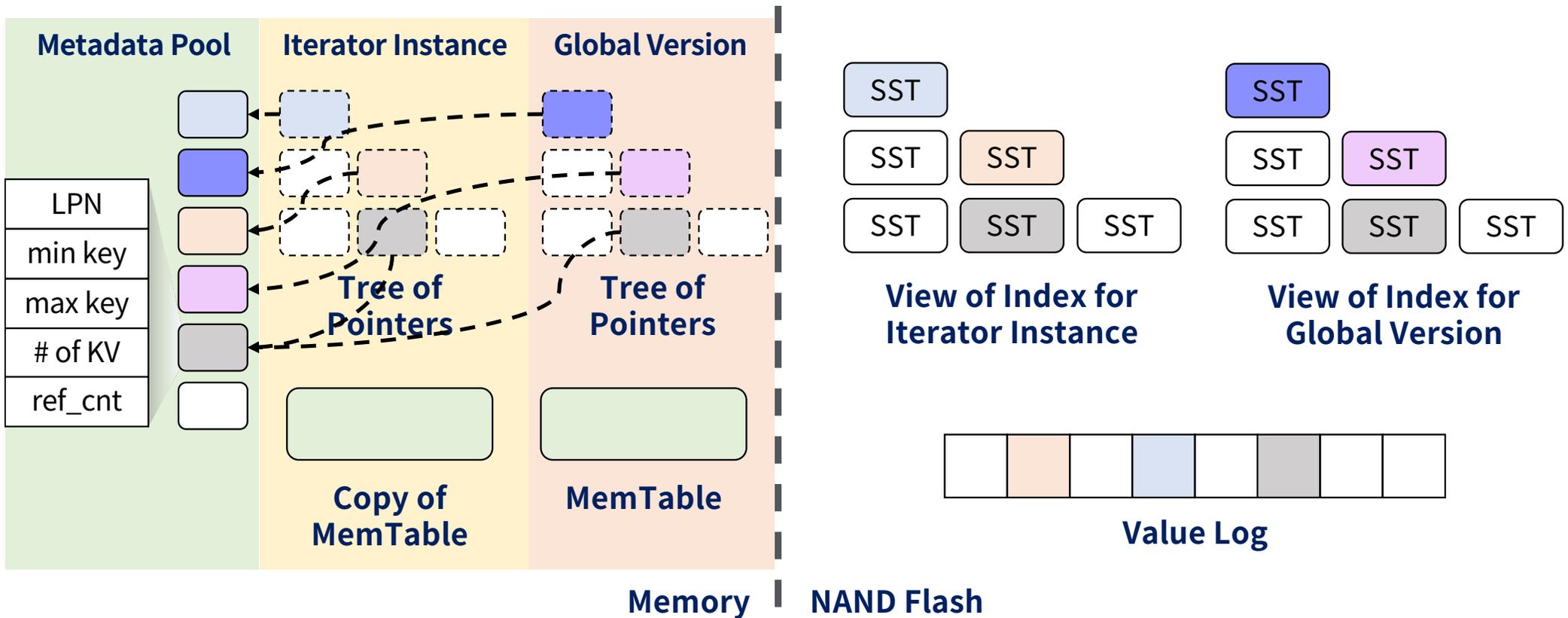
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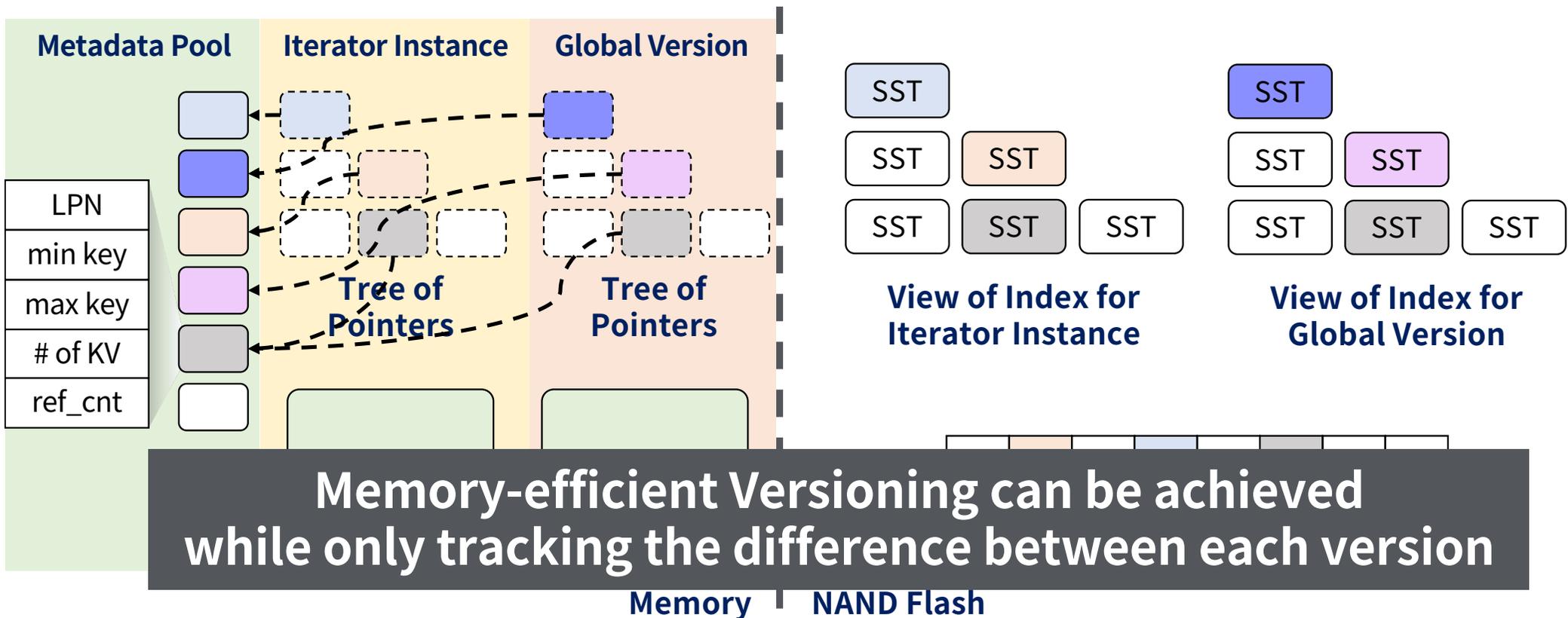
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- **Key ideas to minimize synchronous NAND Flash access penalty**
  1. **Range query sequentially retrieves Key-Value pairs in a row**
    - KVSSD knows what is the next key to read
  2. **Key-Value semantic is enabled inside the device**
    - KVSSD knows where in physical memory the next Key-Value pairs are stored
  3. **Exploit multiple independent channel controllers**
    - KVSSD can overlap NAND Flash access with processing storage protocol and the other steps in parallel

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- **Key ideas to minimize synchronous NAND Flash access penalty**

- 1. Range query sequentially retrieves Key-Value pairs in a row**

- KVSSD knows what is the next key to read

- 2. Key-Value semantic is enabled inside the device**

- KVSSD knows where in physical memory the next Key-Value pairs are stored

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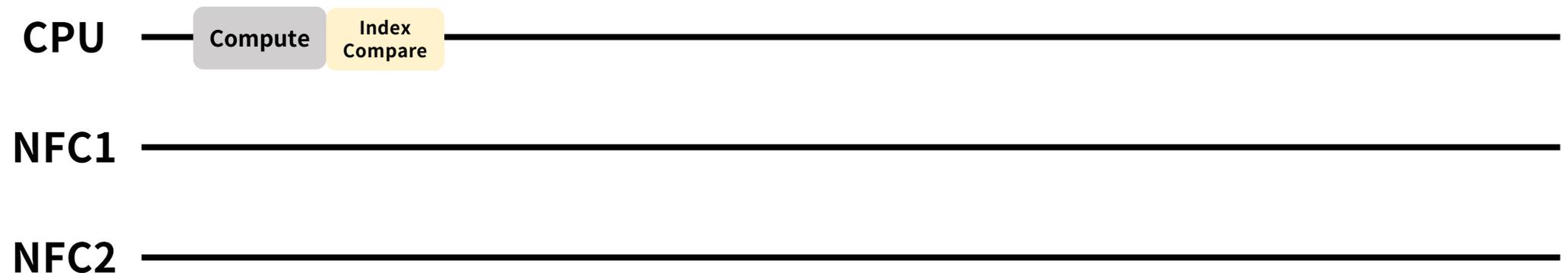
- On every Seek and Next commands,
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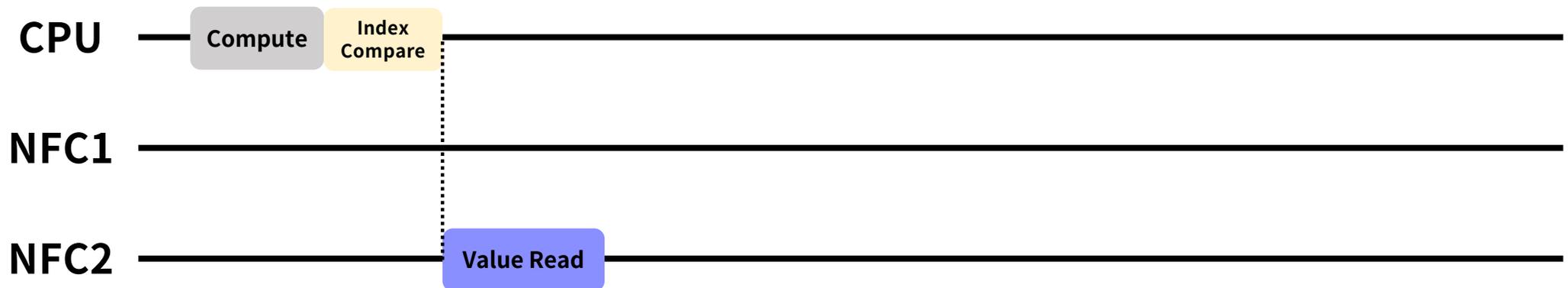
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- The device can accurately predict when to read an index from NAND.
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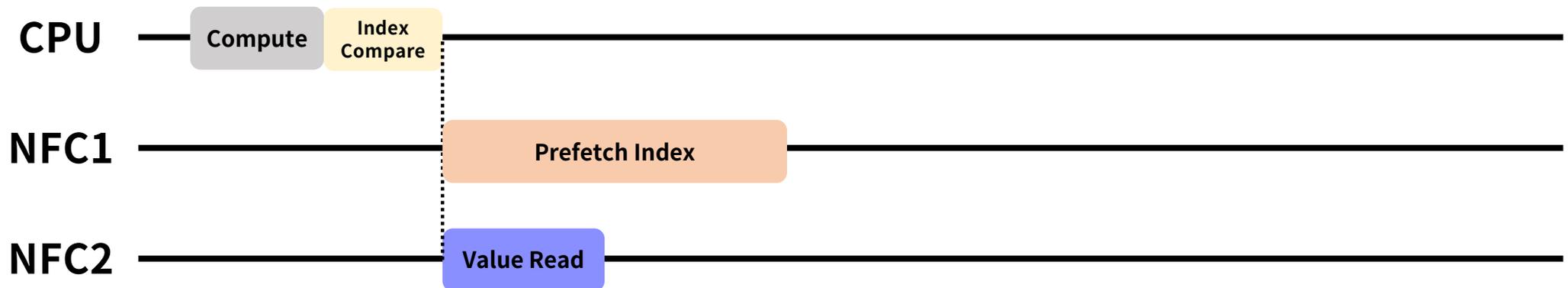
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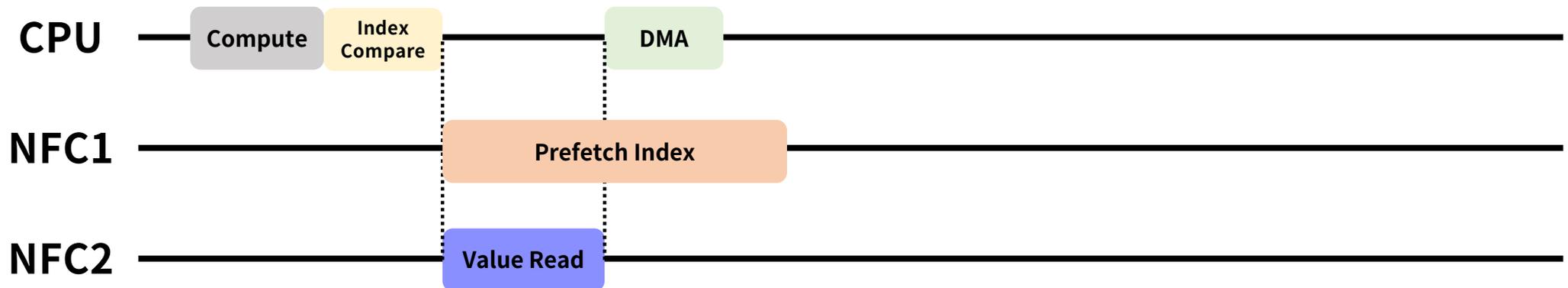
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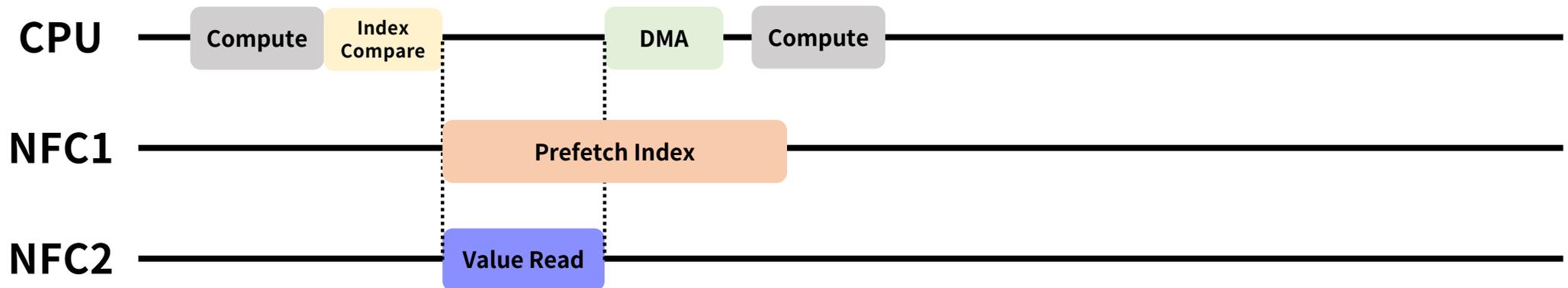
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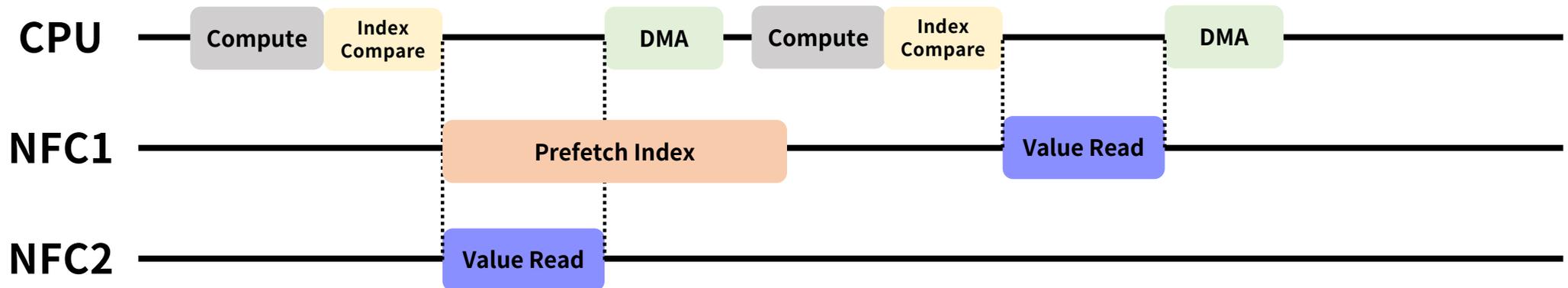
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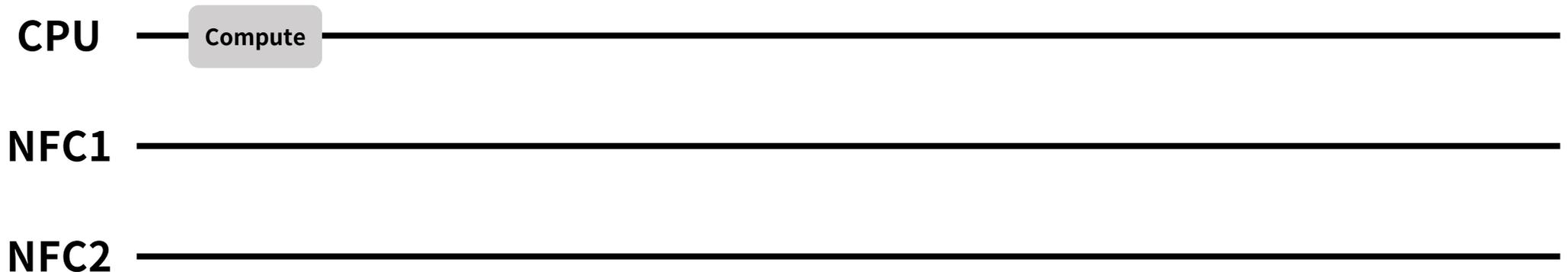
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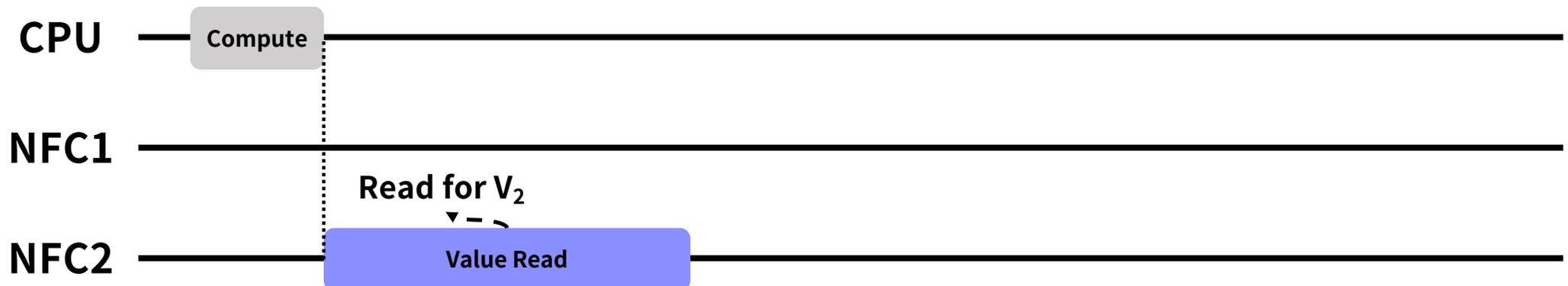
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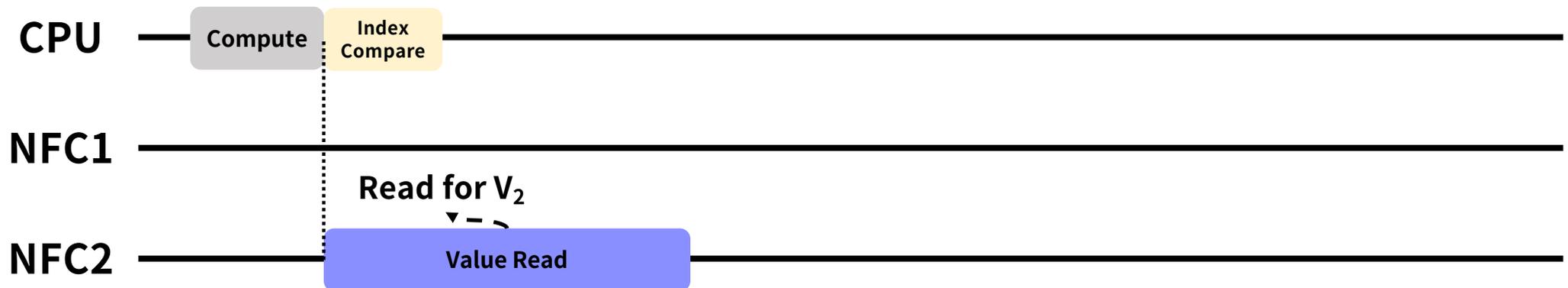
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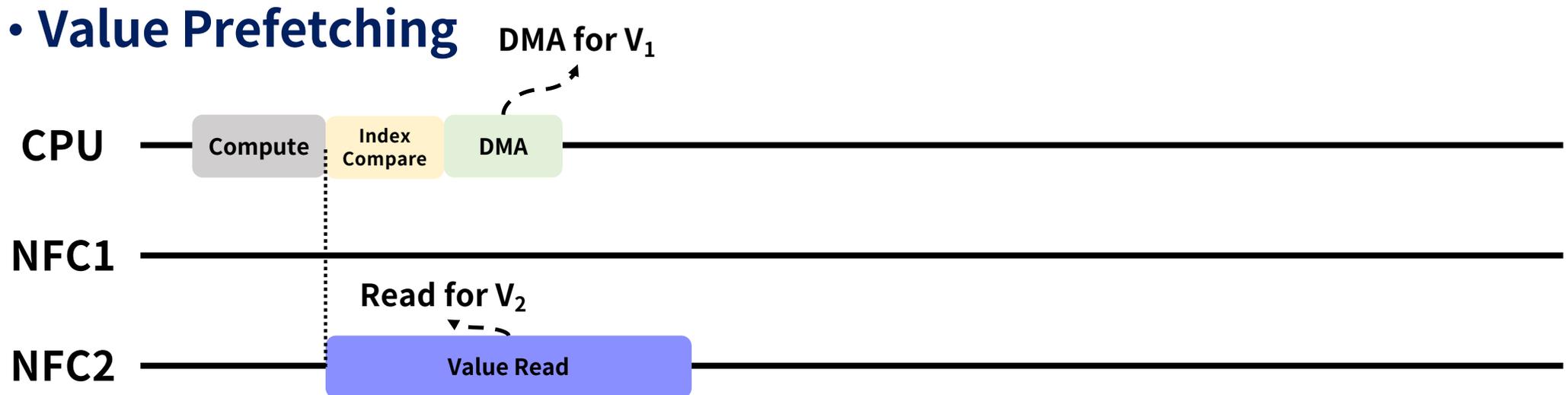
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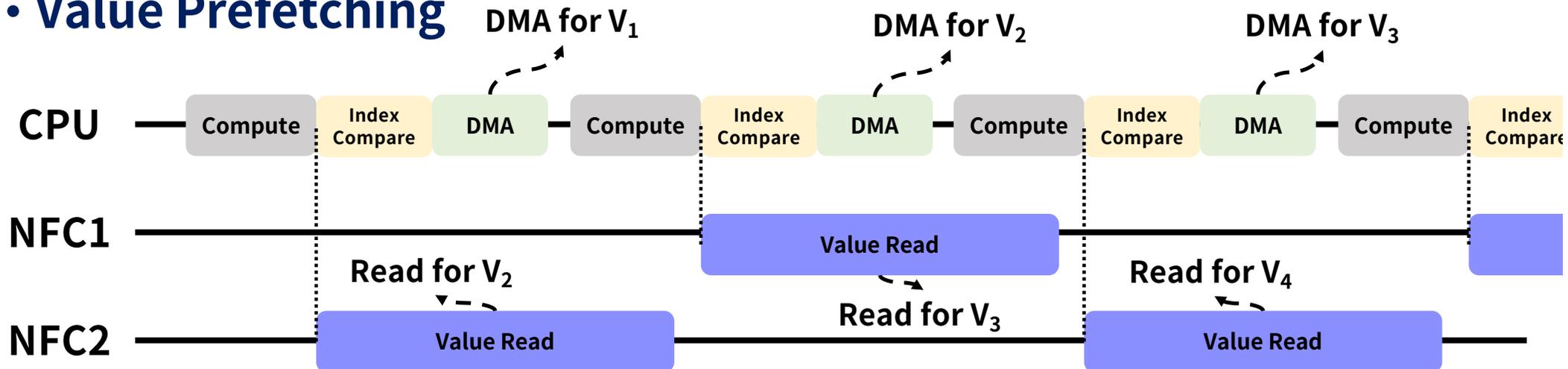
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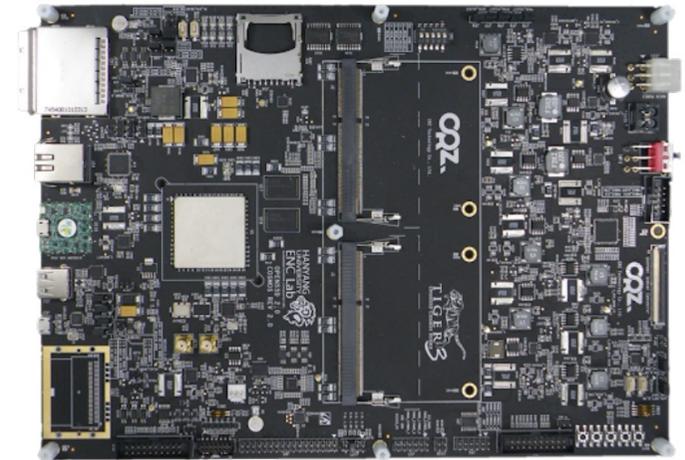
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# Experimental Setup

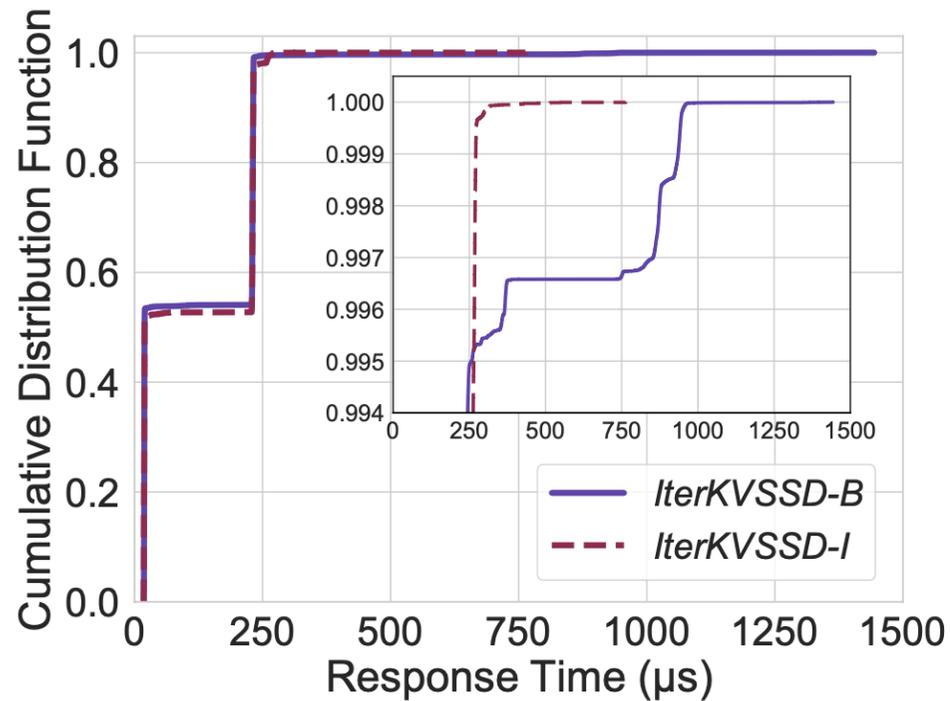
- **IterKVSSD prototyped on OpenSSD Cosmos+**
  - Extended NVMe Protocol for Iterator Command
- **Workloads**
  - RocksDB *db\_bench* benchmark for *SeekRandom* workload
  - 3M Key-Value pairs with 4B Key
  - Varied scan length\*, value size, and prefetch degree for value prefetch



*\*scan length = the number of KV pairs retrieved during a range query*

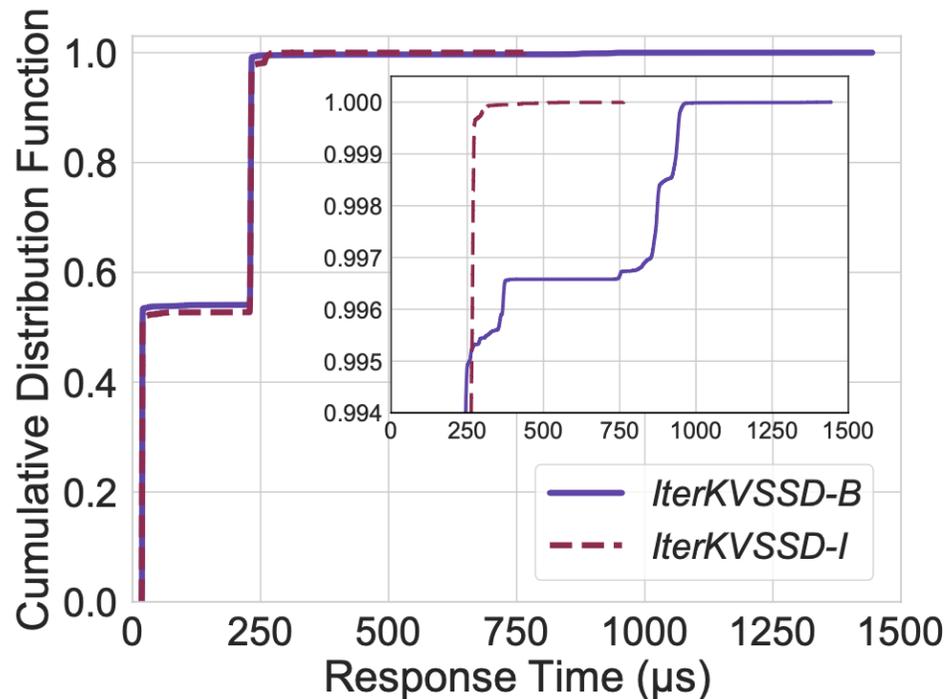
# Evaluation – Index Prefetch

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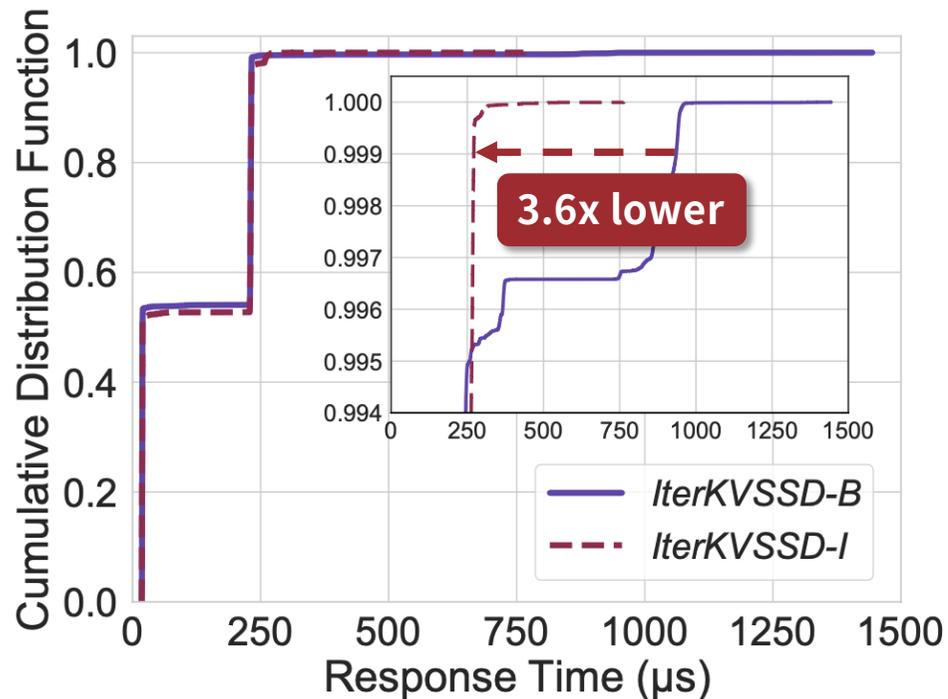
## • Effect of Index Prefetching



- **IterKVSSD-B**: Baseline w/o prefetch
- **IterKVSSD-I**: w/ Index Prefetch + w/o Value Prefetch
- Scan Length = 200,000 which is enough to trigger Synchronous Index Read
- Show about 3.6x better P99.9 tail latency
- Channel conflict prevents it from being removed completely

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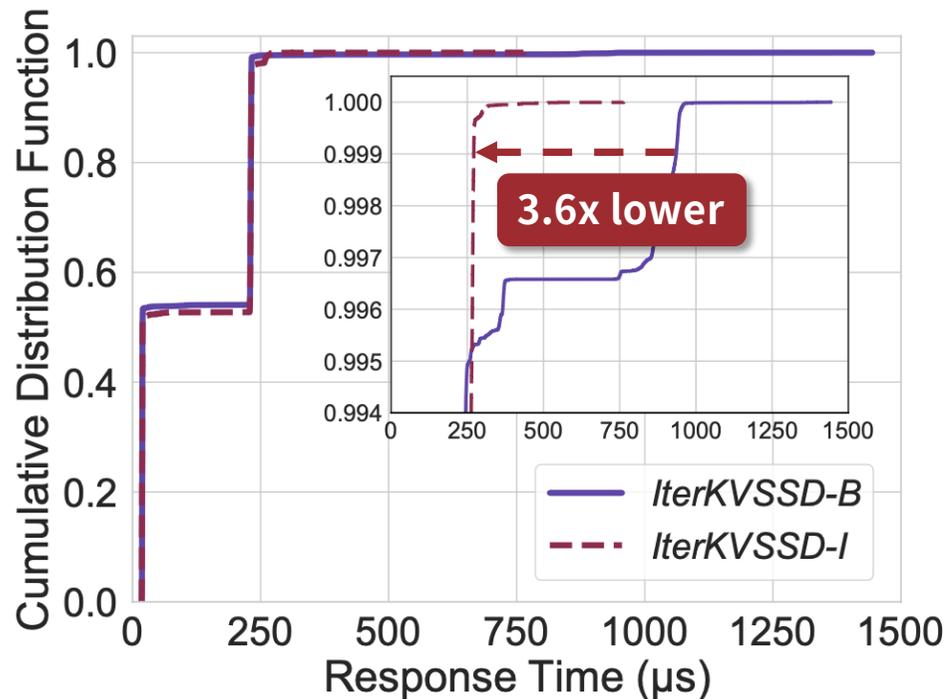
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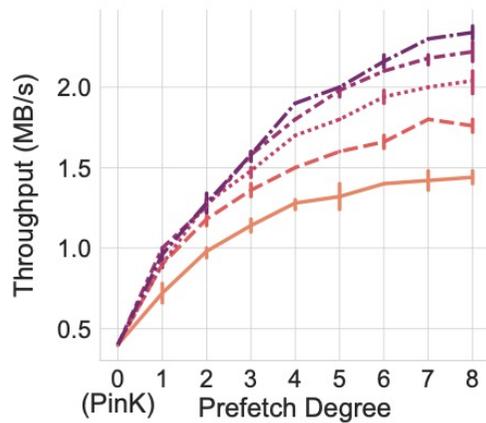


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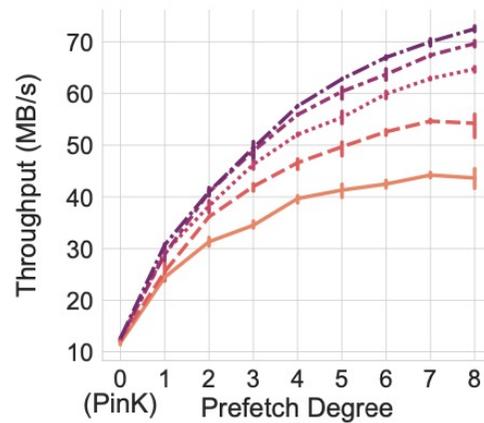
# Evaluation – Value Prefetch

- **Effect of Value Prefetching**
  - Evaluated with *SeekRandom* workload
  - Prefetch Degree: 0 – 8
  - Value Size : 128B, 4KB, 16KB, 128KB
  - Scan Length: 128, 256, 512, 1024, 2048

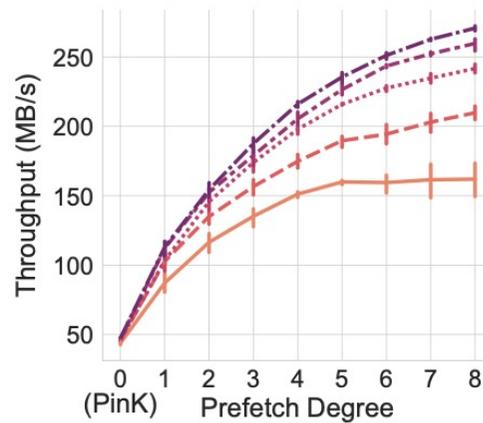
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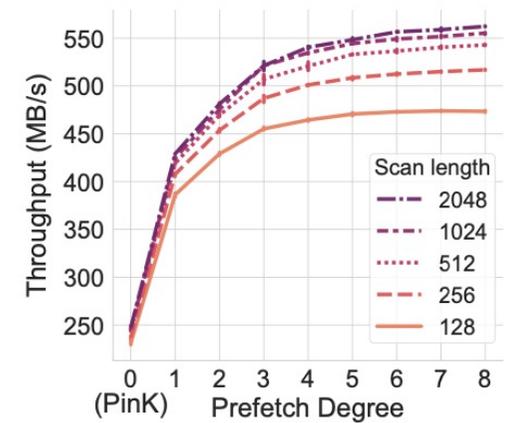
(a) 128 B



(b) 4 KB



(c) 16 KB

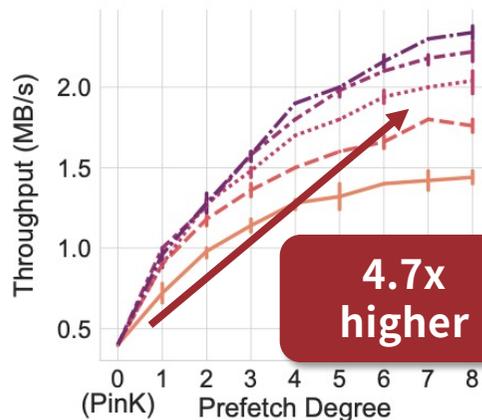


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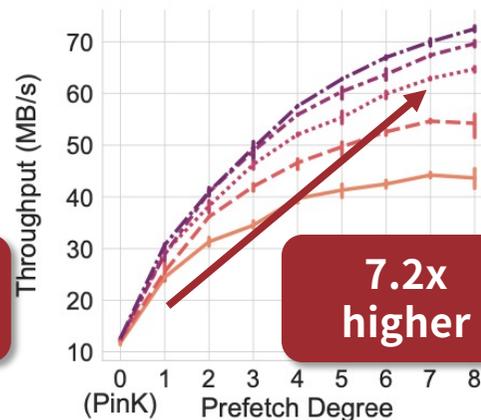
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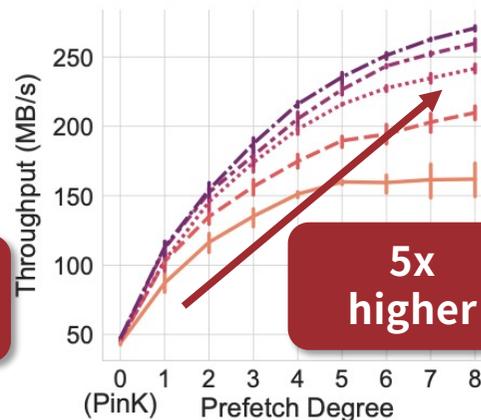
- With higher prefetch degree, better I/O performance because small prefetch degree is not enough to hide NAND Flash access latency completely
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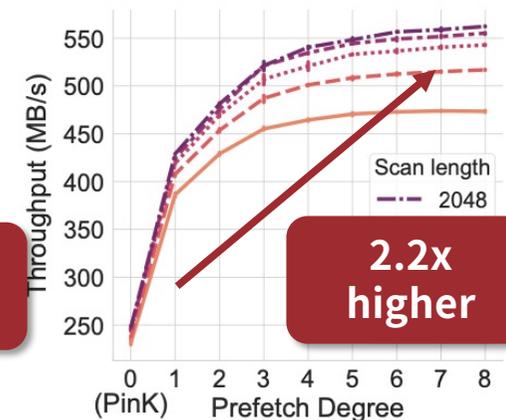
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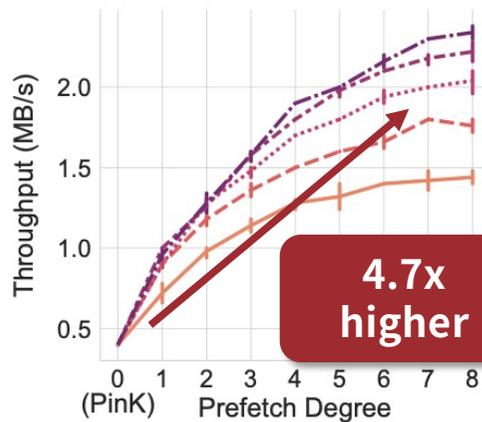


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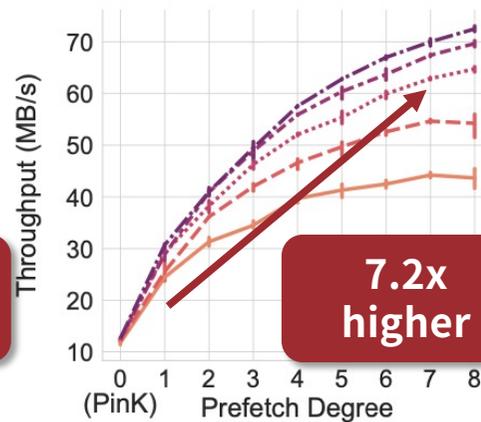
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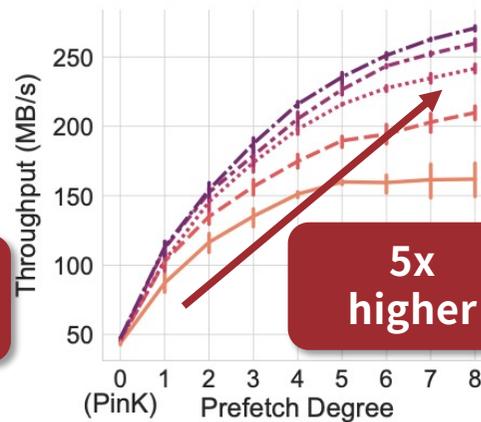
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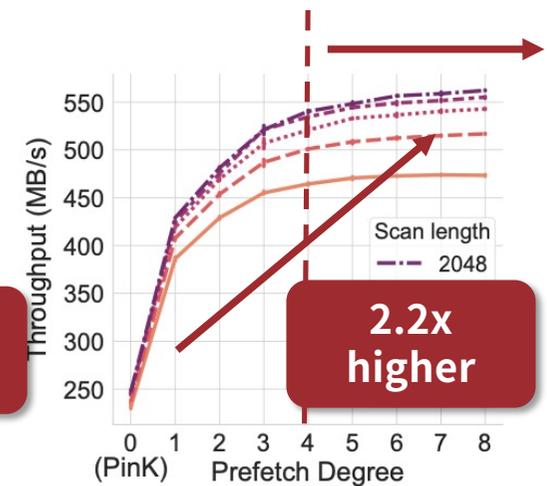
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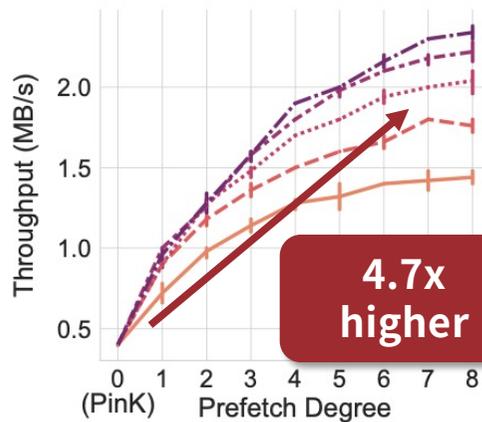


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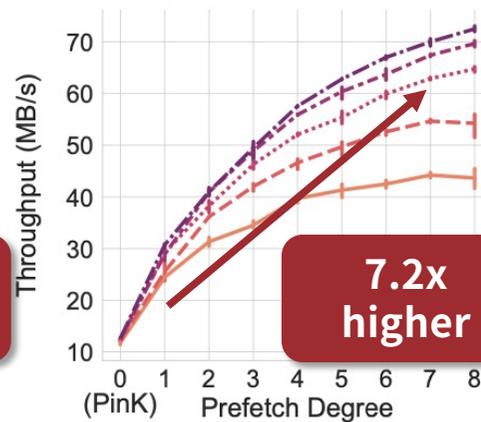
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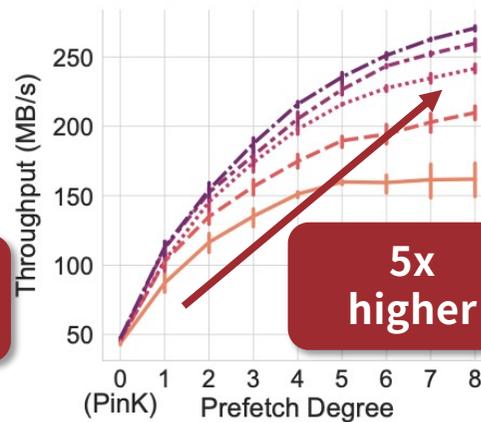
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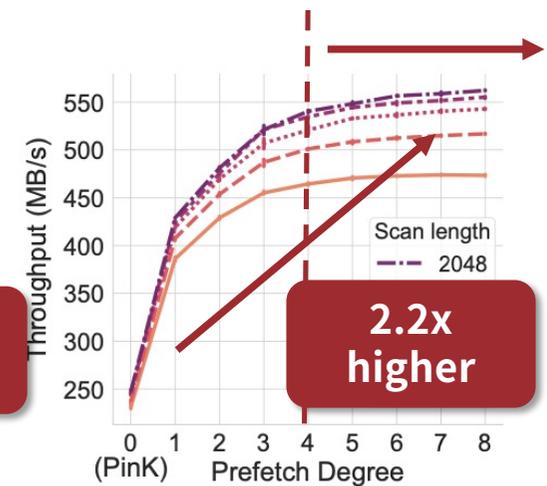
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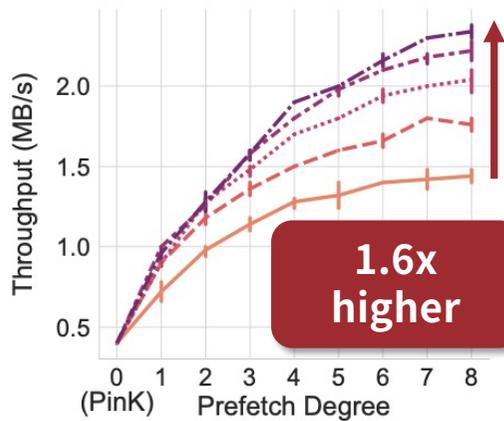
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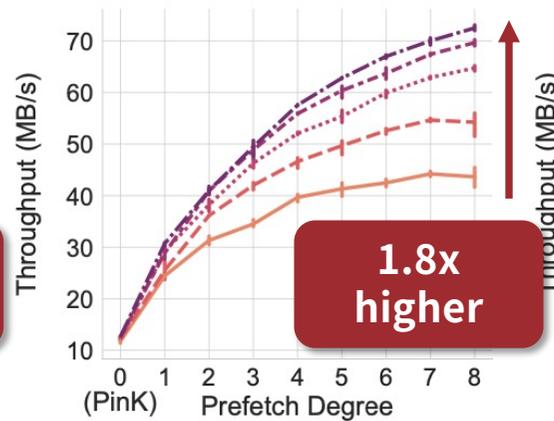
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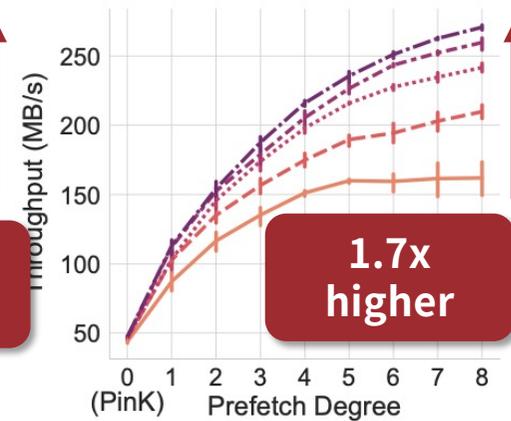
- **Effect of Scan Length in Range Query**
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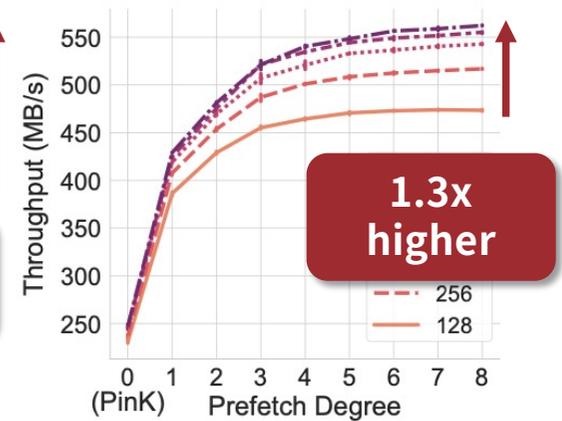
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# Conclusion

- **Explored three problems of current iterator interface**
  1. Versioning Problem
  2. Synchronous Index Read Problem
  3. Synchronous Value Read Problem
- **Proposed IterKVSSD**
  - Memory-efficient Versioning through decoupling and pooling metadata
  - Index/Value Prefetch to mitigate NAND Flash Access Penalty
- **Showed 2x lower P99.9 tail latency, up to 7.2x better I/O throughput**

# OctoKV: An Agile Network-Based Key-Value Storage System with Robust Load Orchestration

Yeohyeon Park<sup>1</sup>, Junhyeok Park<sup>1</sup>, Awais Khan<sup>2</sup>, Junghwan Park<sup>1</sup>, Chang-Gyu Lee<sup>1</sup>,  
Woosuk Chung<sup>3</sup>, Youngjae Kim<sup>1</sup>

MASCOTS'23

Presenter: Youngjae Kim

# Content

- Background
- Problem Definition
- Motivational Experiments
- OctoKV: Design and Implementation
- Evaluation
- Conclusion

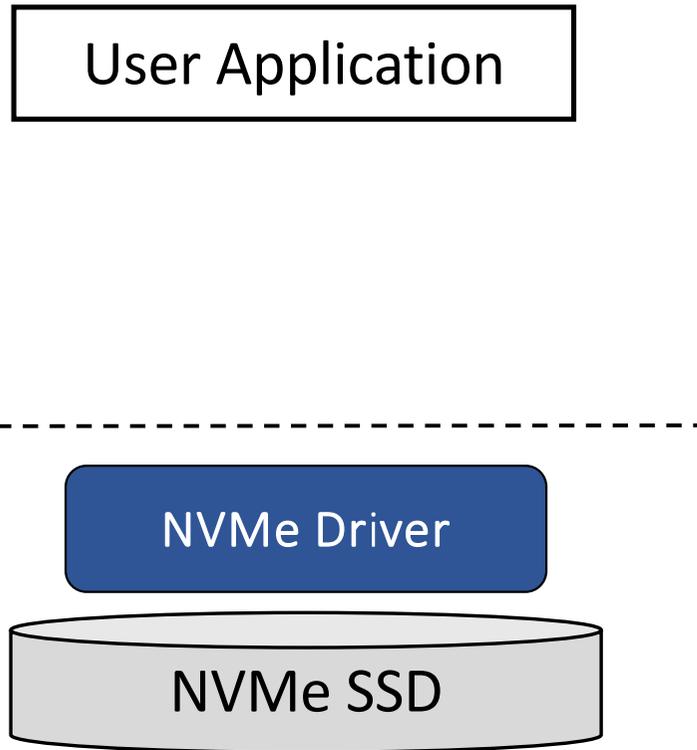
# (1) User Space NVMe Driver

User Application

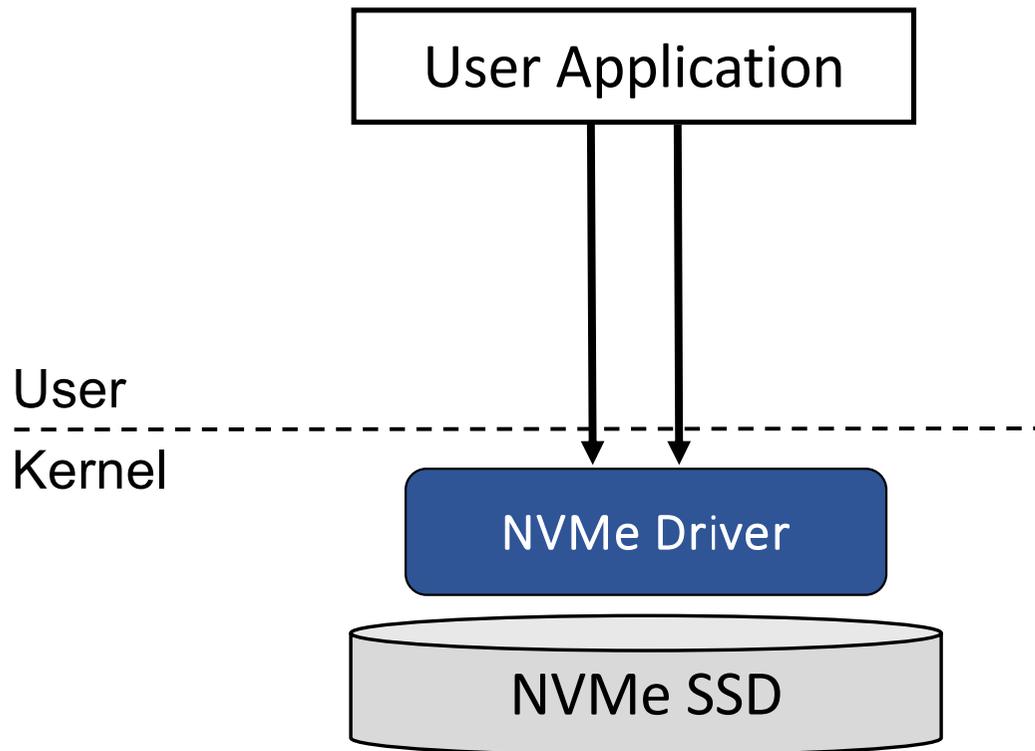
User  
-----  
Kernel

NVMe Driver

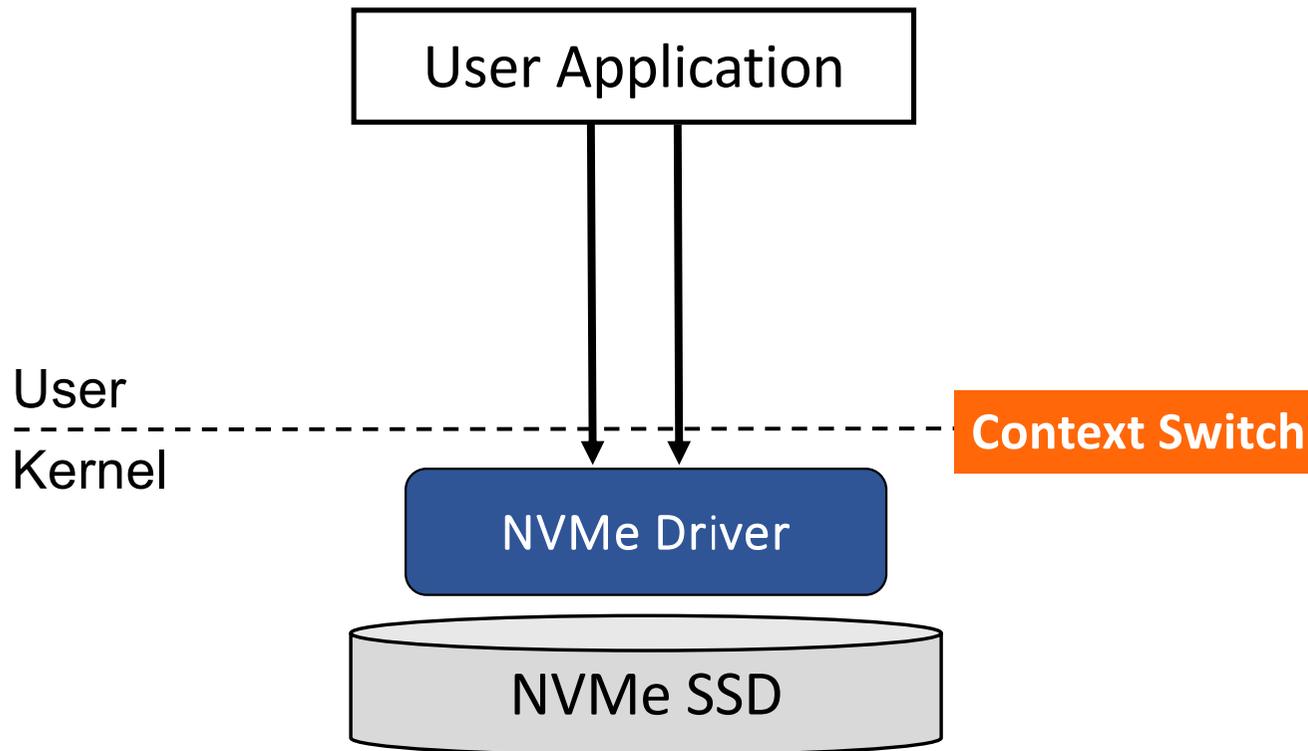
NVMe SSD



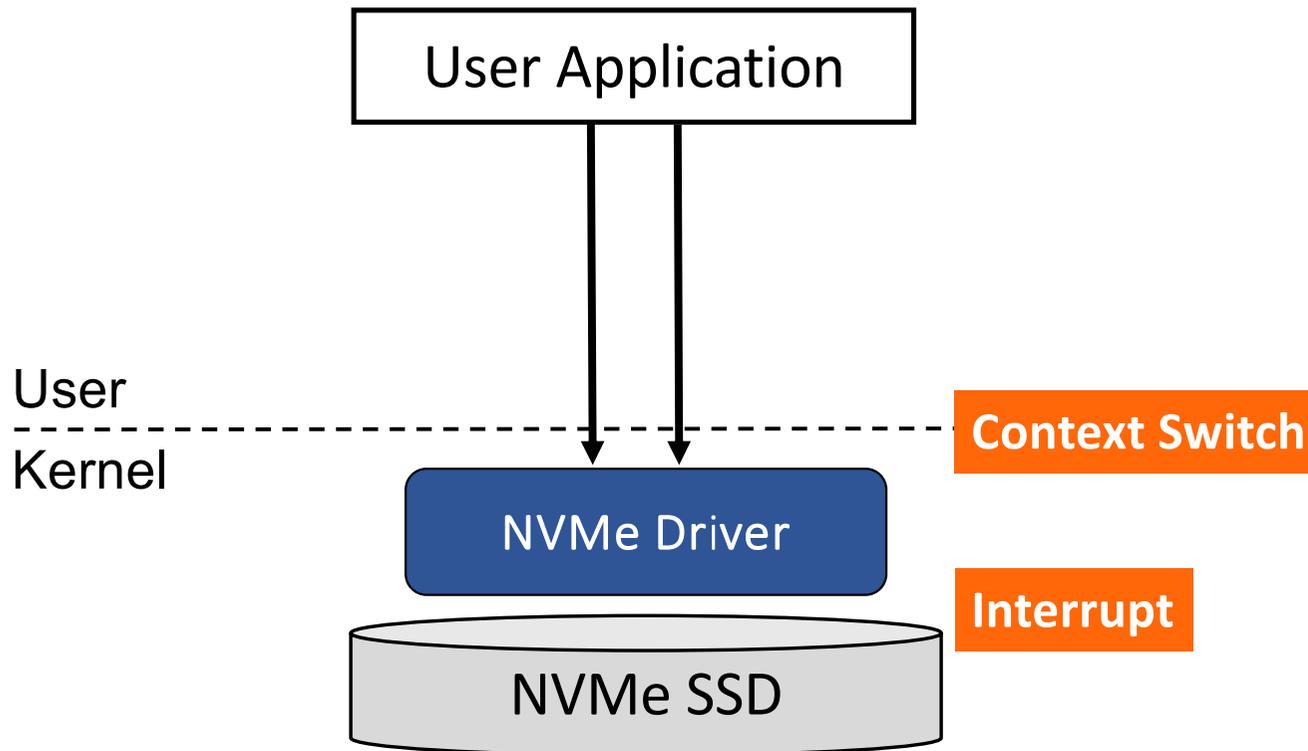
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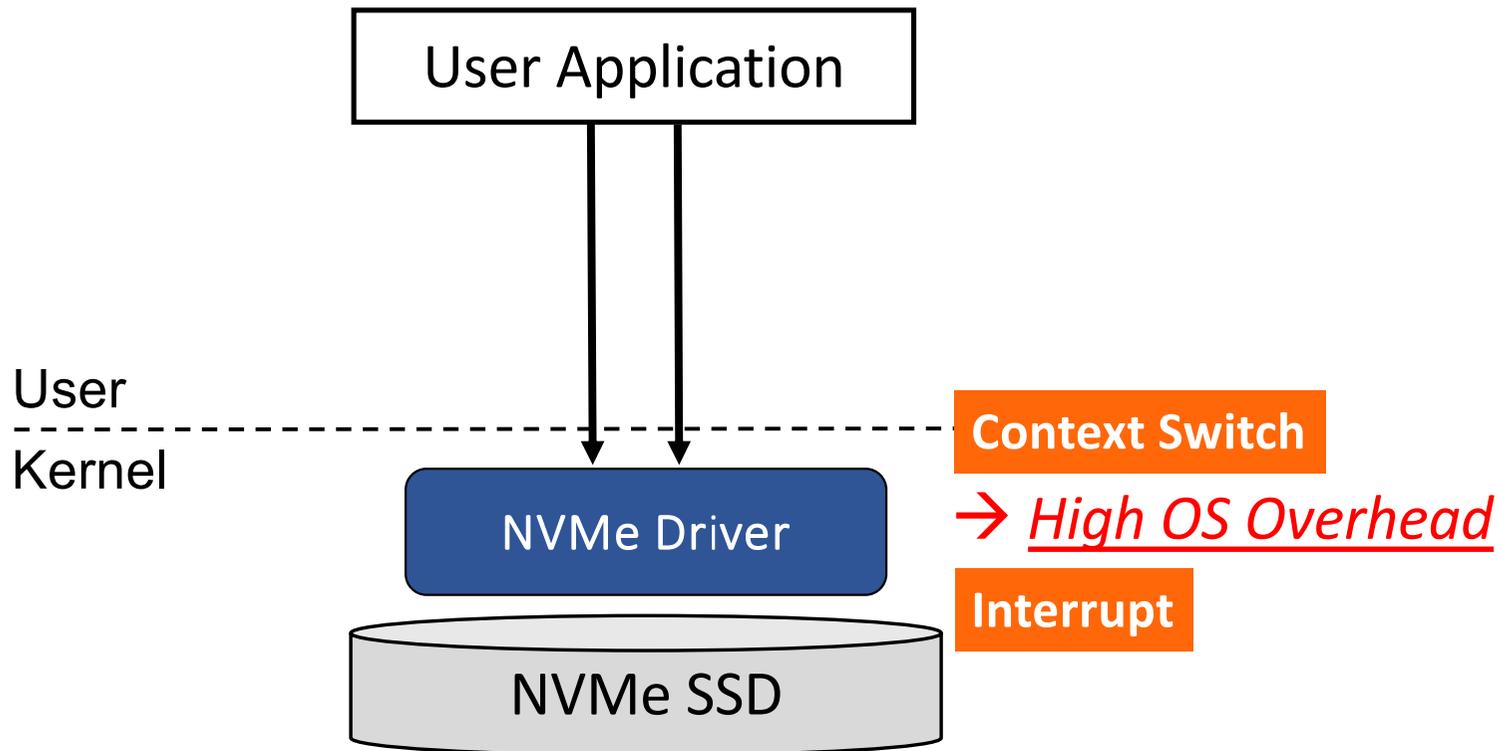
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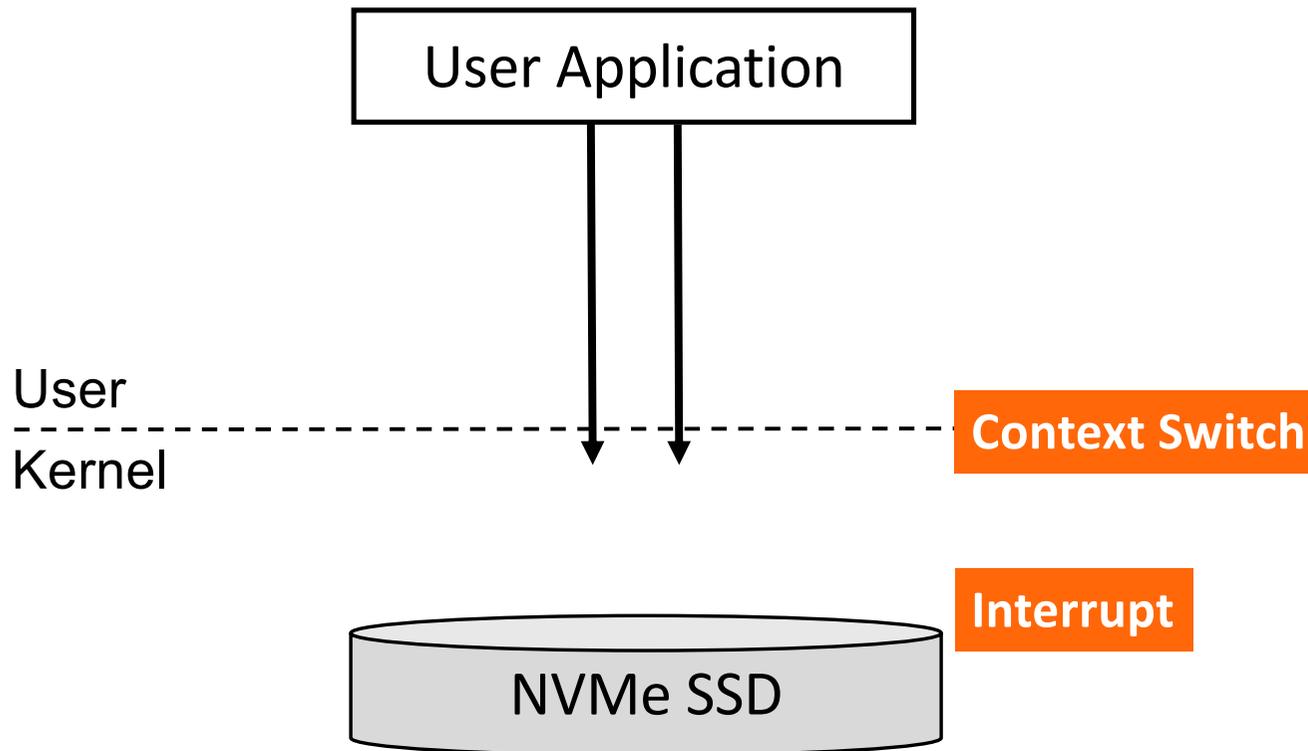
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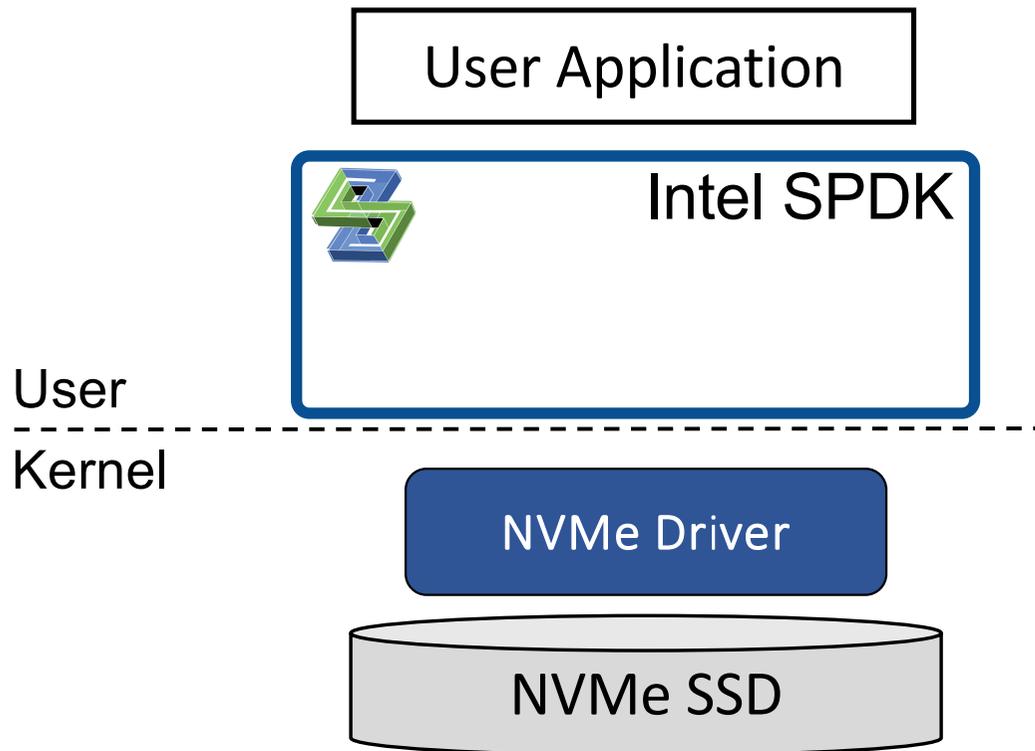
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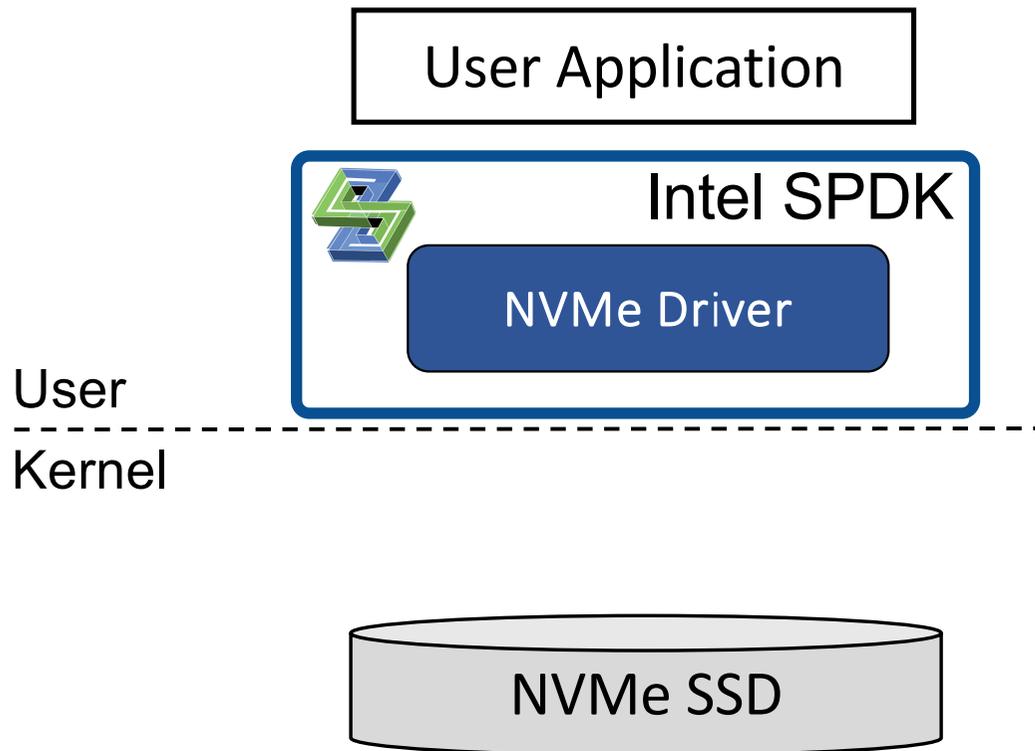
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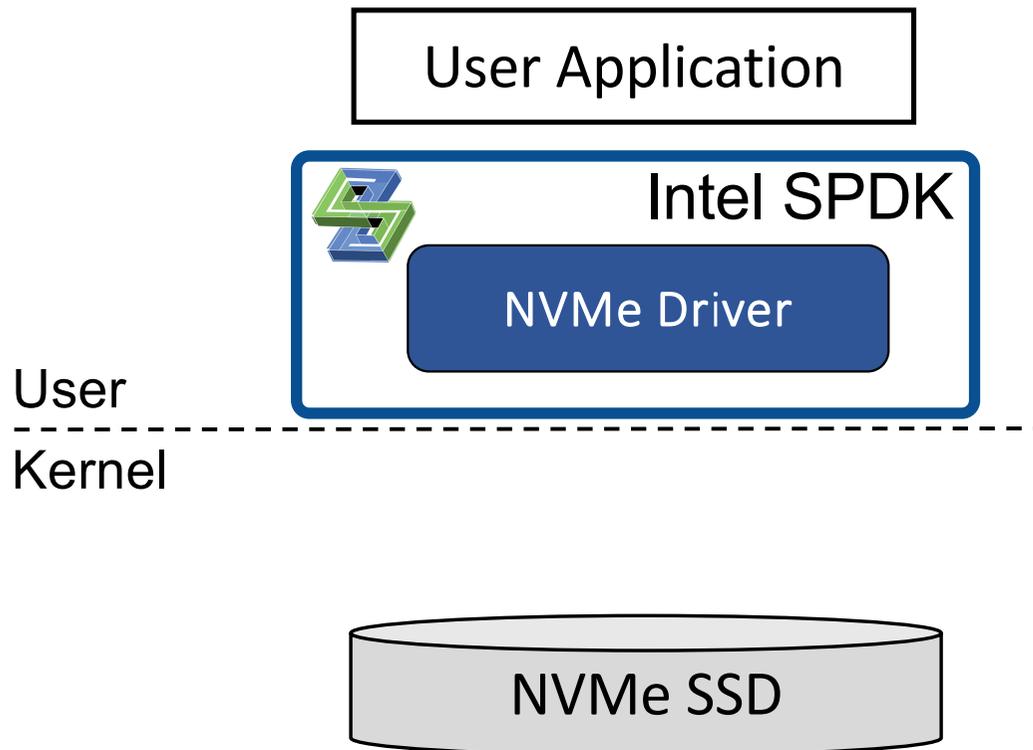
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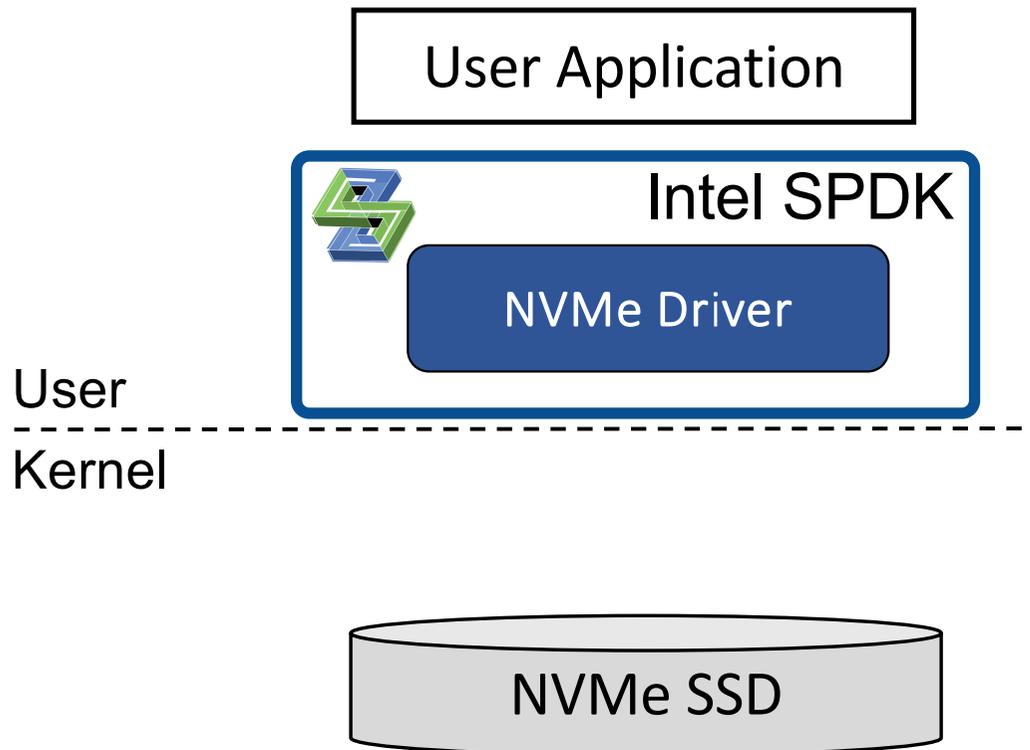


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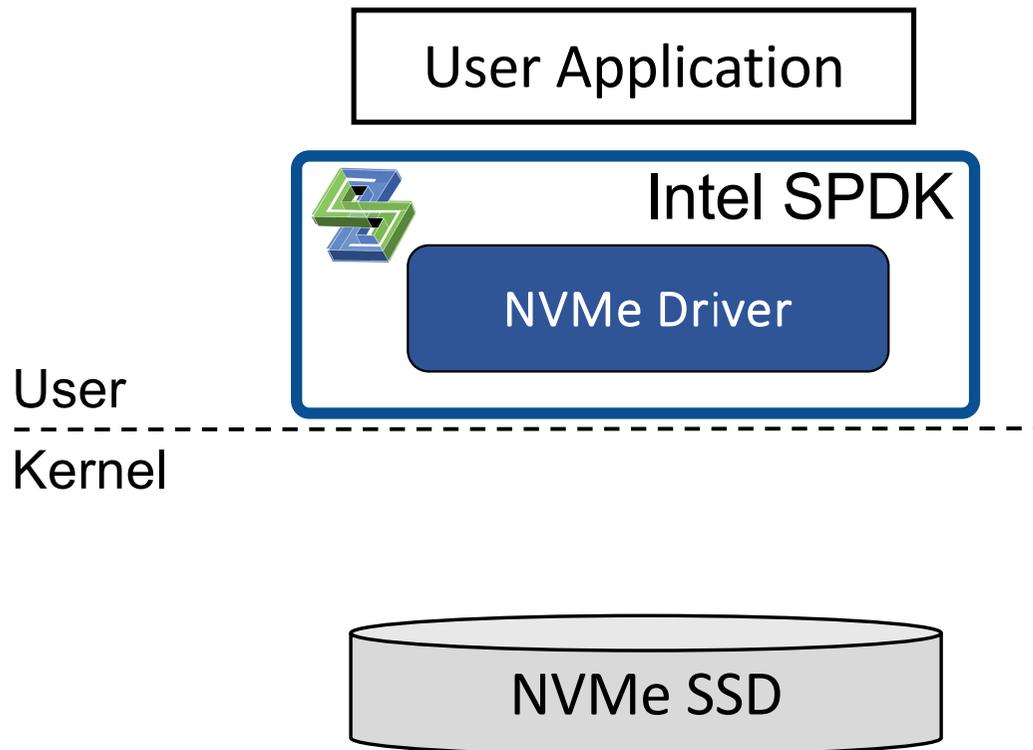
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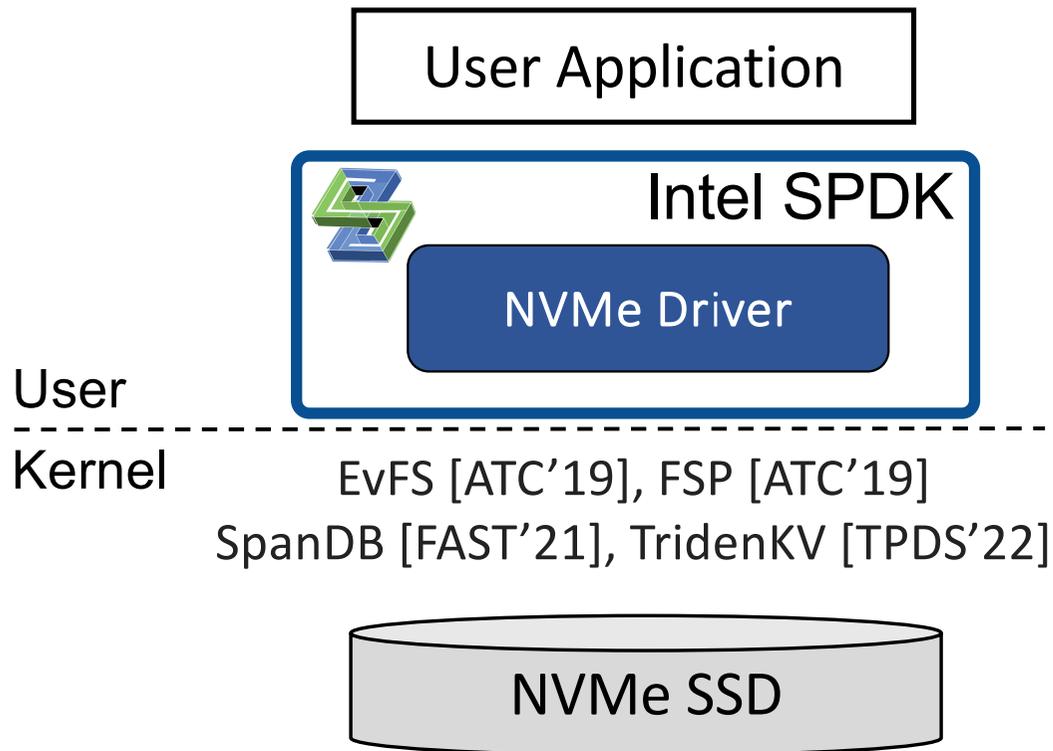


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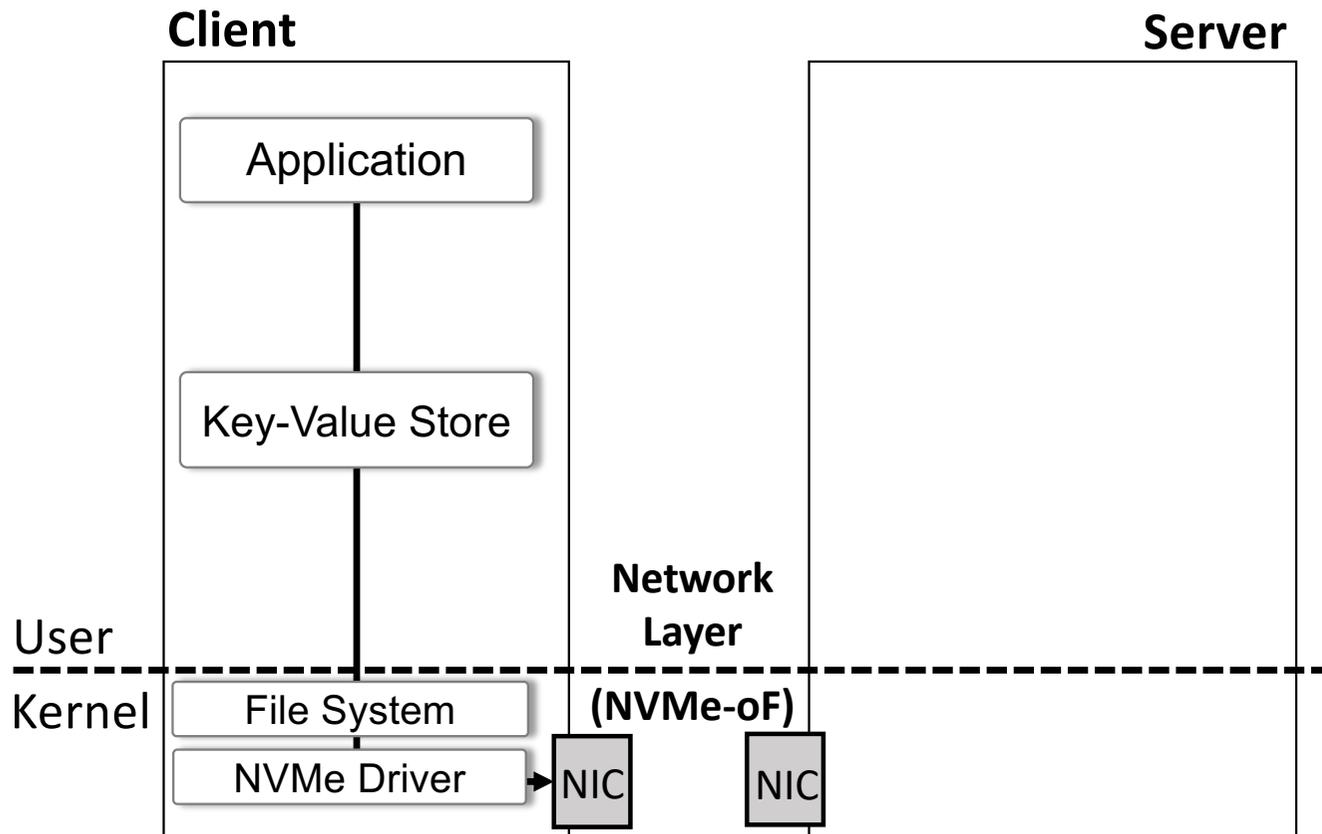


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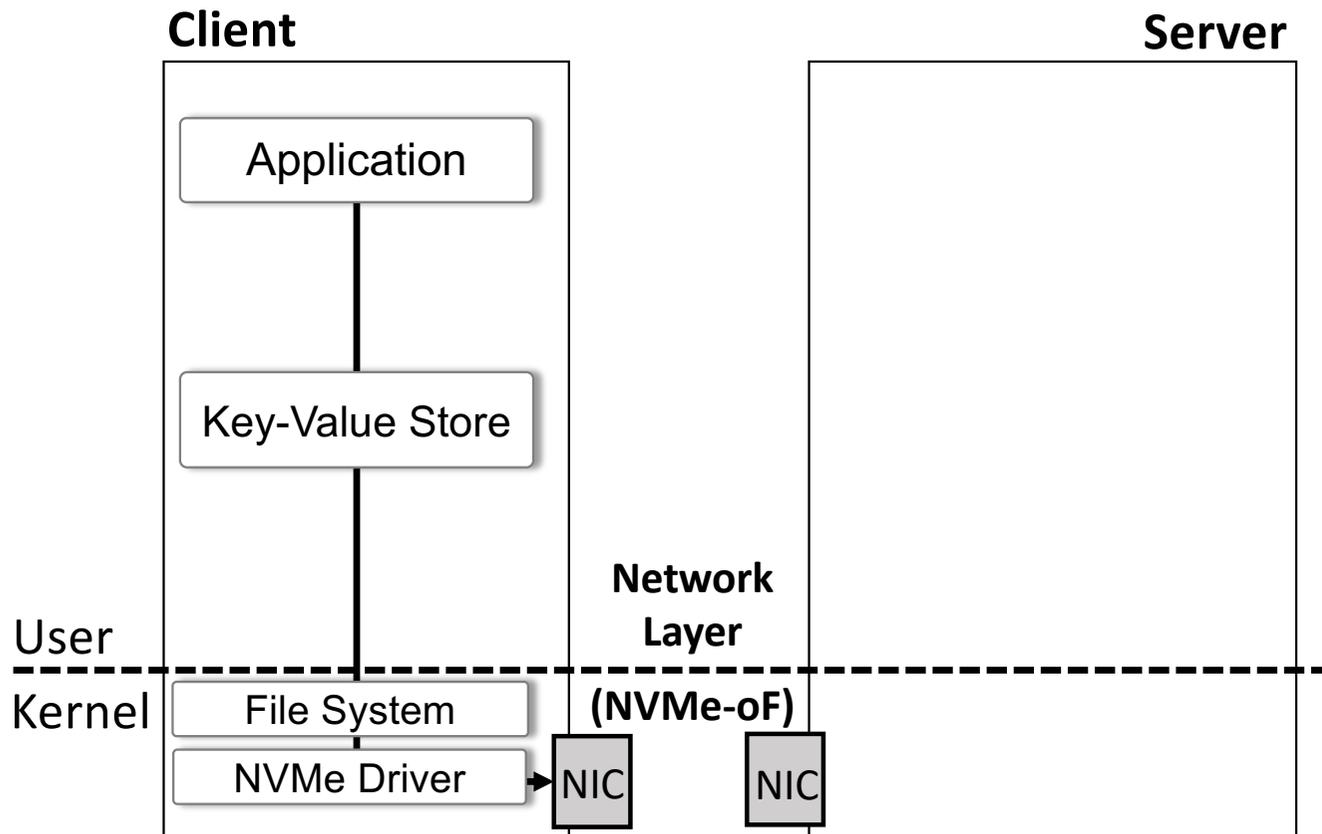
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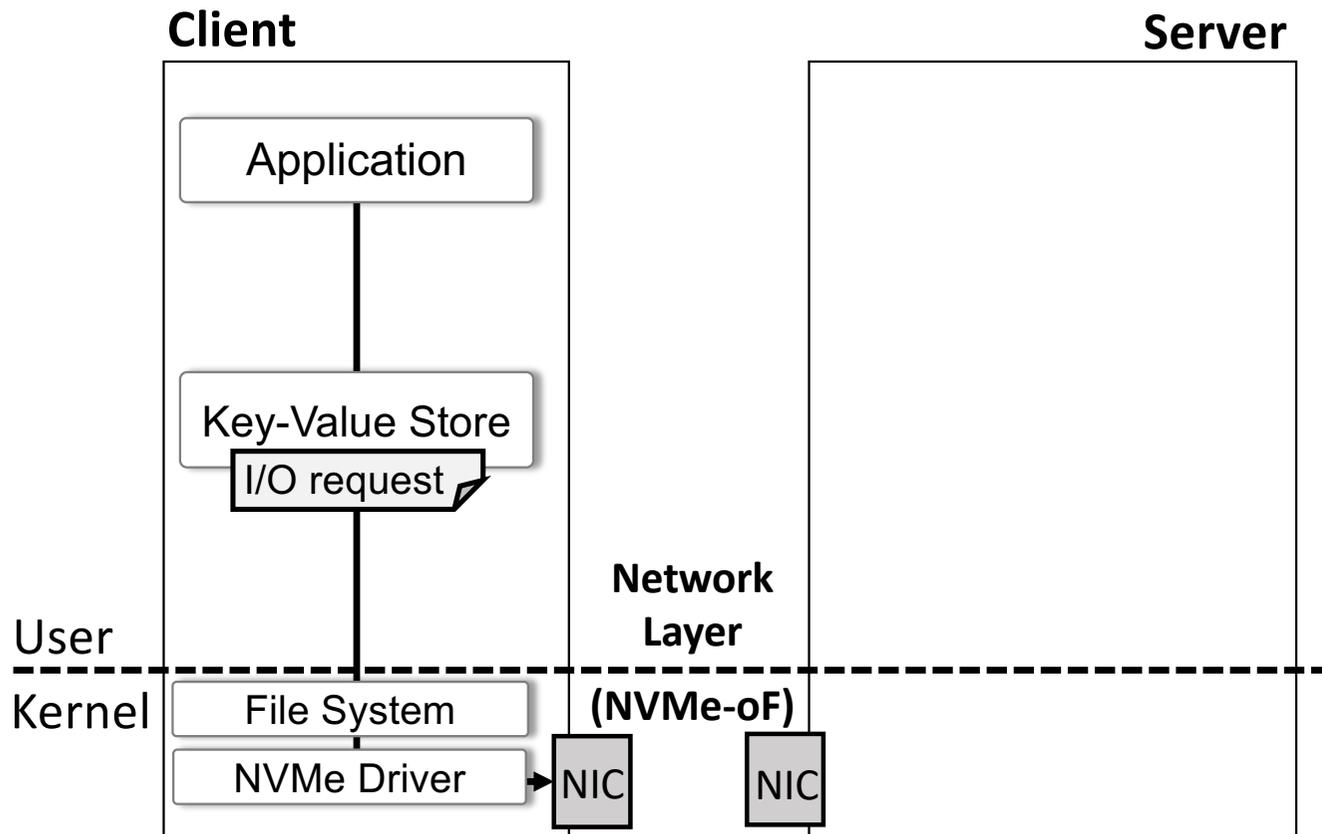
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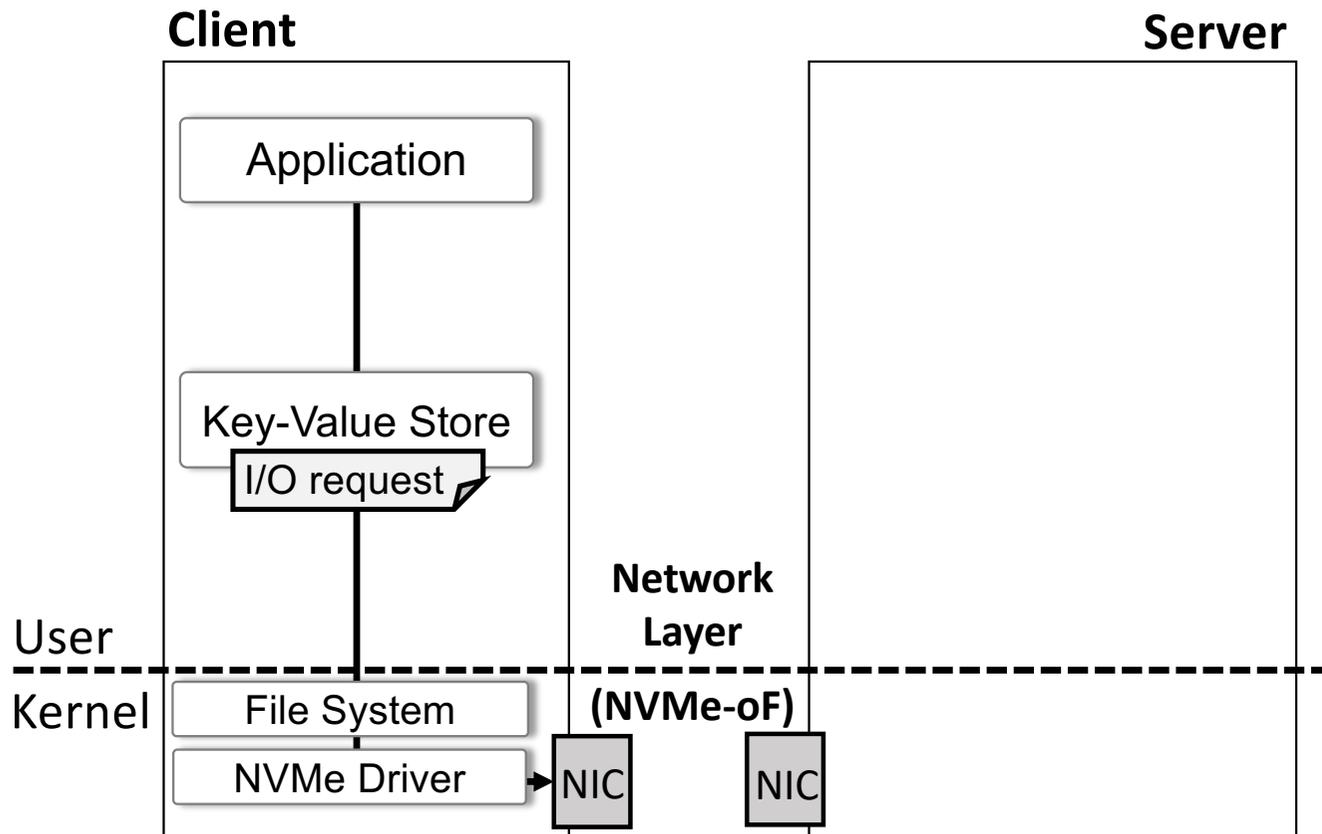
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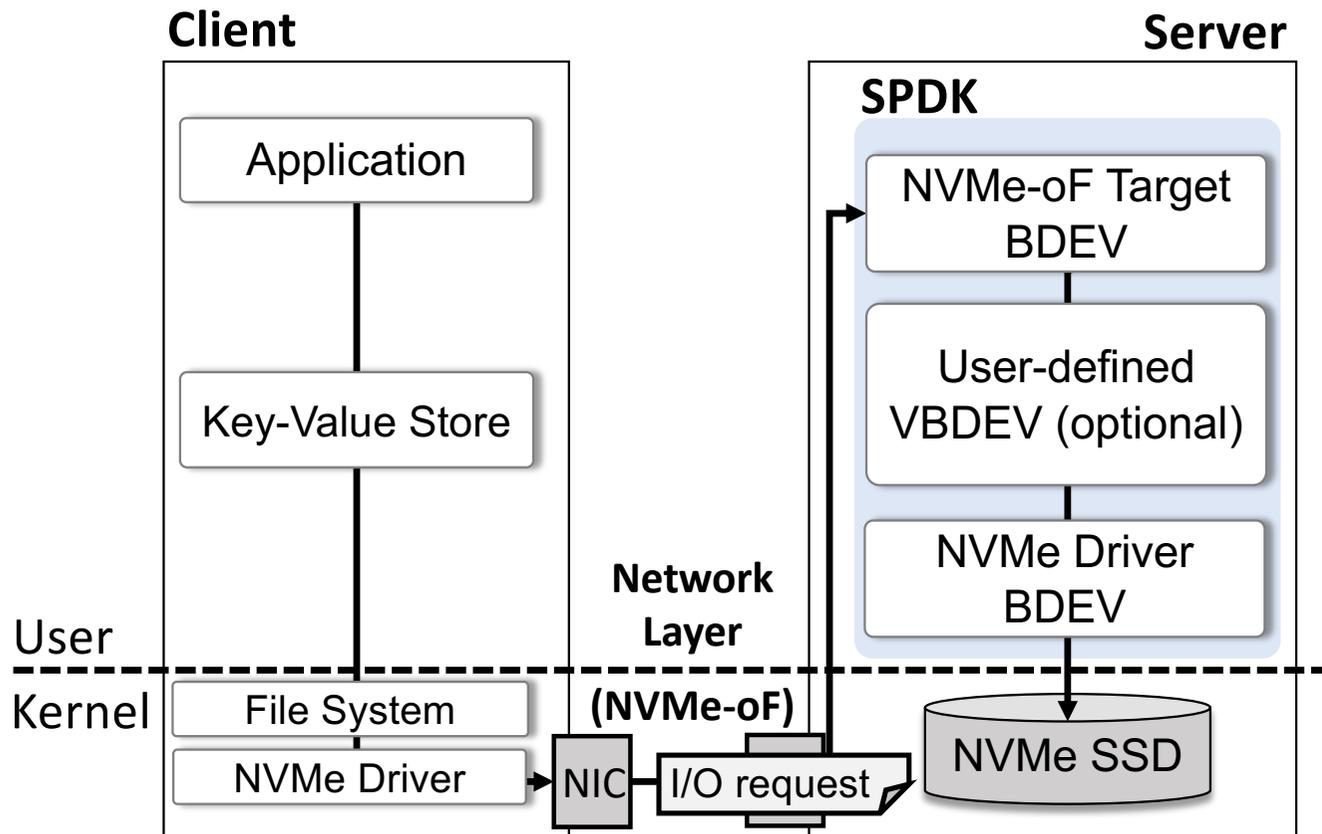
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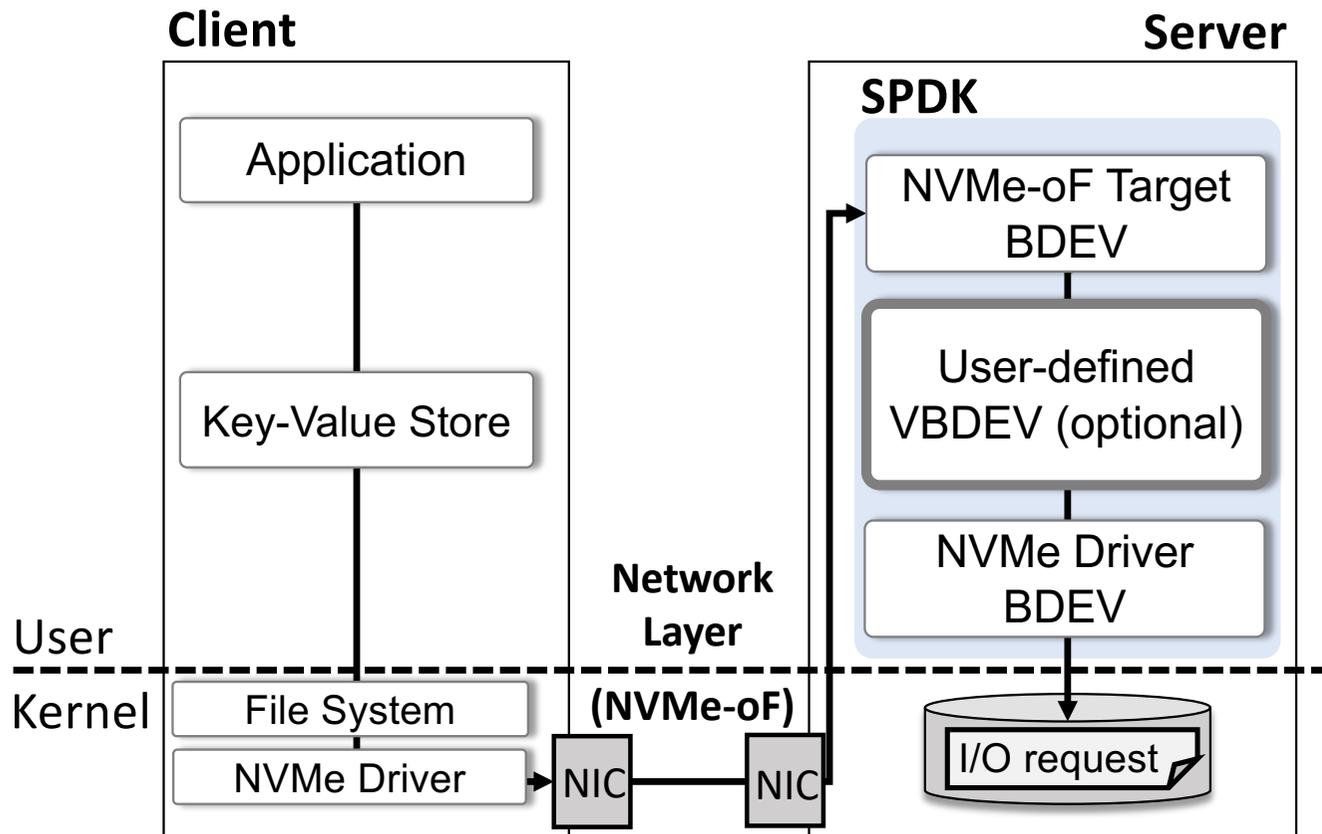
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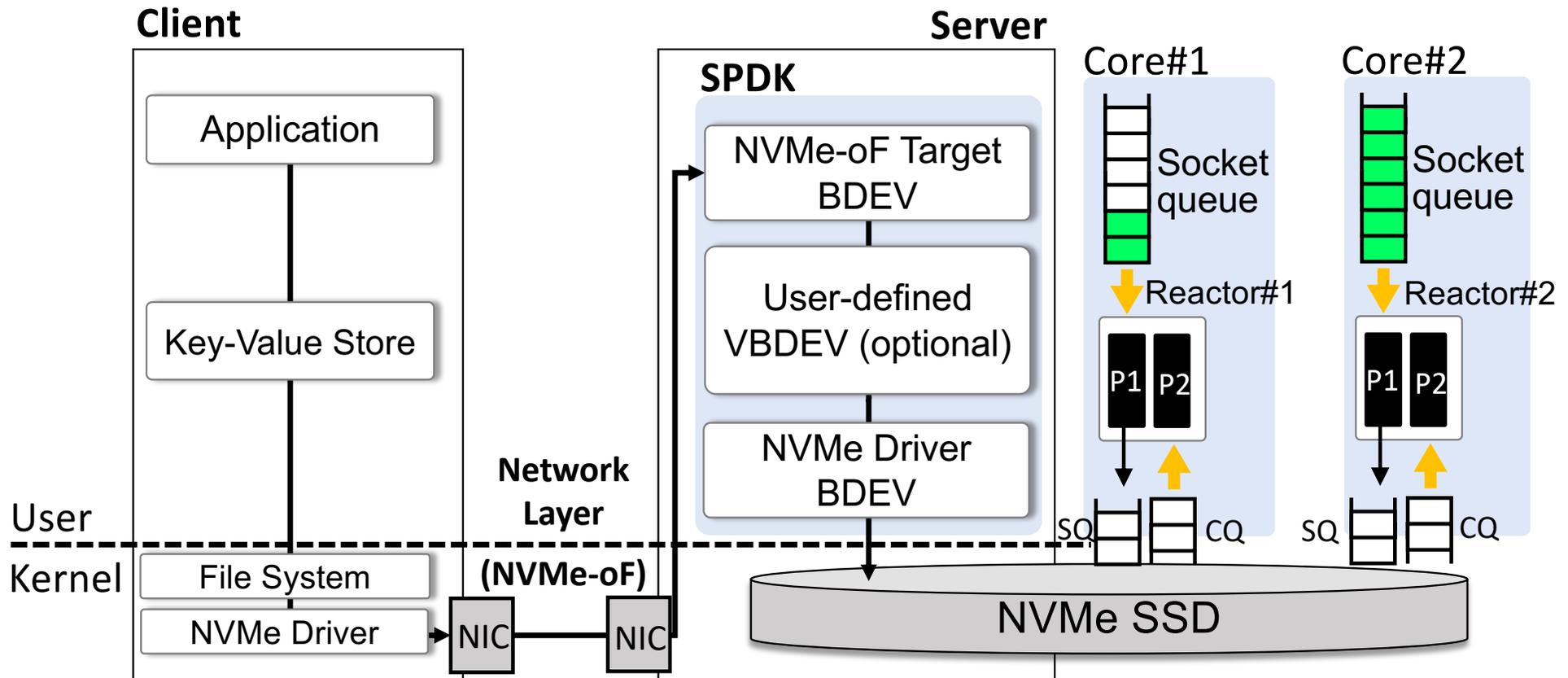
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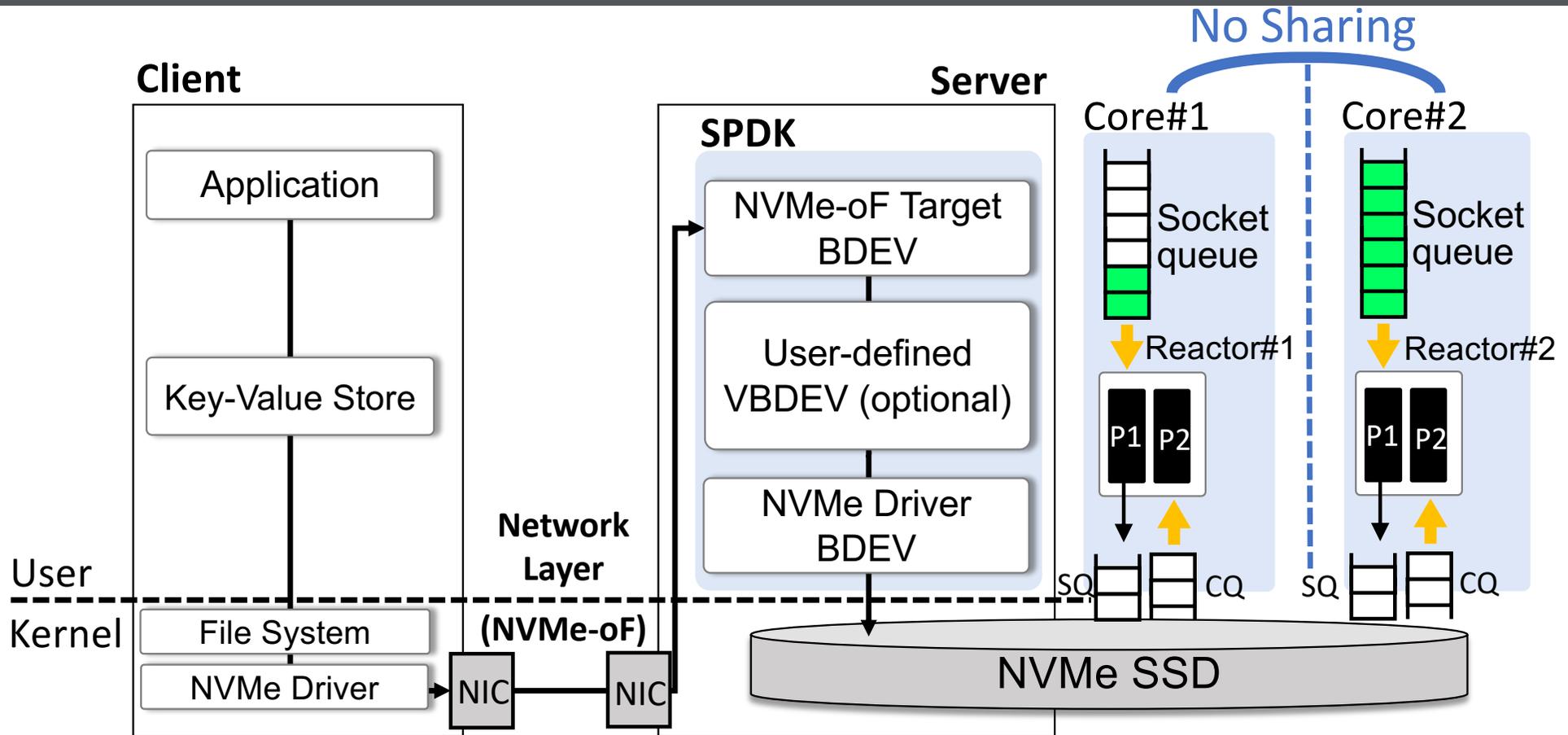
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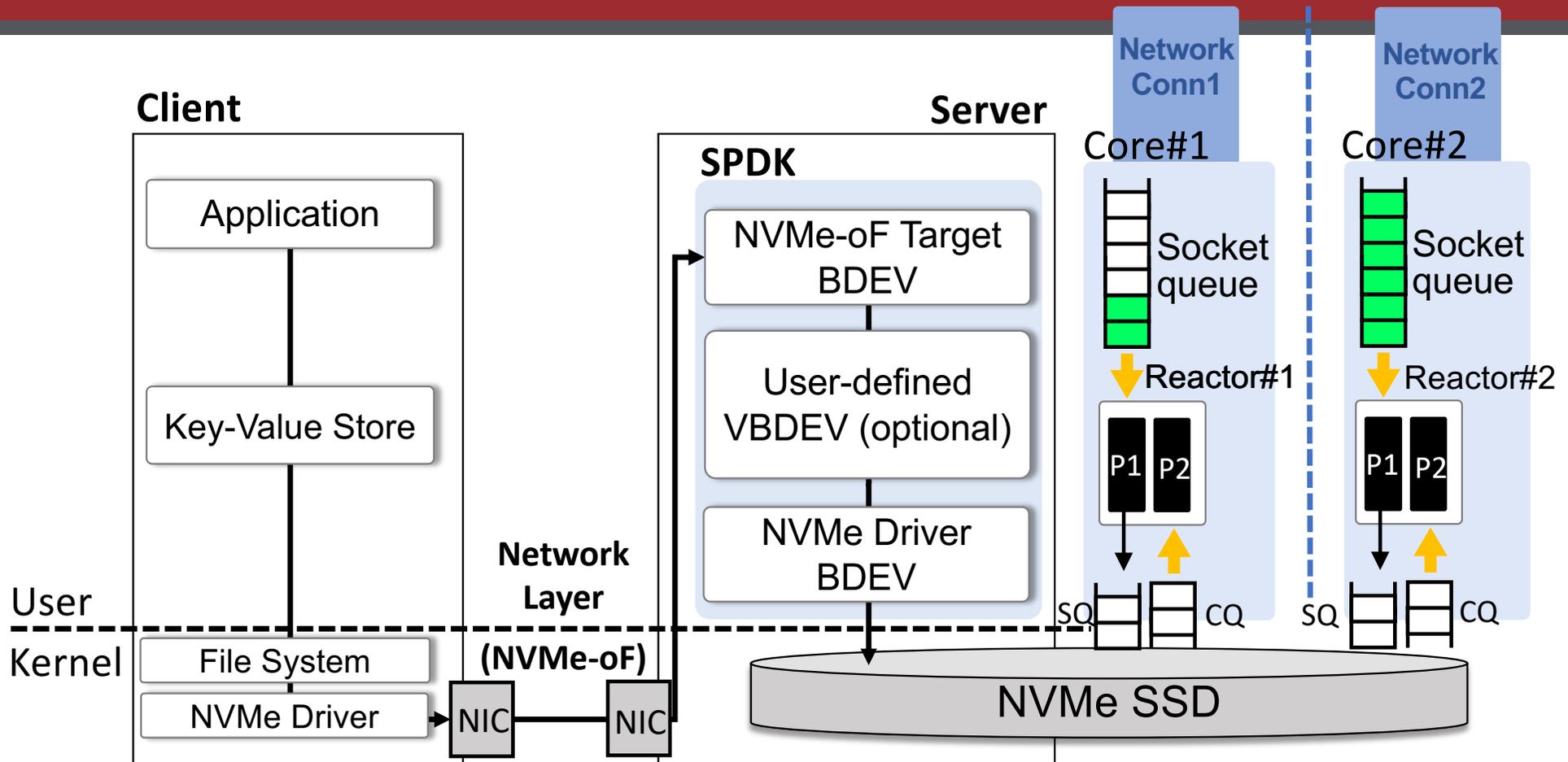
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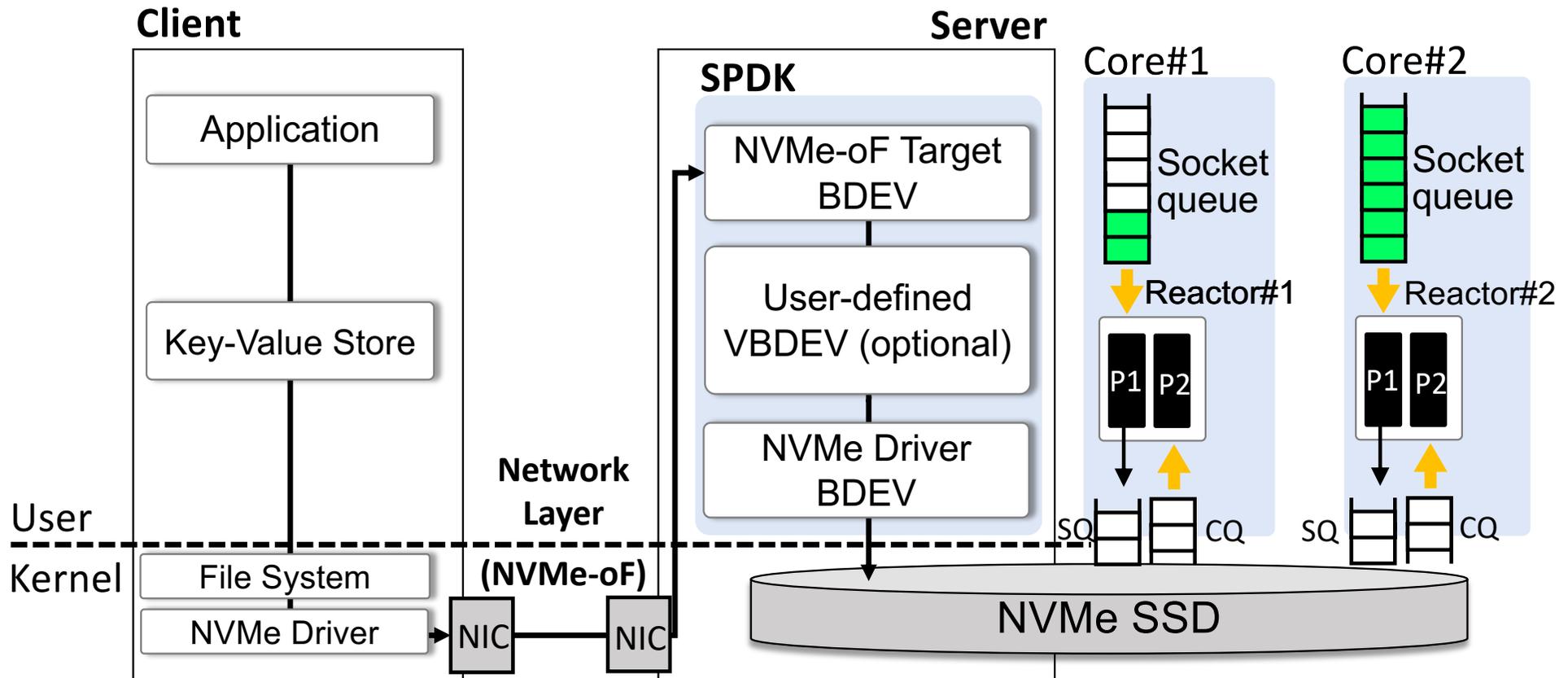
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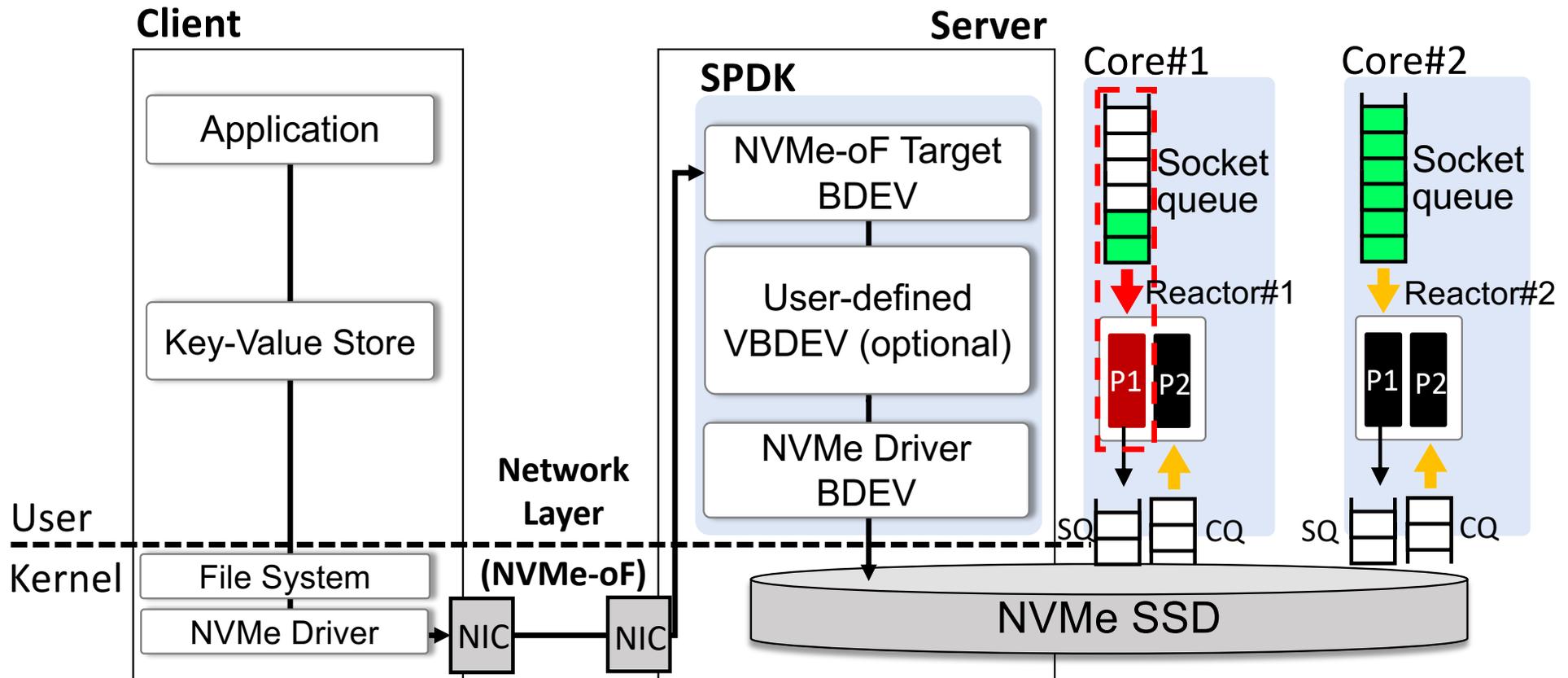
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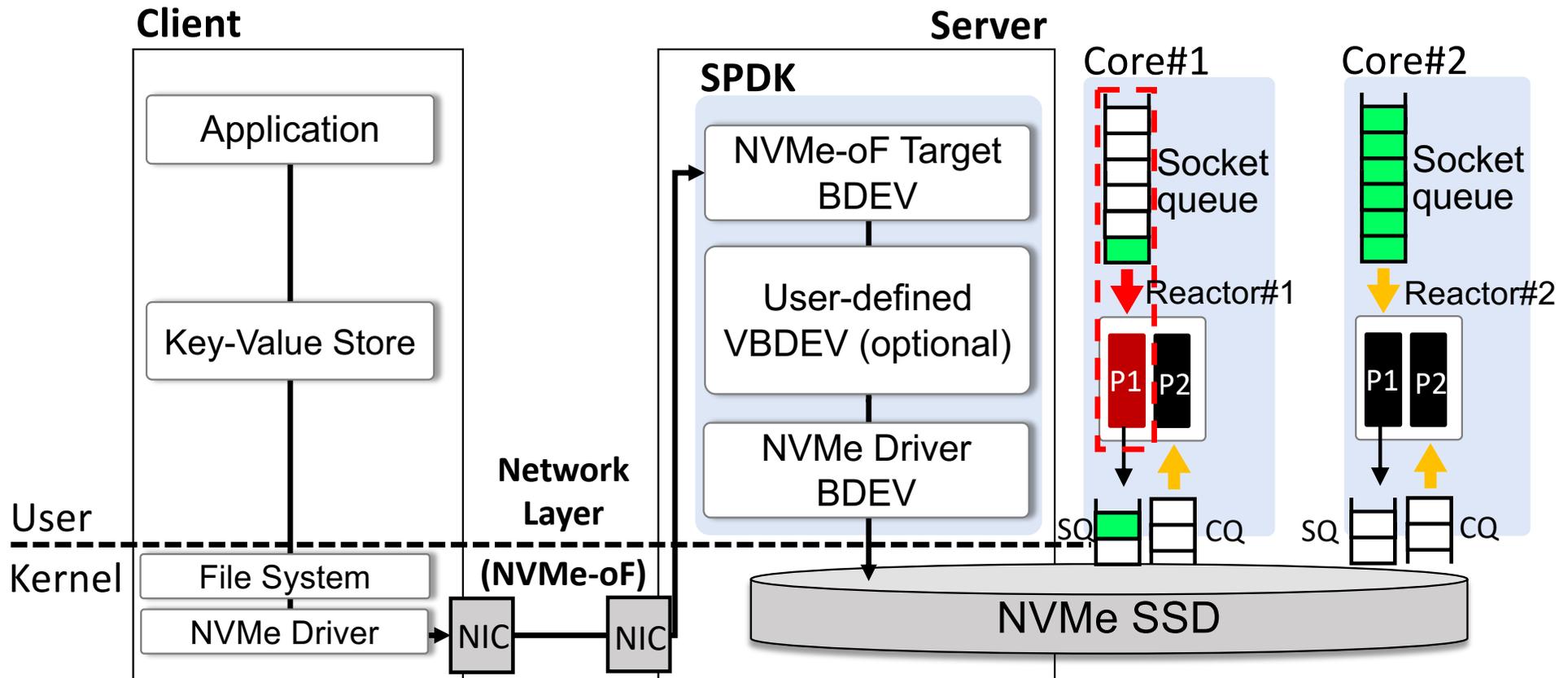
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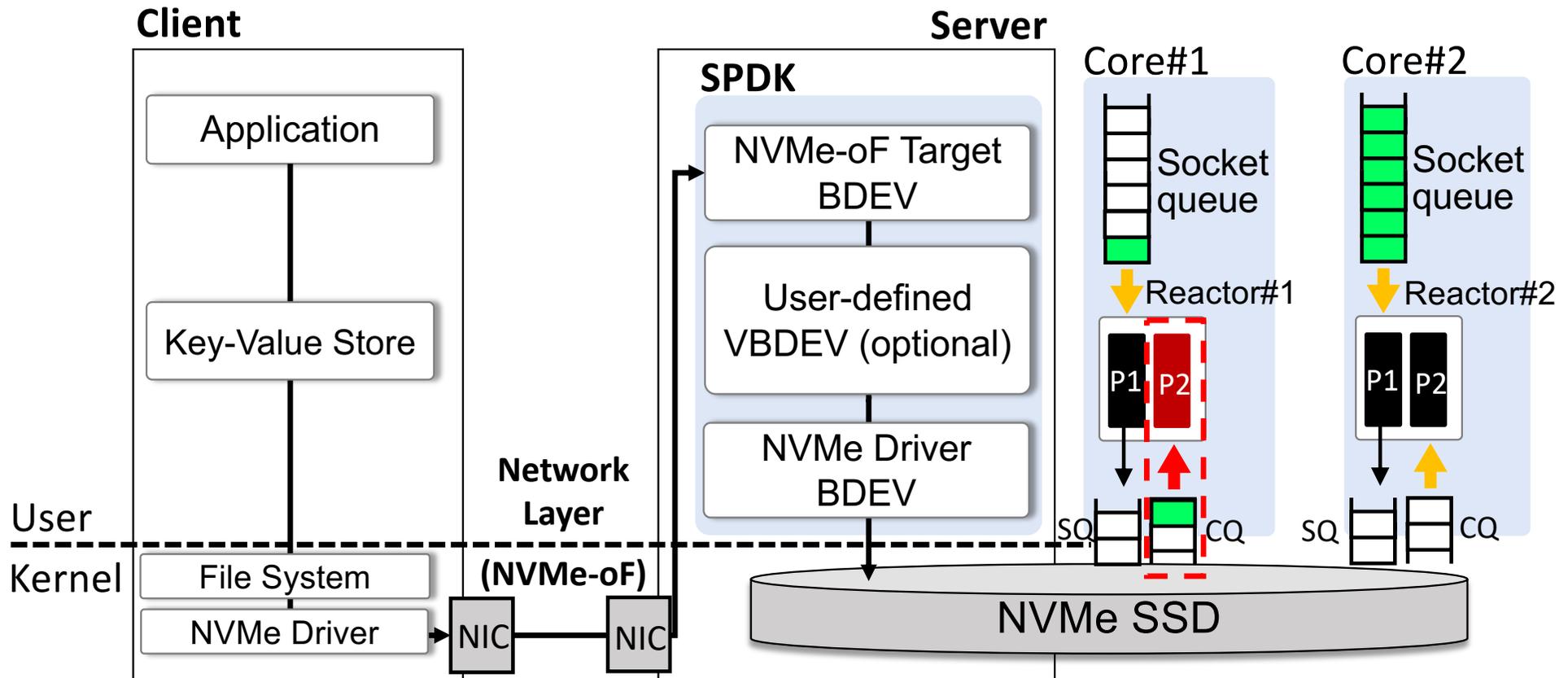
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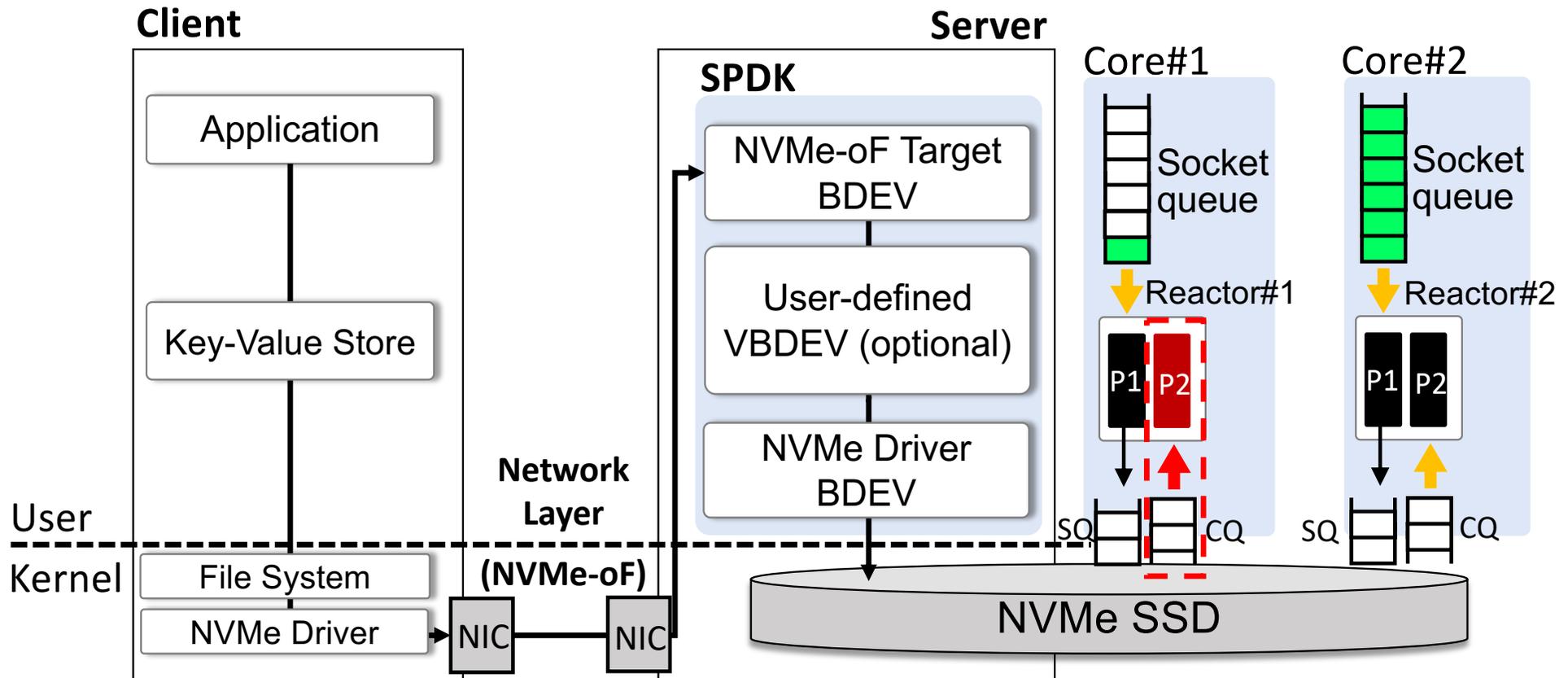
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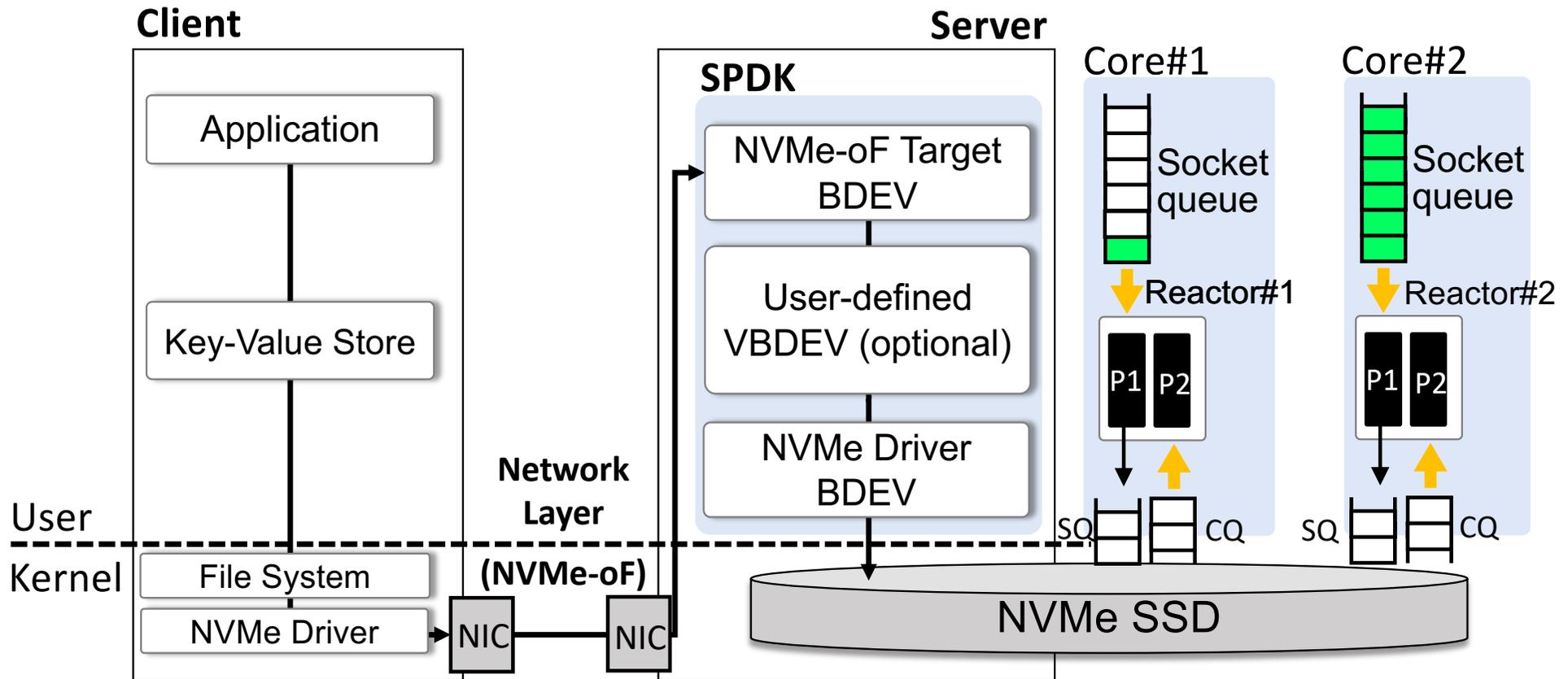
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## (2) SPDK-based Network-based Block Storage

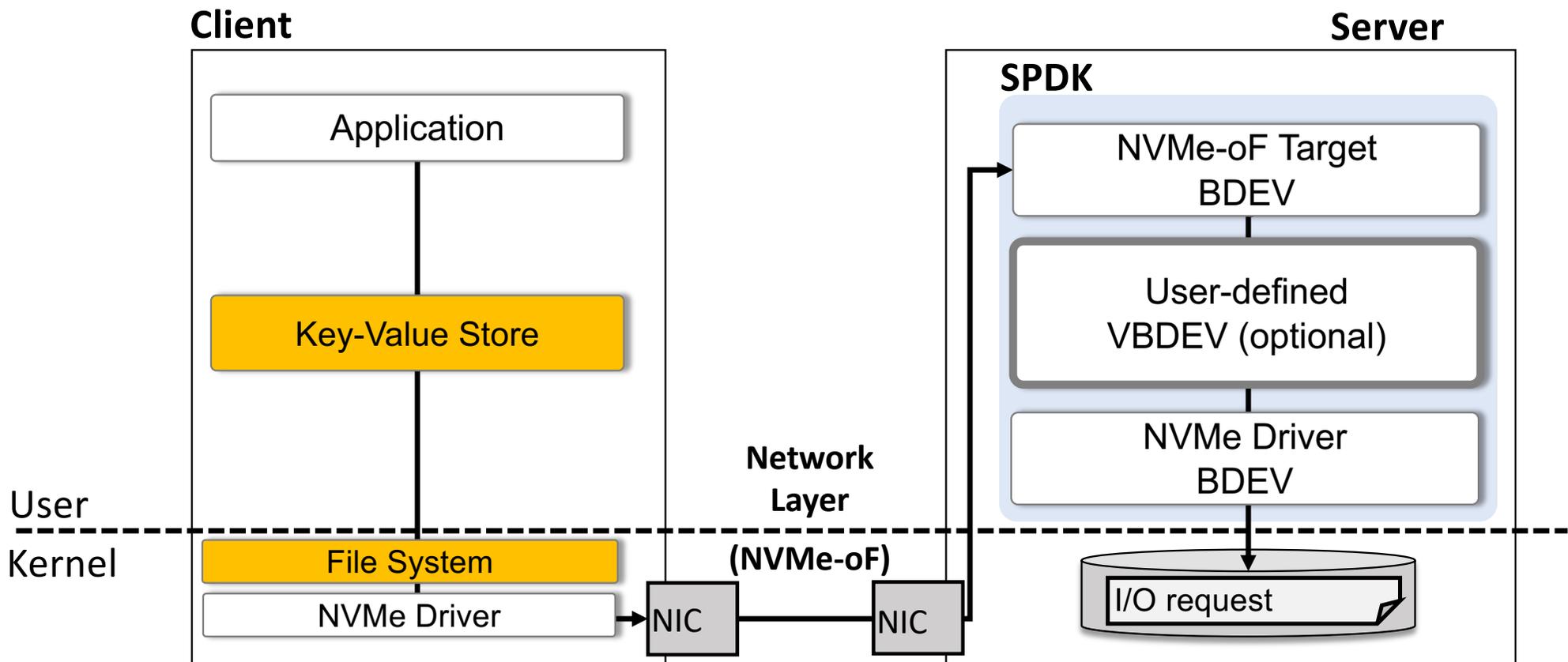


## (2) SPDK-based Network-based Block Storage



# Target Architecture

# Disaggregated Network-based Key-Value Storage System



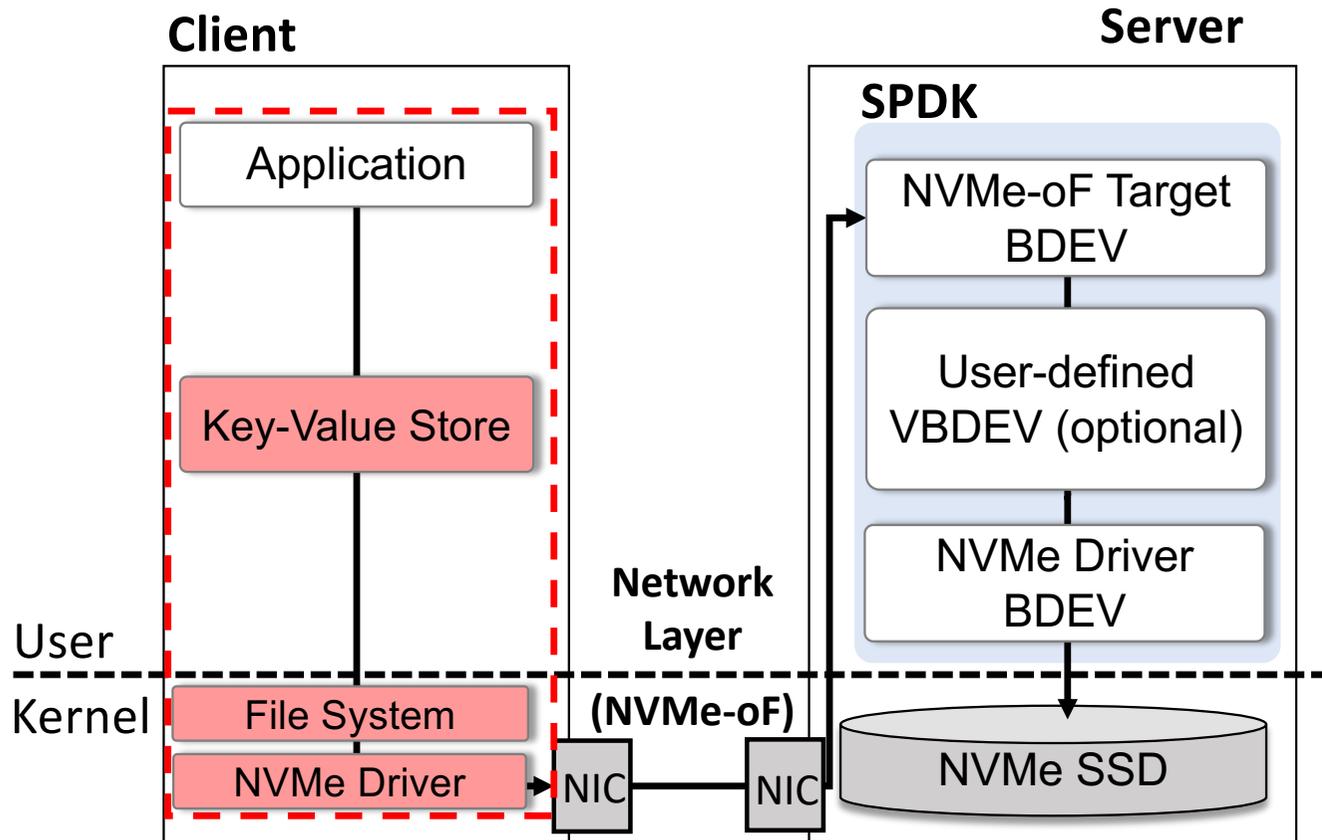
# Problem Definition

**Disaggregated Networked-based Key-Value Storage System has the following two problems**

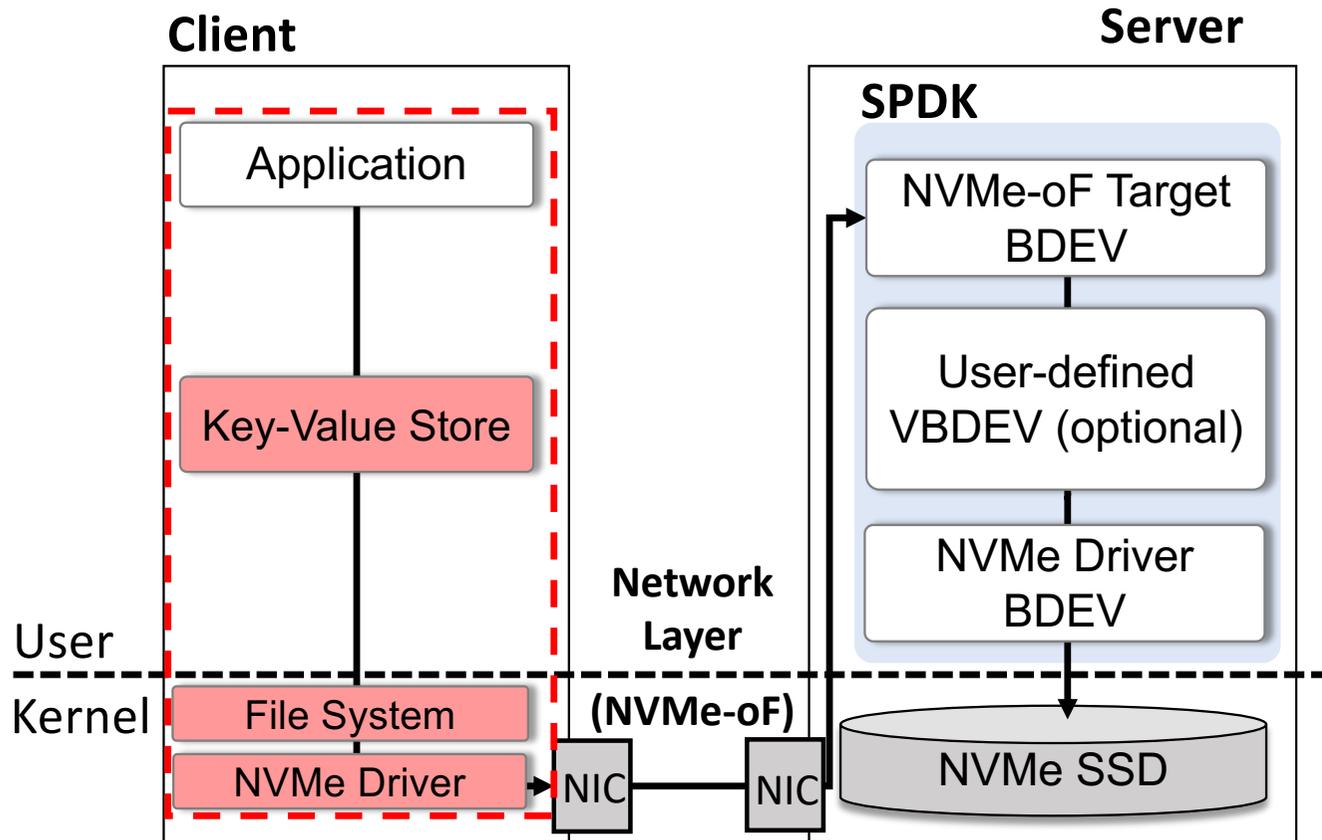
**(1) High I/O Stack Overhead Problem**

**(2) Core Load Imbalance Problem**

# Problem#1: High I/O Stack Overhead

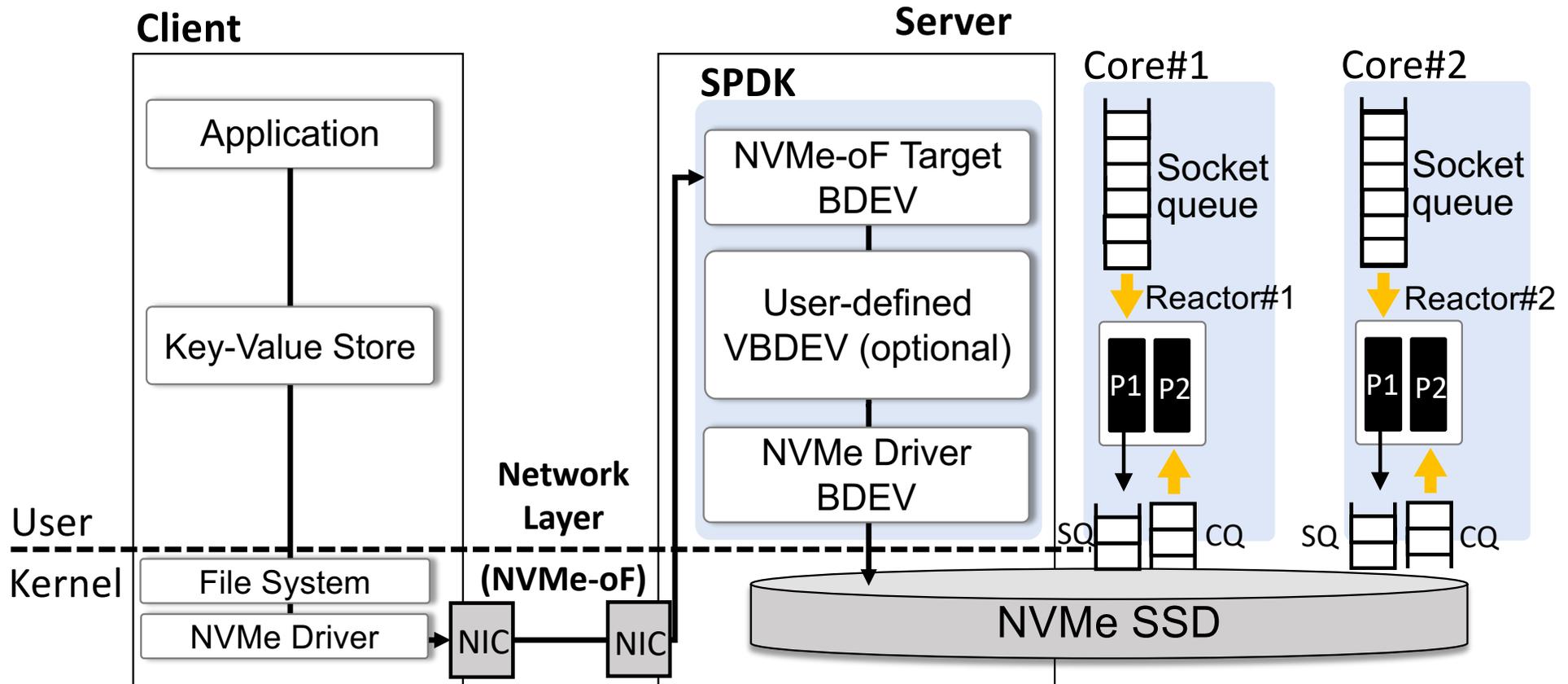


# Problem#1: High I/O Stack Overhead



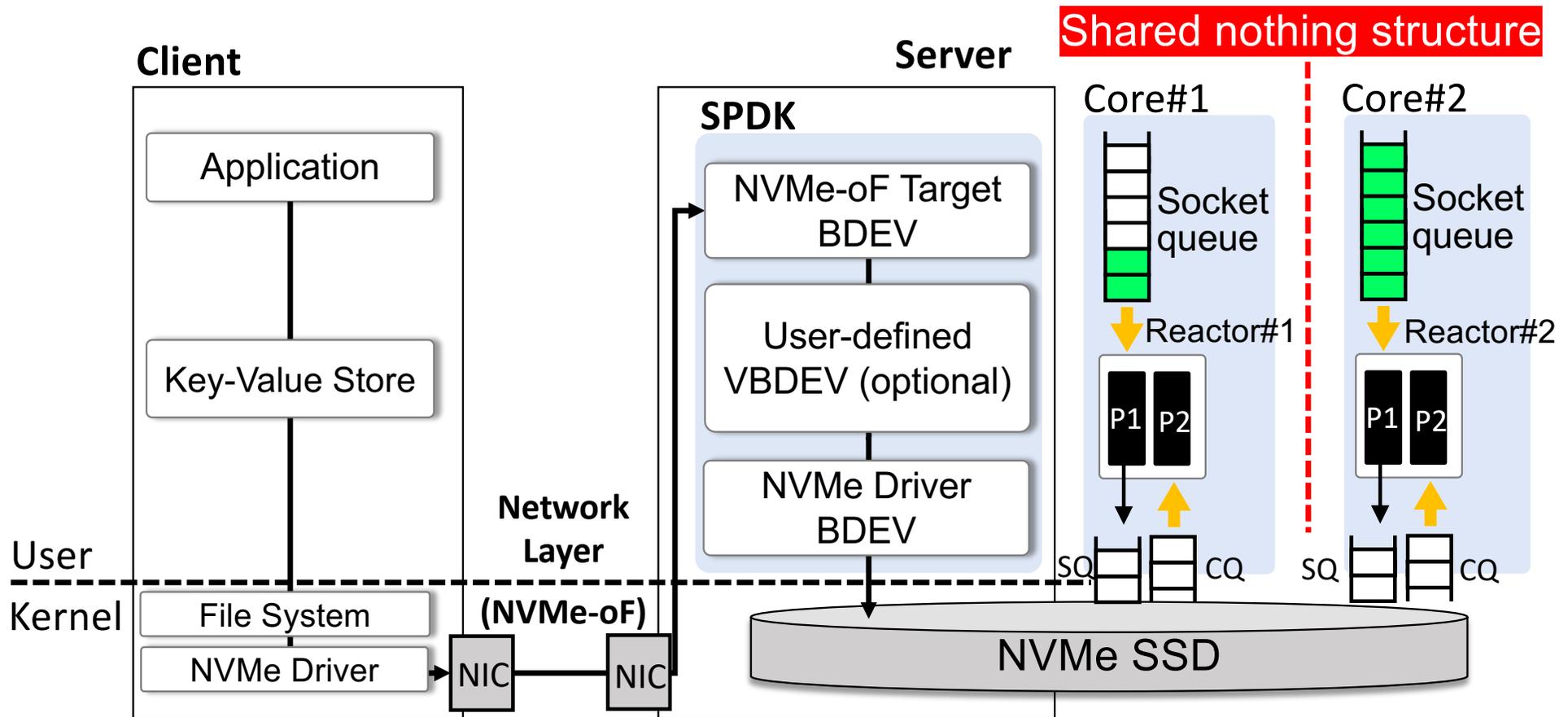
- (1) KV to file, file to block address translation overhead
- (2) User-to-kernel context switch overhead

# Problem#2: Core Load Imbalance

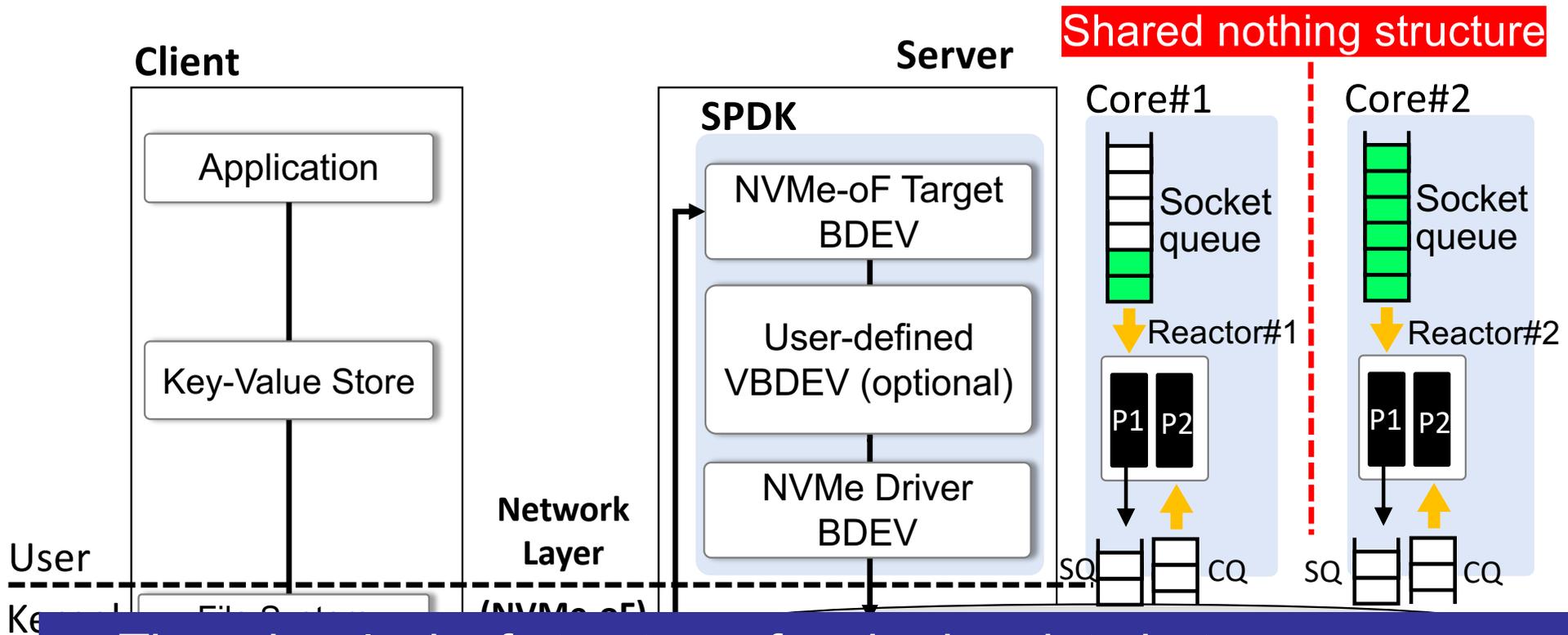




# Problem#2: Core Load Imbalance



# Problem#2: Core Load Imbalance



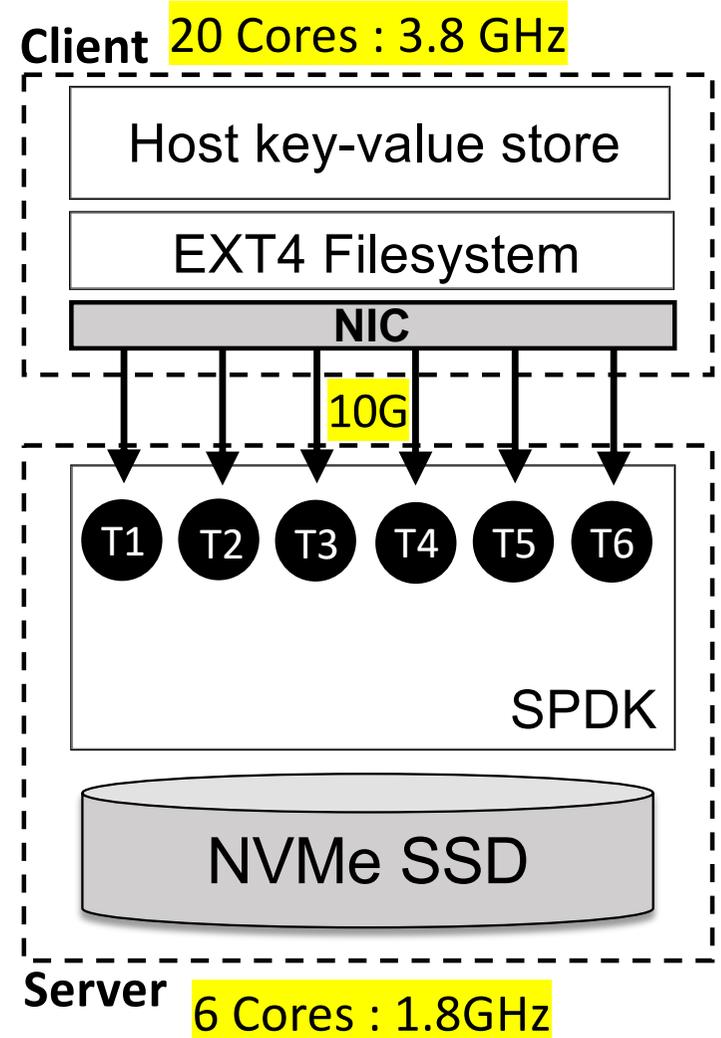
There is a lack of structures for sharing data between cores, resulting in load imbalance.

# Content

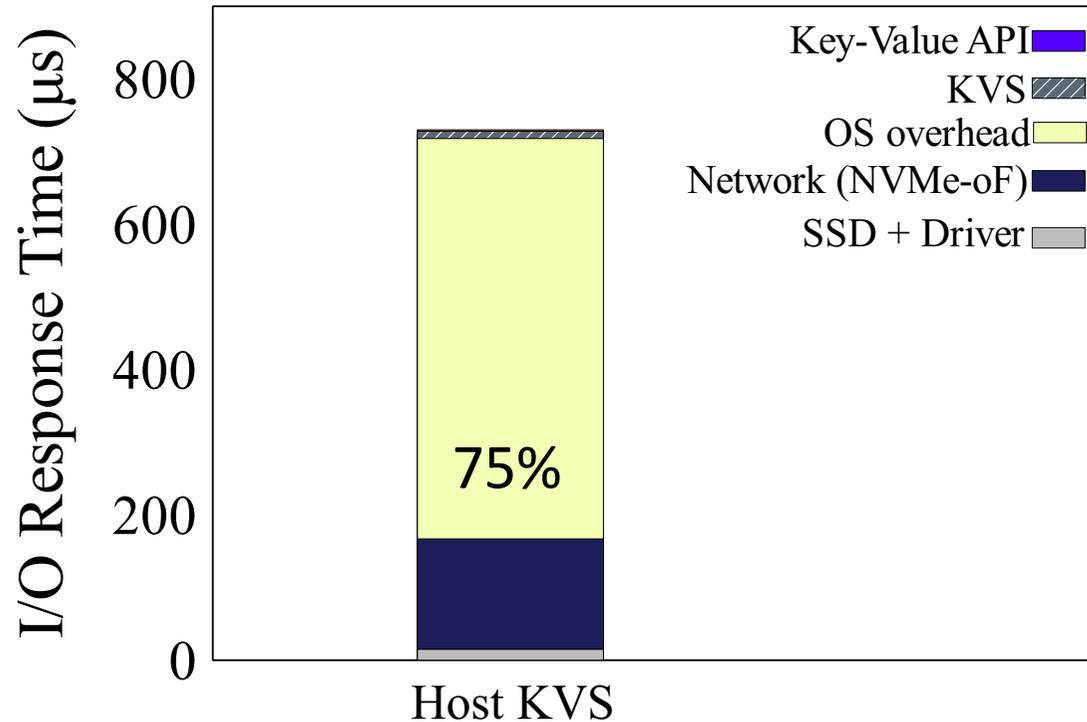
- Background
- Problem Definition
- Motivational Experiments
- OctoKV: Design and Implementation
- Evaluation
- Conclusion

# Problem#1: High I/O Stack Overhead

- Client
  - § 20 CPU cores
  - § Running a host hash-based key-value store
- Server
  - § 6 CPU cores
  - § Running a Linux OS using Intel SPDK
- Workloads
  - § Running a db\_bench
  - § I/O request size = 16KB



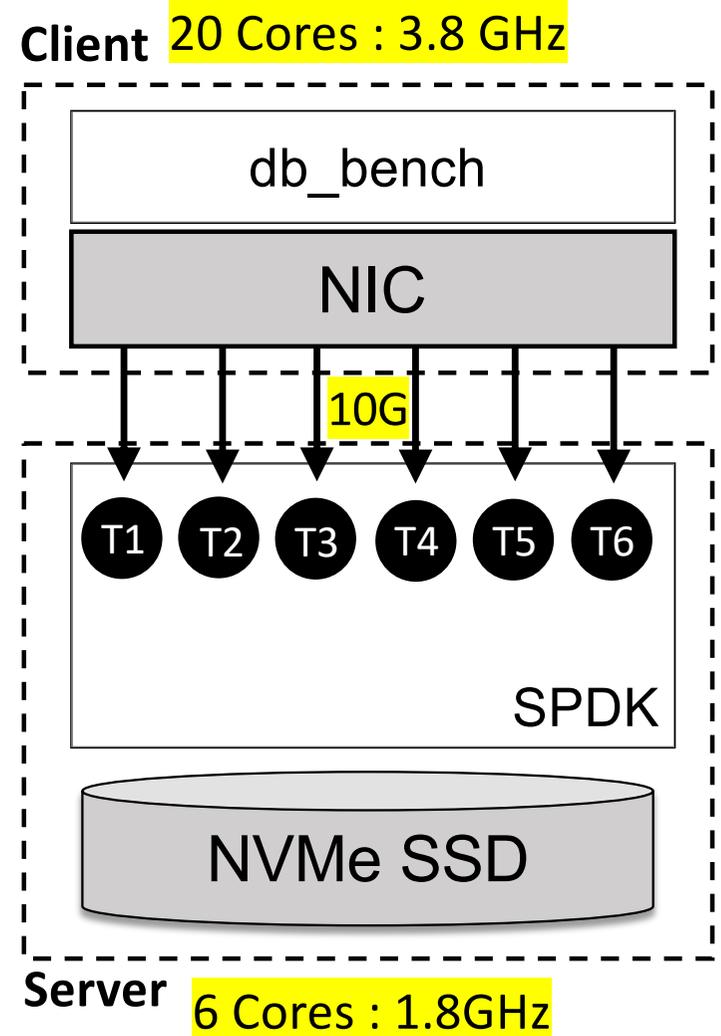
# Problem#1: High I/O Stack Overhead



Running key-values store on top of the file system in a disaggregated architecture has significant I/O overhead

# Problem#2: Core Load Imbalance

- Workloads
  - § Running a db\_bench
    - 1) Light workload
      - 7 I/O threads issue write I/Os
    - 2) Heavy workload
      - 12 I/O threads issue write I/Os
  - § I/O request size = 16KB



# Problem#2: Core Load Imbalance

Thread Queue Depth for each core/SPDK thread

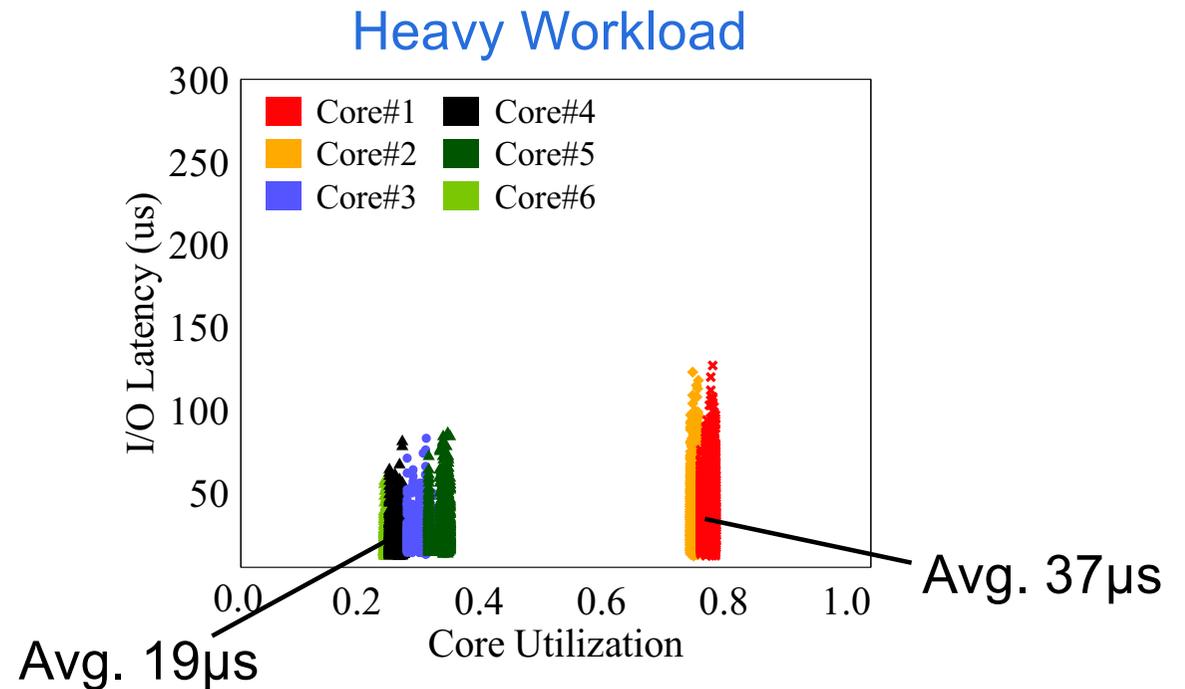
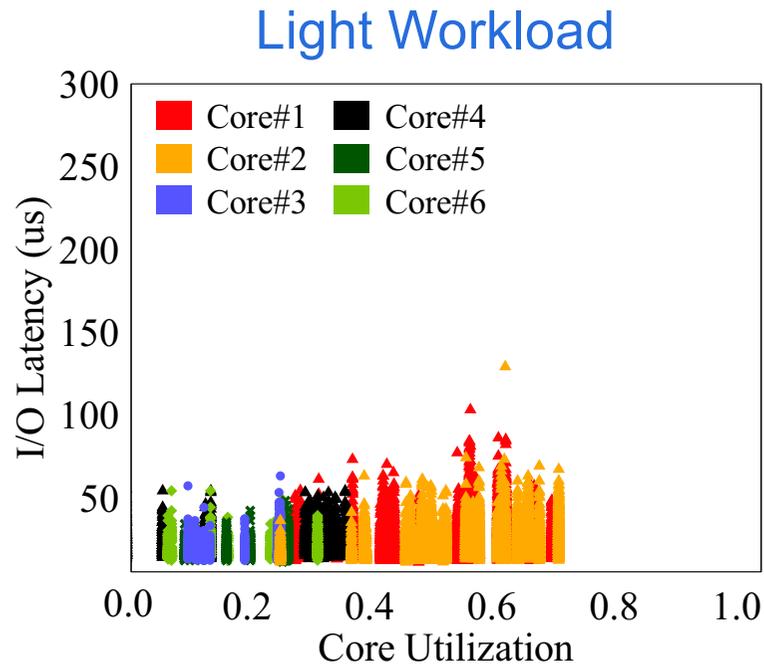
Queue Depth	Core#1	Core#2	Core#3	Core#4	Core#5	Core#6	Avg	Stdev
Light Workload (Put)	2.00	2.21	0.75	1.58	0.67	0.33	1.26	0.78
Heavy Workload (Put)	5.25	5.48	2.00	2.06	2.13	2.13	3.18	1.70
Light Workload (Get)	3.95	4.23	1.27	1.36	2.00	1.82	2.43	1.31
Heavy Workload (Get)	6.06	6.54	2.69	2.62	2.92	2.65	3.91	1.86



The amount of NVMe commands delivered to the core is imbalanced

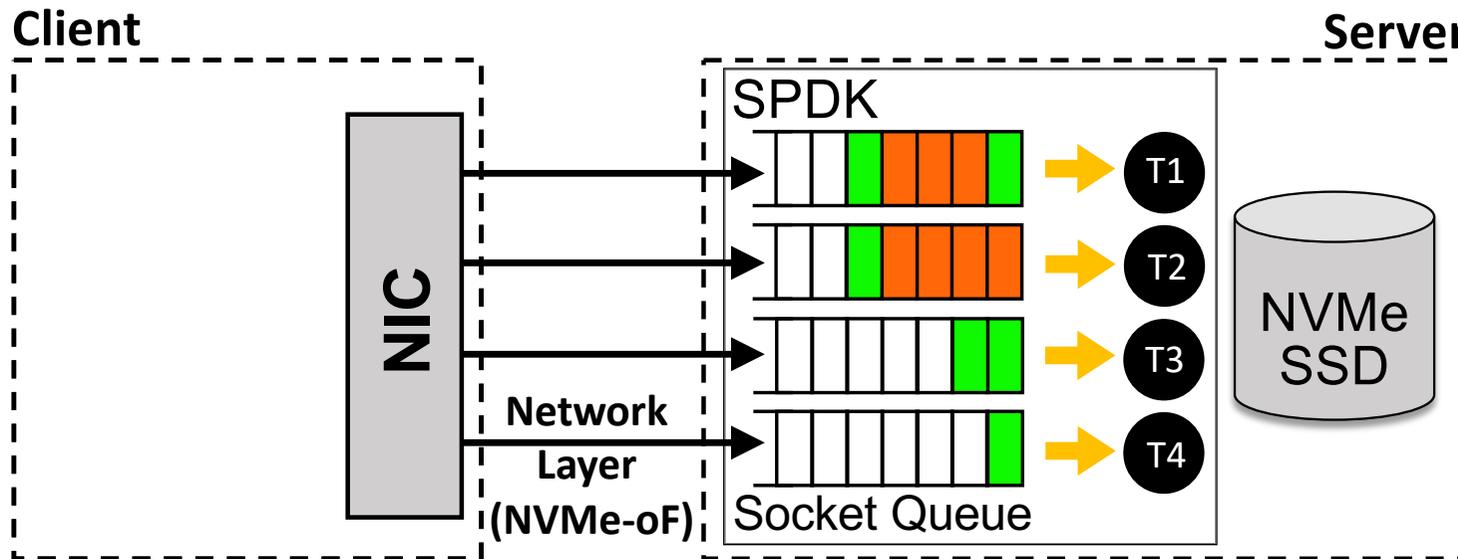
# Problem#2: Core Load Imbalance

## Core Utilization vs I/O Latency



Core utilization between cores forms a bimodal distribution, I/Os processed on busy cores show high latency

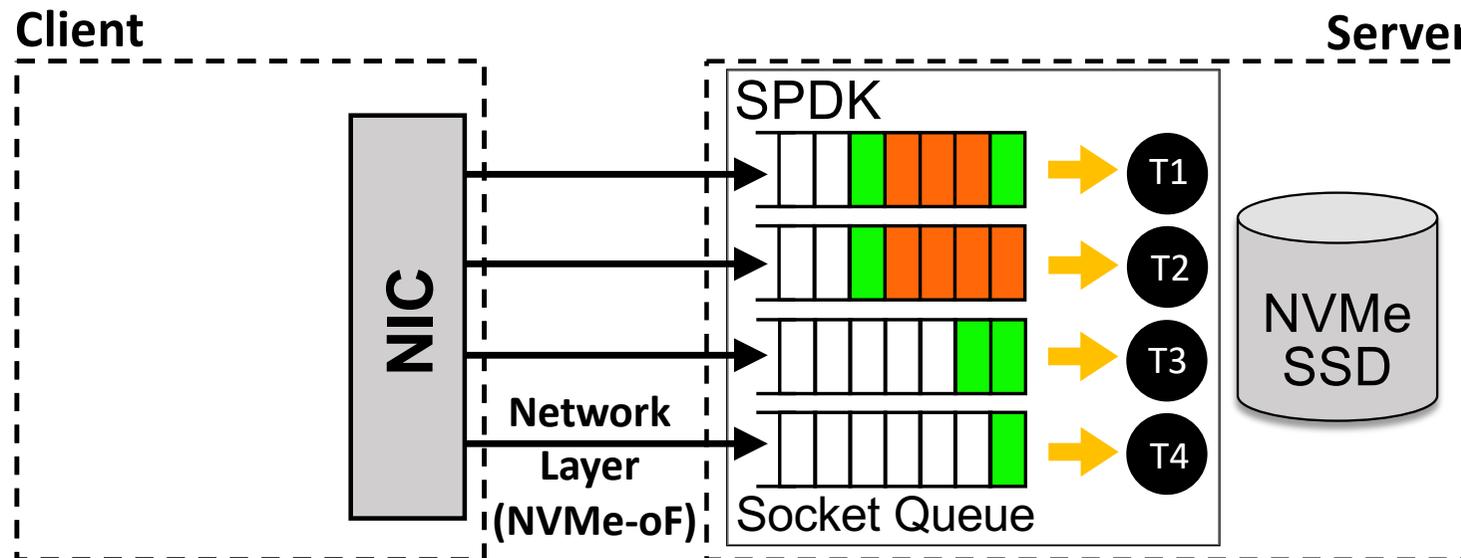
# Problem#2: Core Load Imbalance



■ → hash function, compaction, background task ...

●<sub>T<sub>i</sub></sub> SPDK thread    → TCP connection    ■ NVMe request (Light)    ■ NVMe request (Heavy)

## Problem#2: Core Load Imbalance



■ → hash function, compaction, background task ...

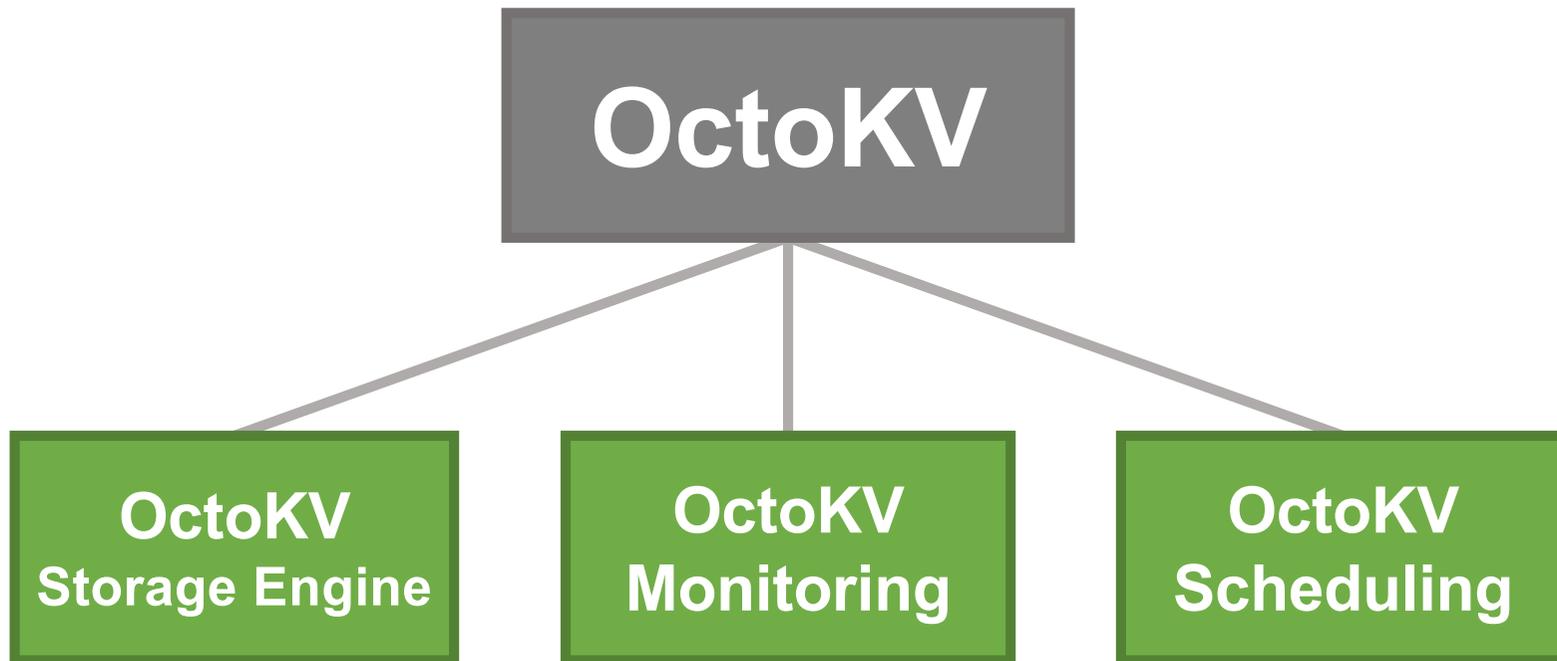
Performing compute-intensive tasks on the server increases the CPU load and increases the load imbalance problem

**OctoKV:** *An Agile Network-Based Key-Value  
Storage System with Robust Load Orchestration*

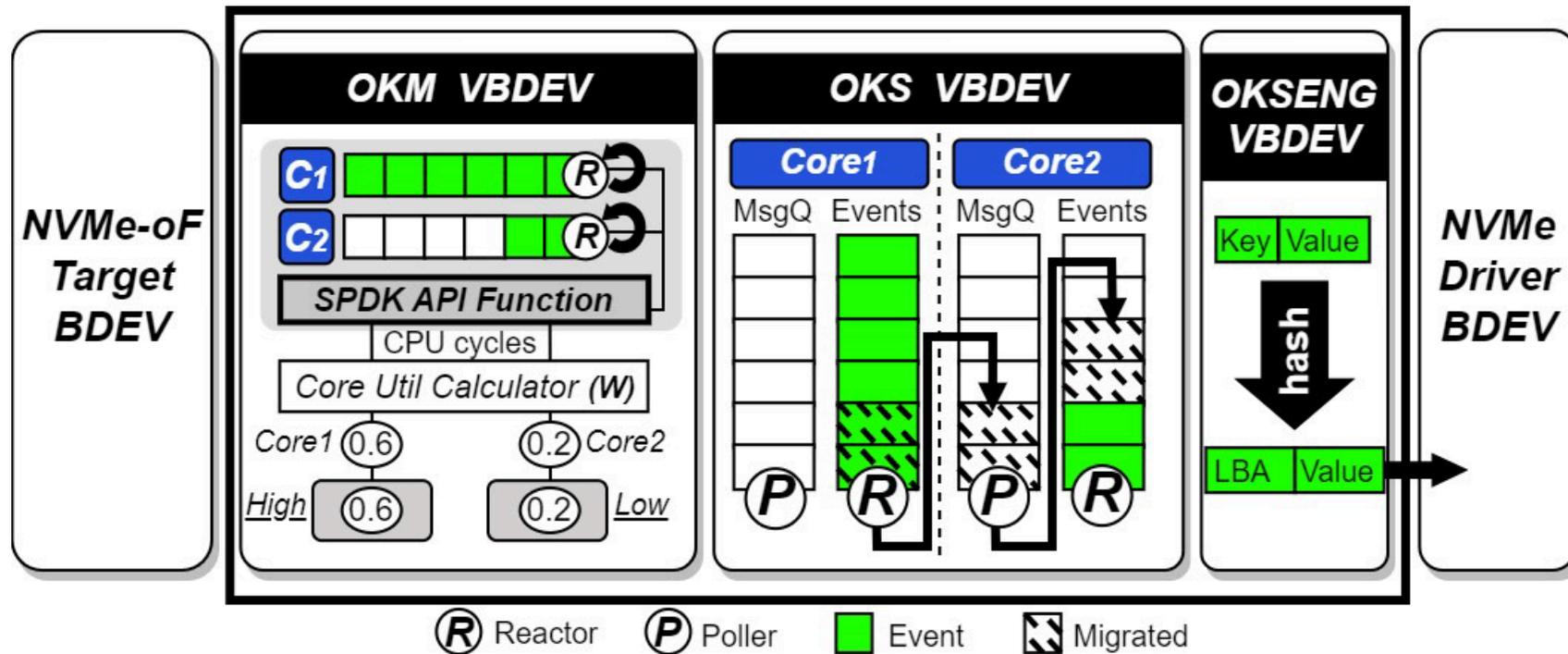
# Content

- Background
- Problem Definition
- Motivational Experiments
- **OctoKV: Design and Implementation**
- Evaluation
- Conclusion

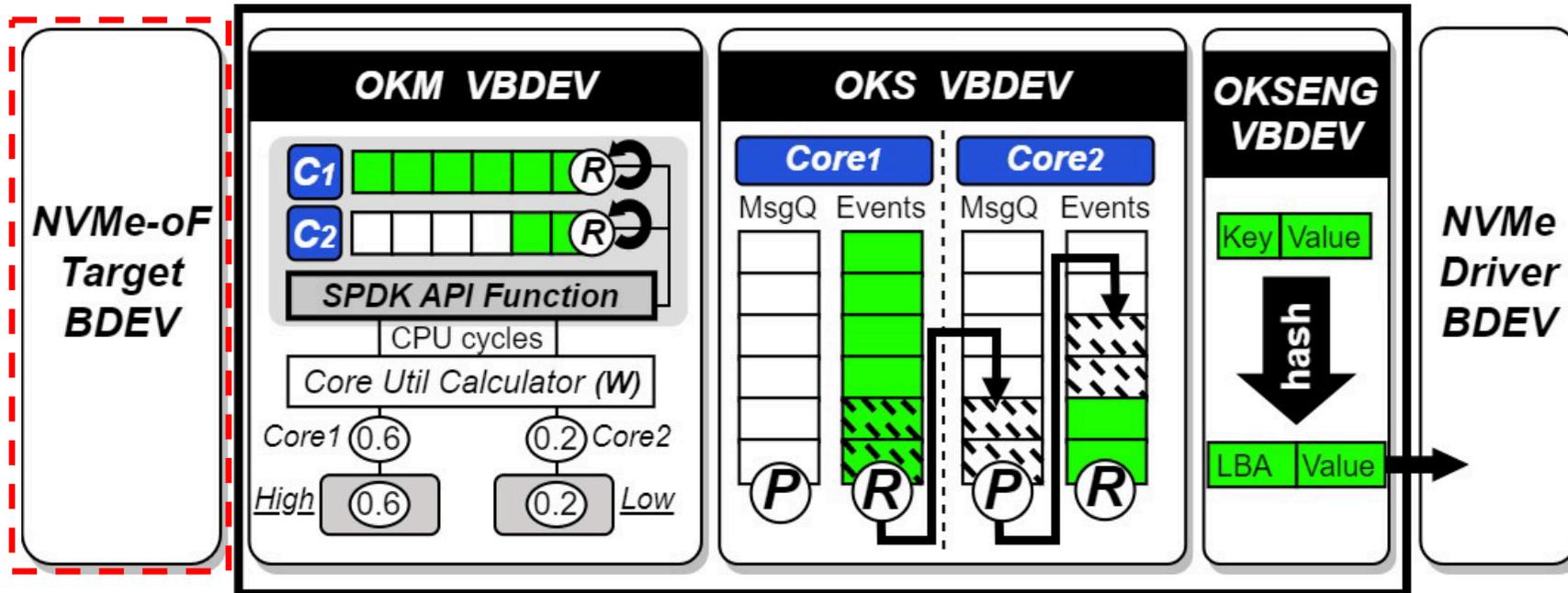
# OctoKV Overview



# OctoKV Overview



# OctoKV Overview

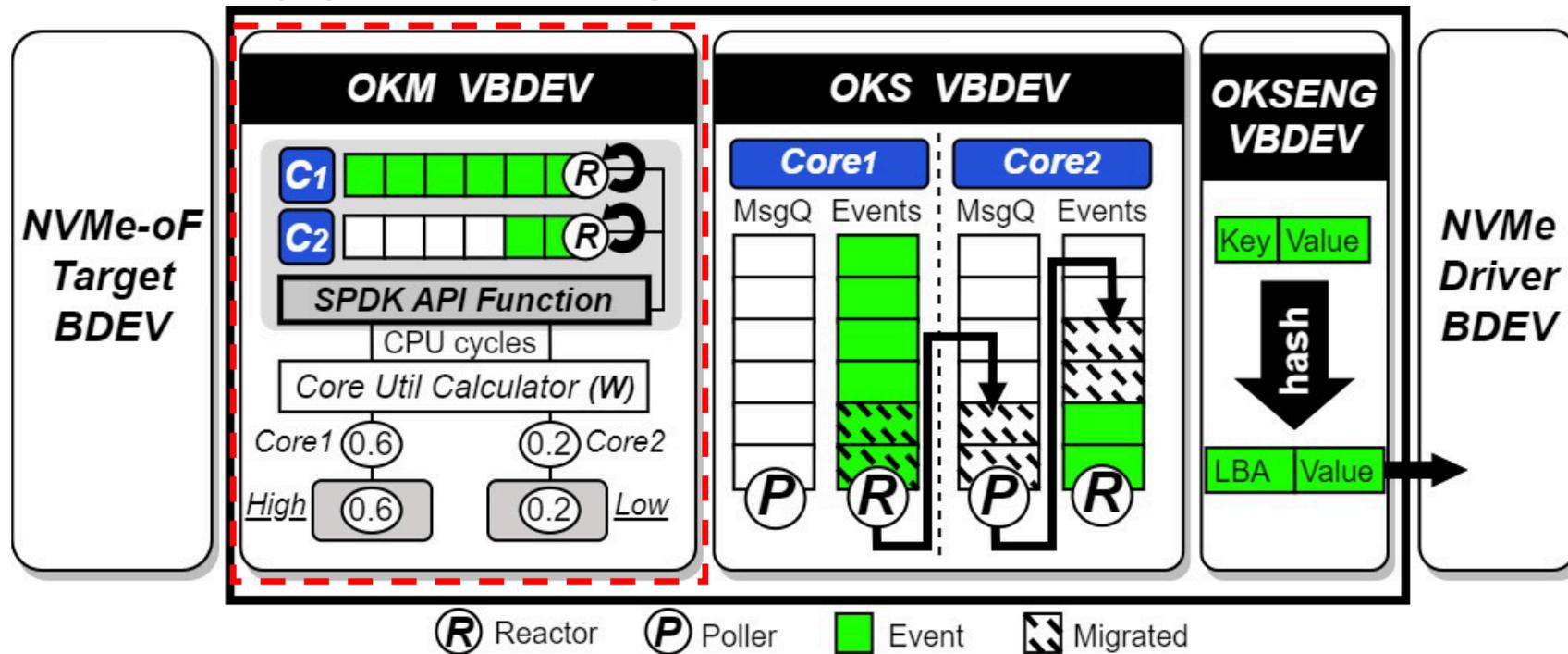


(1) NVMe-oF

(R) Reactor (P) Poller [Green Box] Event [Hatched Box] Migrated

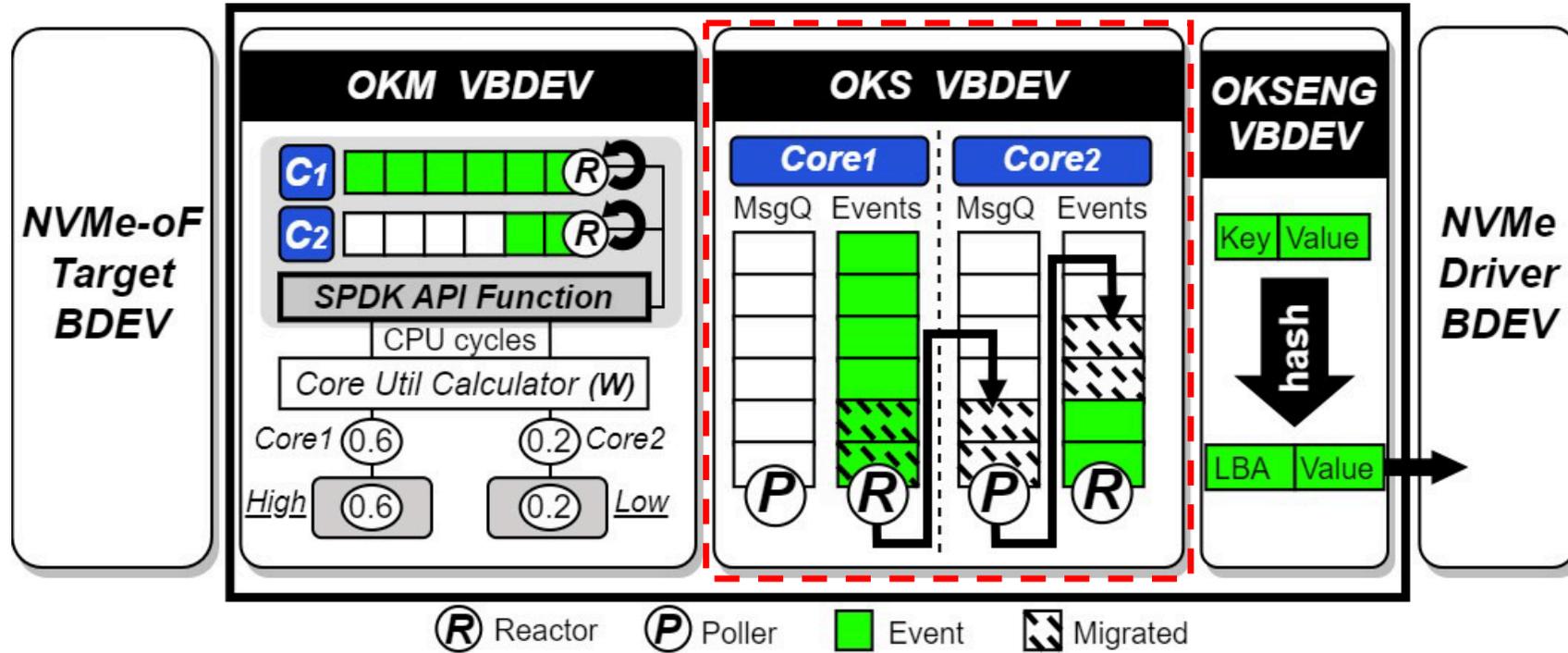
# OctoKV Overview

## (2) Monitoring



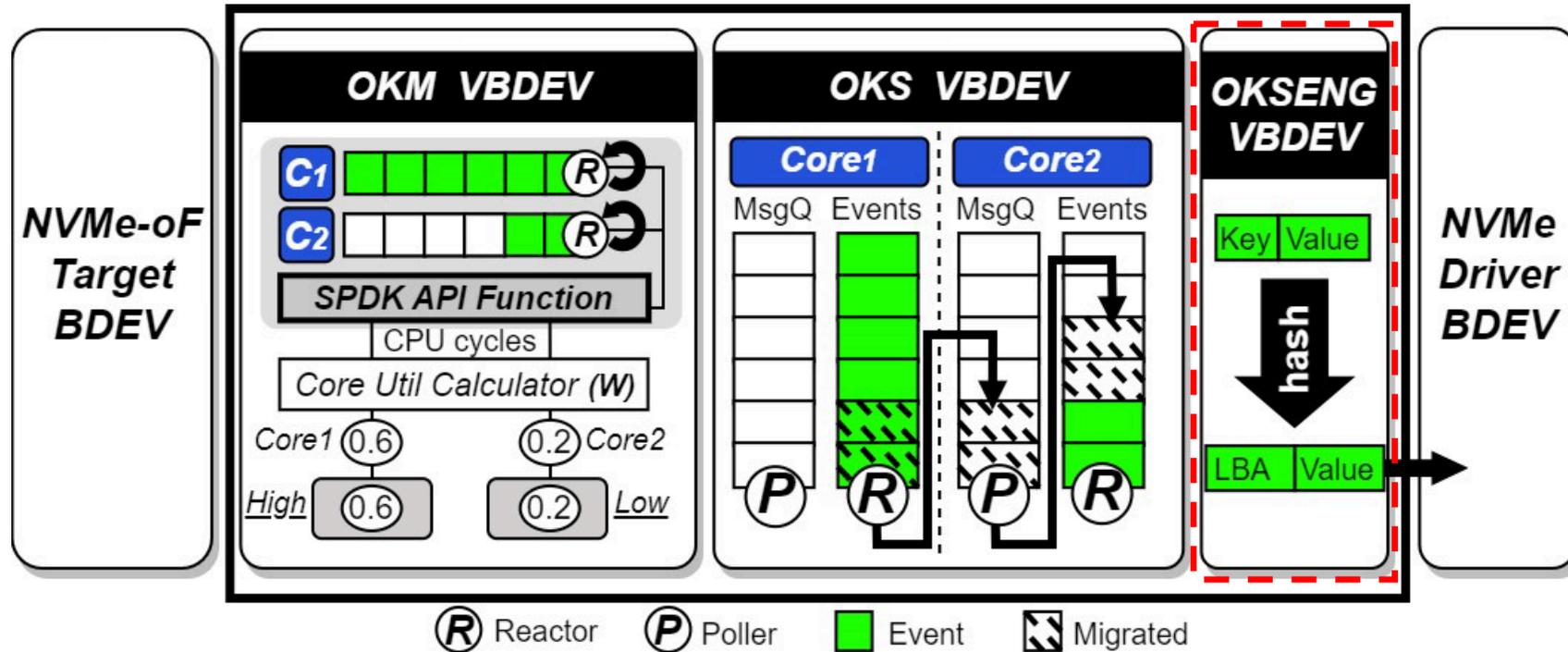
# OctoKV Overview

## (3) Scheduling

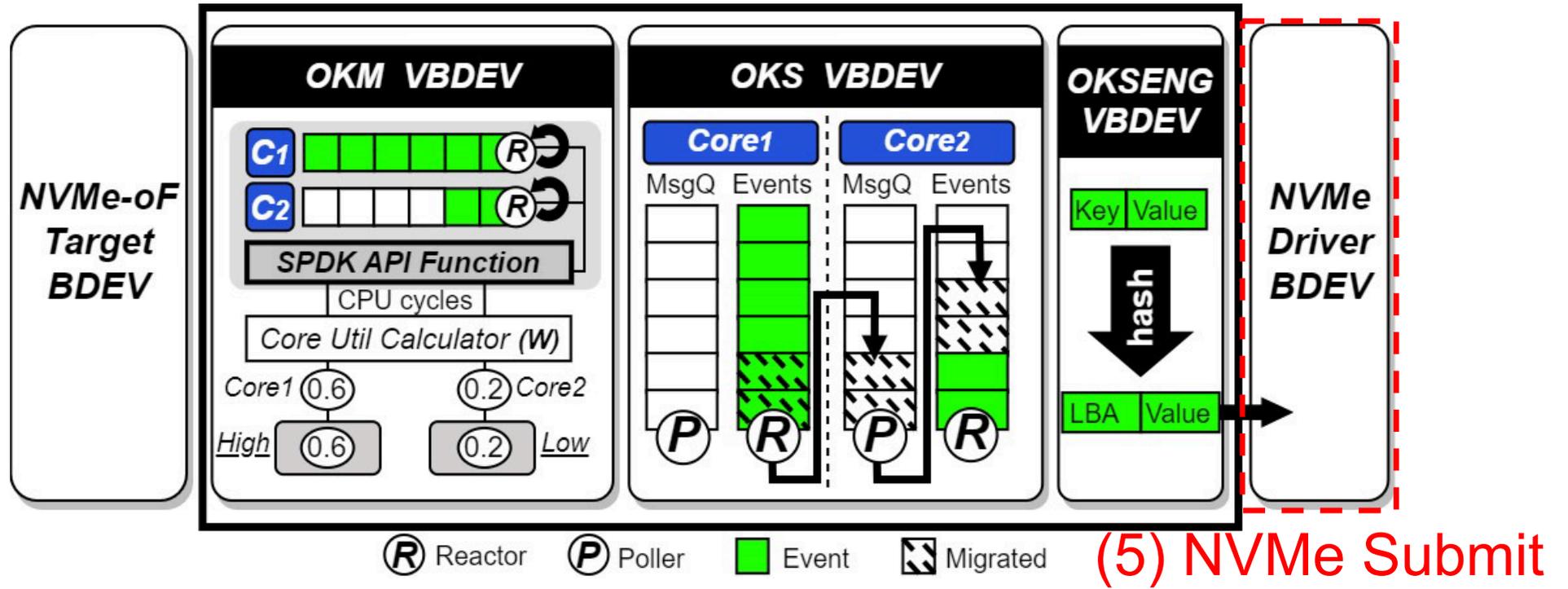


# OctoKV Overview

## (4) Key-Value Store

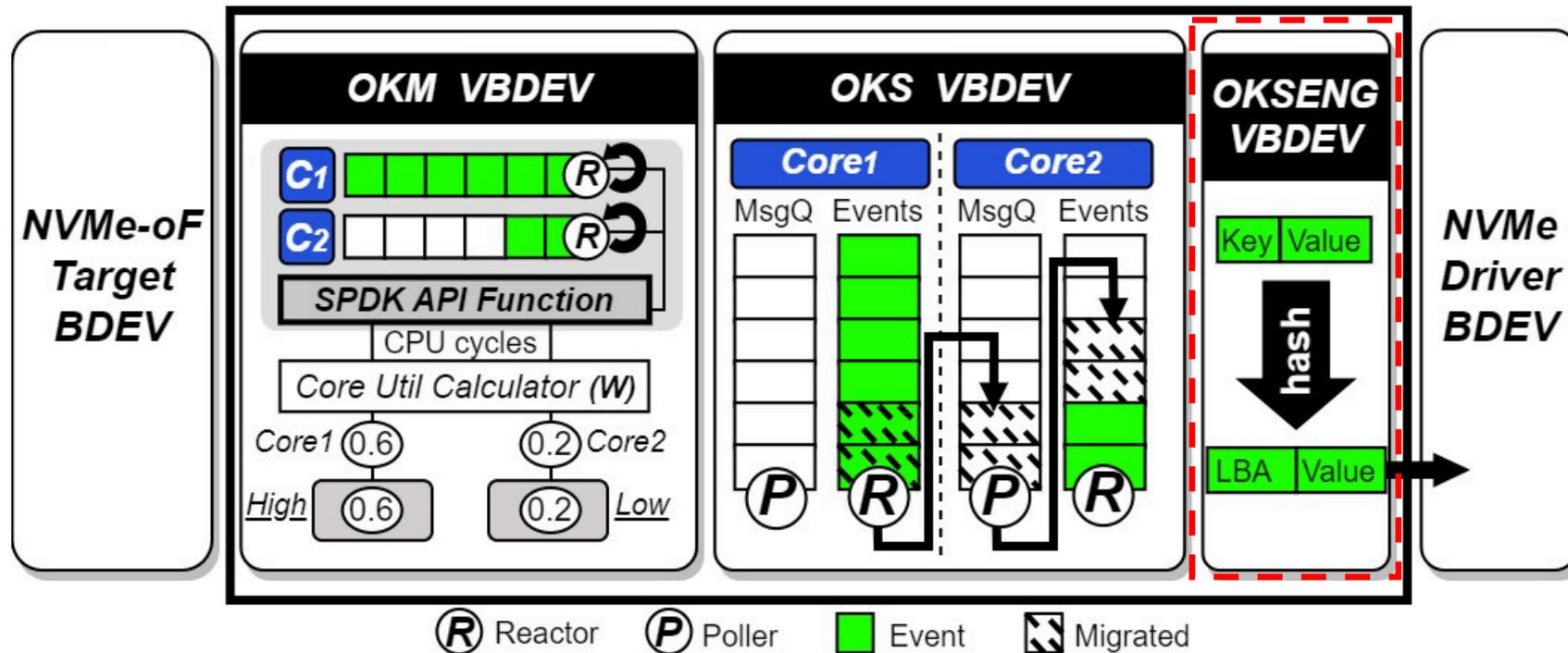


# OctoKV Overview

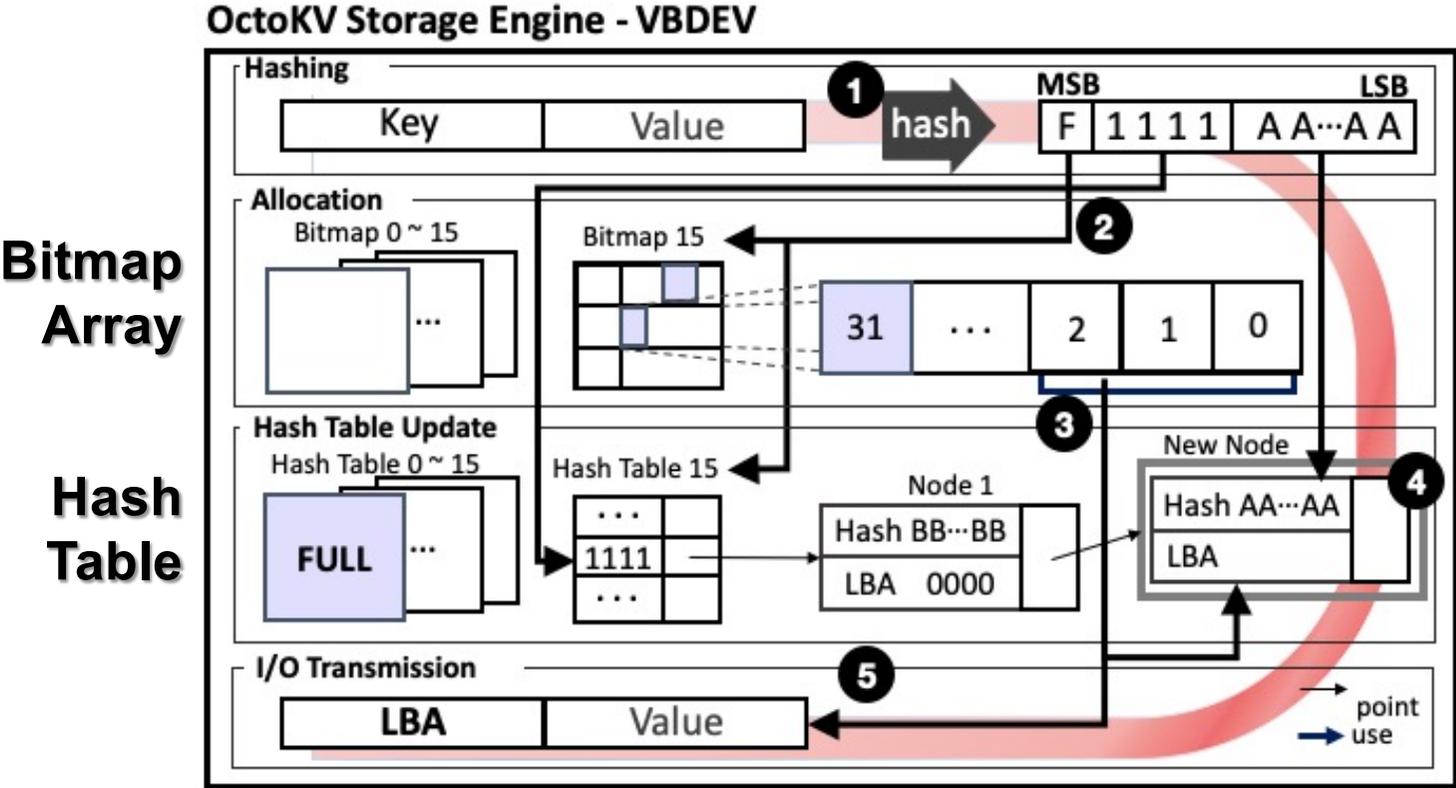


# OctoKV: Design and Implementation

## Storage Engine

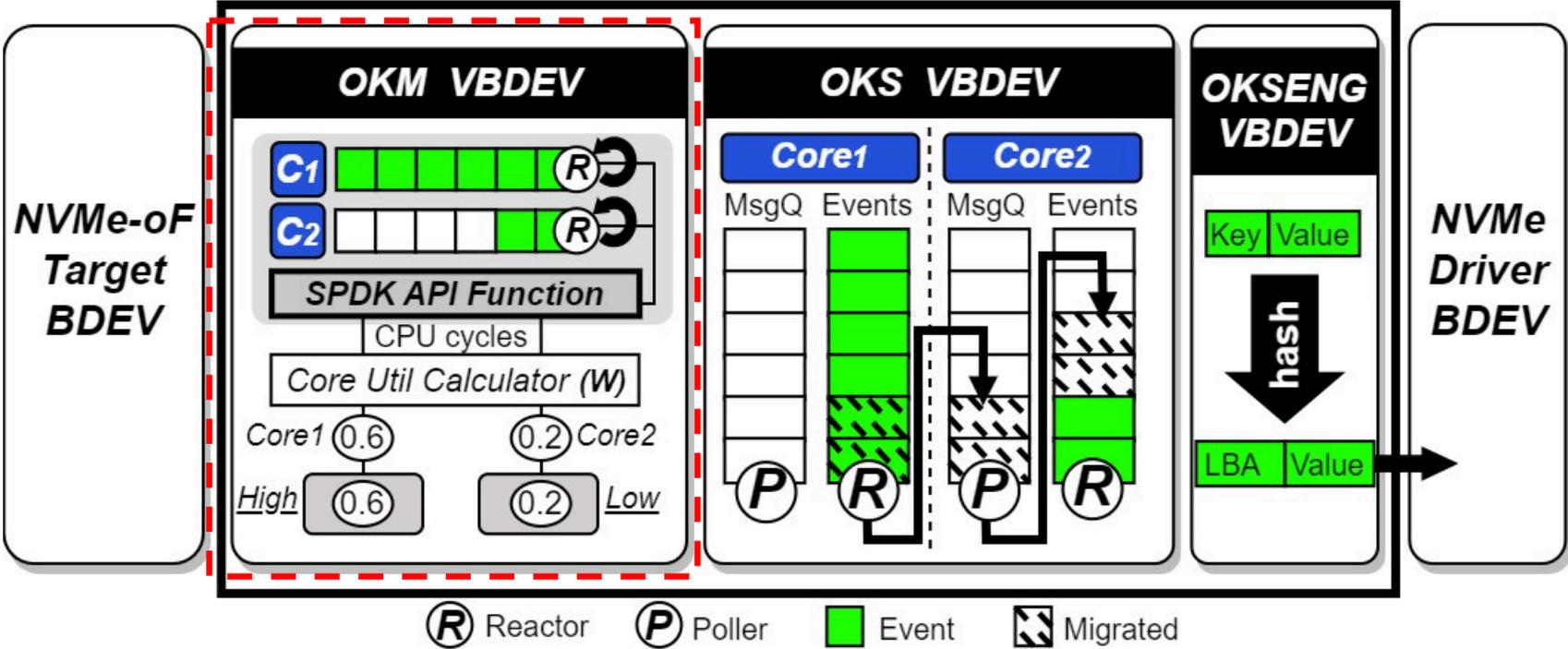


# Module#1: Storage Engine



# Module#2: Monitoring

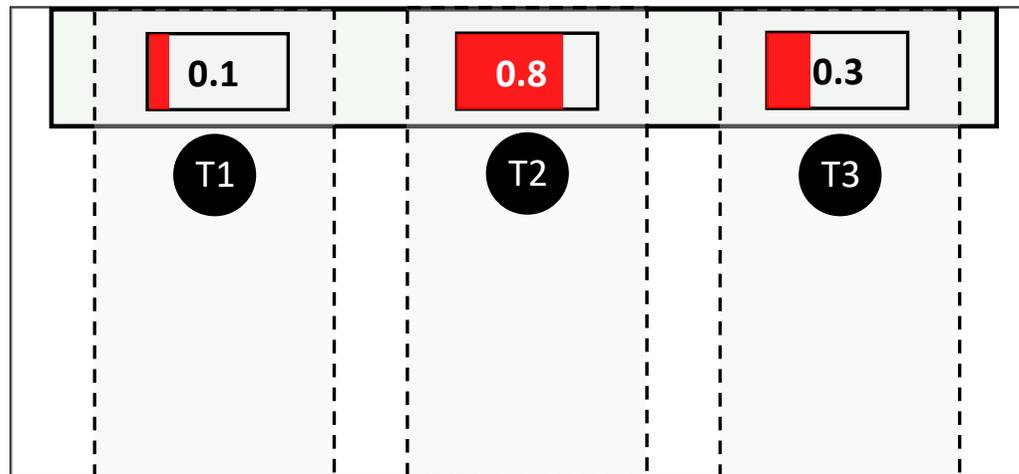
## Monitoring



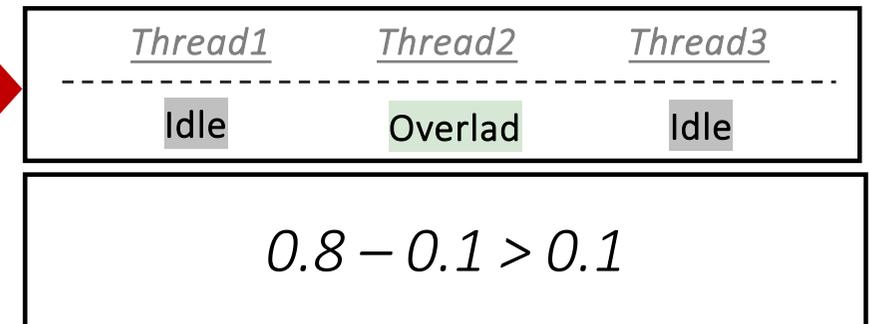
# Module#2: Monitoring

- Monitors the utilization of each core

SPDK



Time Window1 array



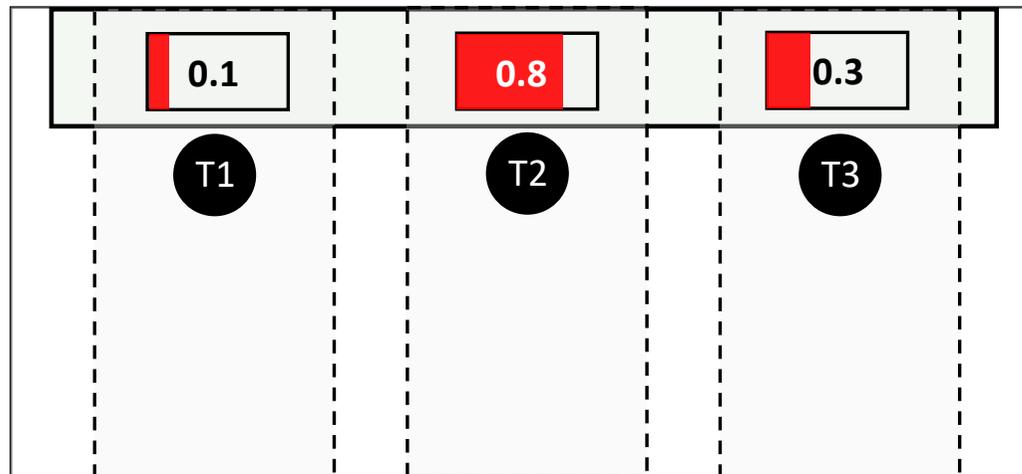
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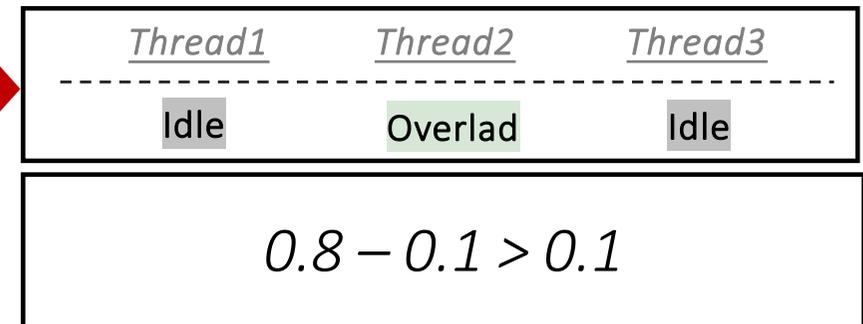
**Condition#1:** Core Overloading

$$F_{cutil}(C) > T_{OL} (T_{OL} = 0.4)$$

SPDK



Time Window1 array



# Module#2: Monitoring

- Monitors the utilization of each core

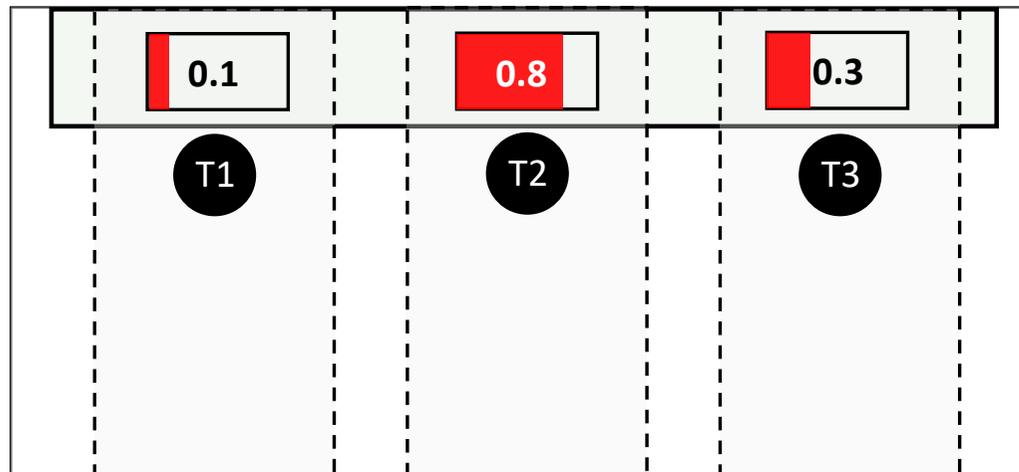
**Condition#1:** Core Overloading

**Condition#2:** Load Imbalance

$$\text{Max}\{F_{cutil}(C)\} - \text{Min}\{F_{cutil}(C)\} > T_{LB}$$

(ex.  $T_{LB} = 0.1$ )

SPDK



Time Window1 array

<i>Thread1</i>	<i>Thread2</i>	<i>Thread3</i>
Idle	Overload	Idle

$$U_{avg} > 0.1$$

$$U_{avg} < 0.8$$

$$U_{avg} > 0.3$$

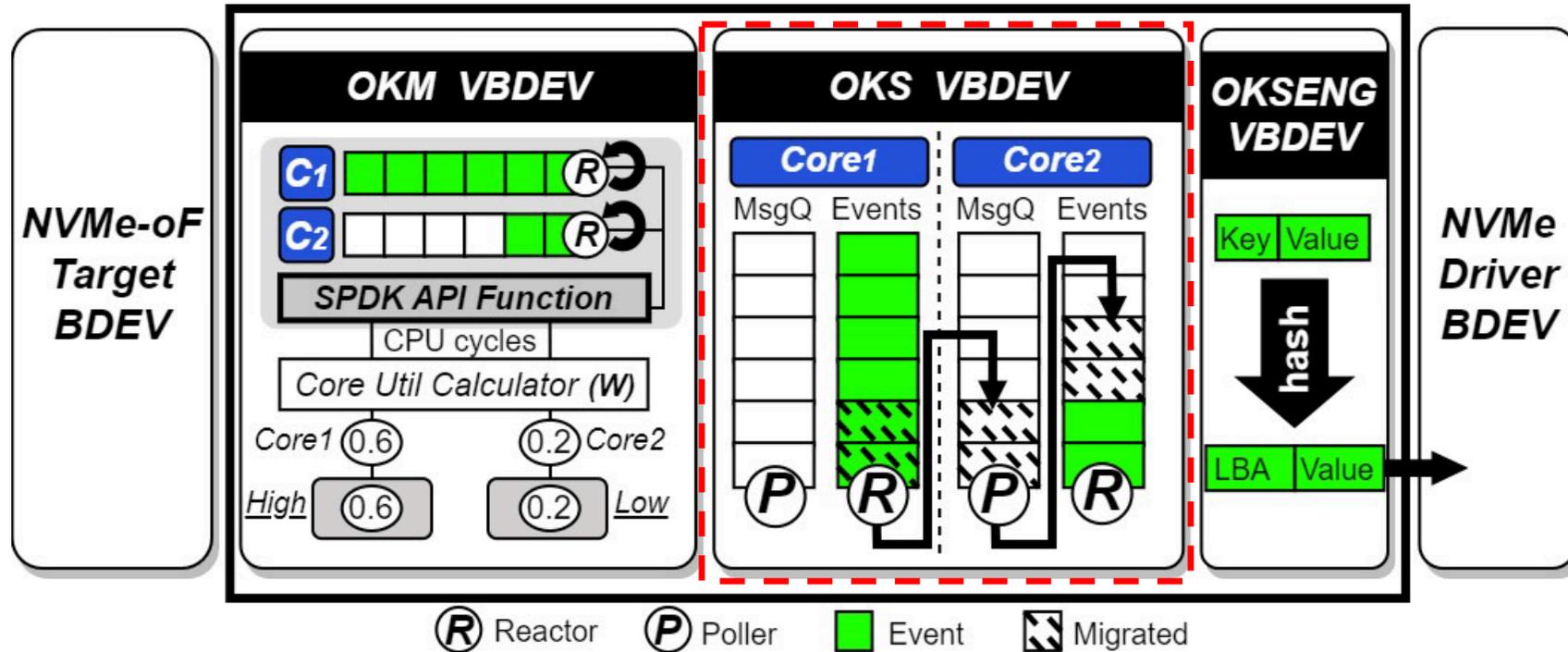
Low group

High group

Low group

# Module#3: Scheduling

## Scheduling



# Module#3: Scheduling

- OctoKV Scheduling Module migrates I/O requests from overloaded cores to idle cores
- A single I/O request consists of three stages

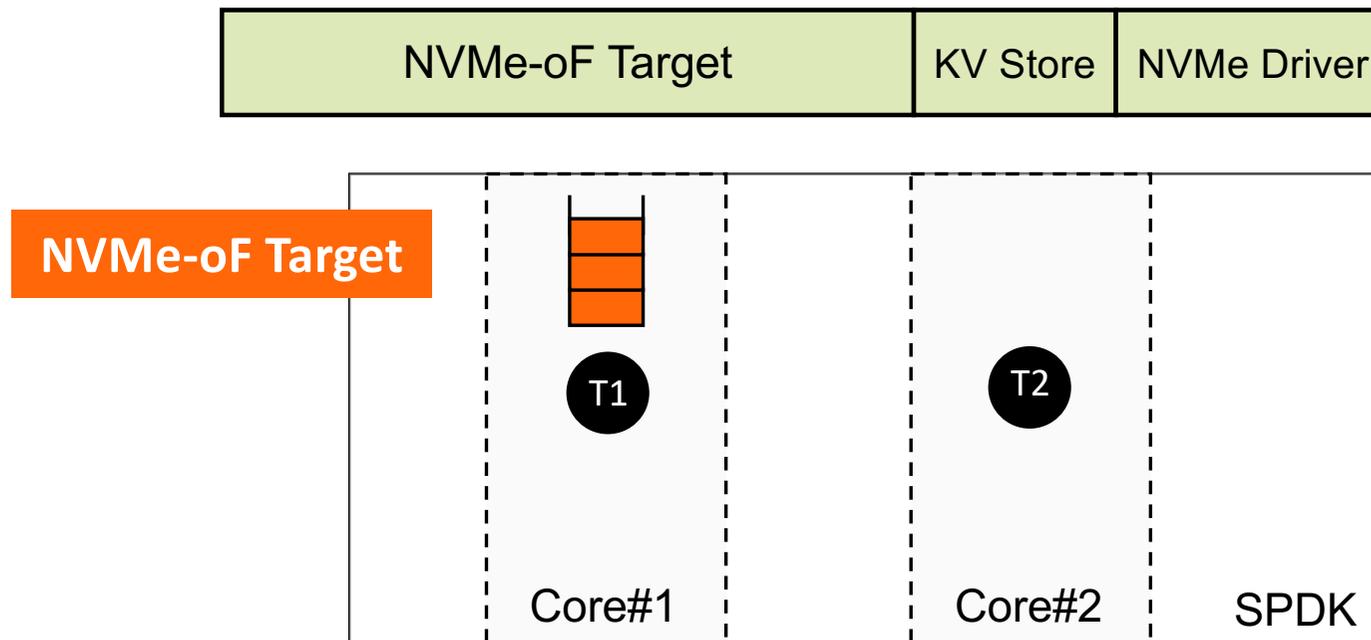
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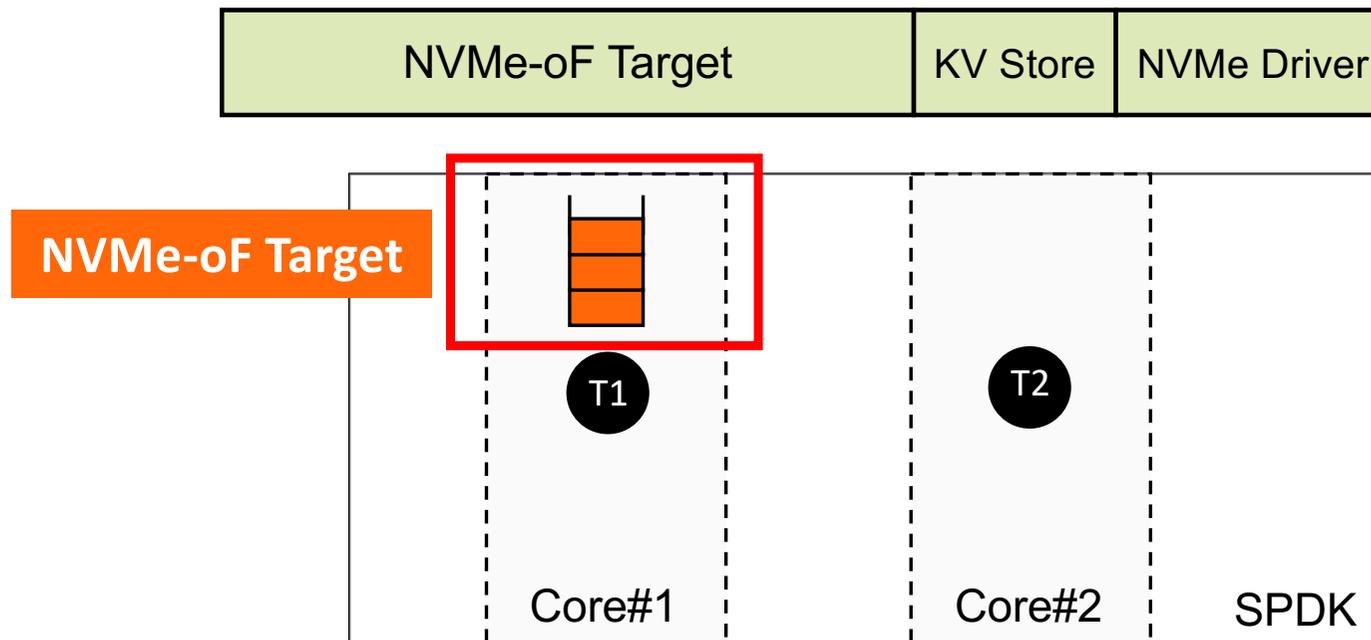
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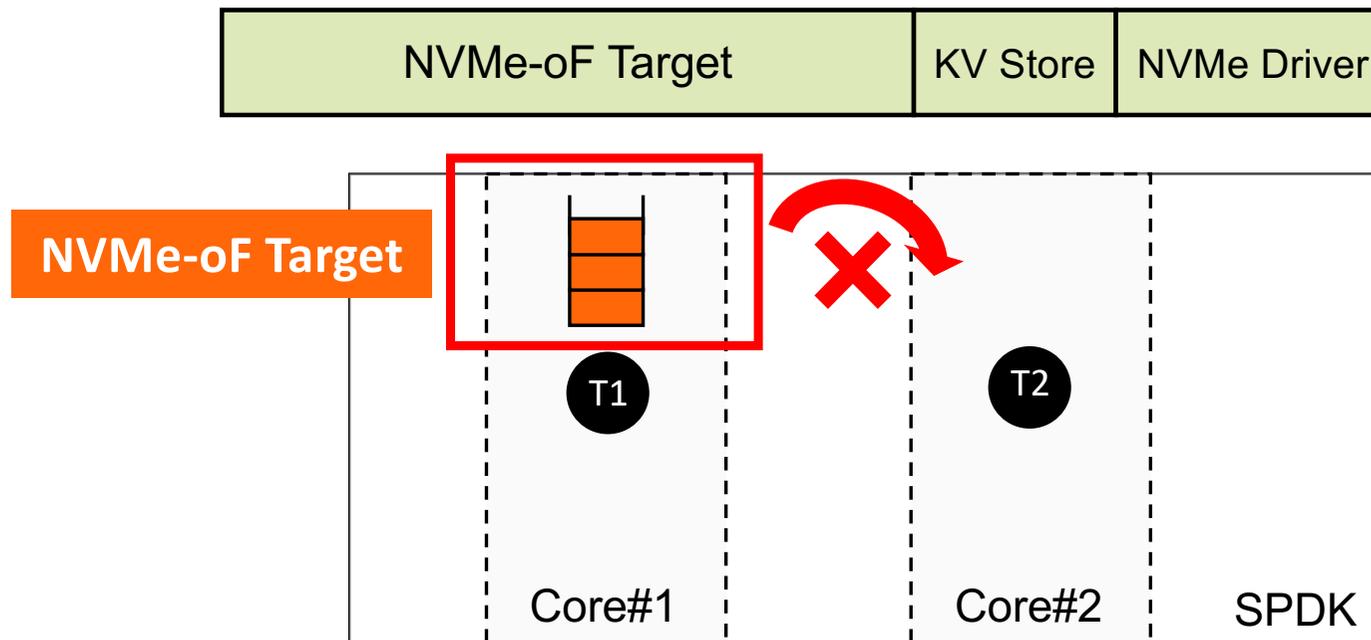
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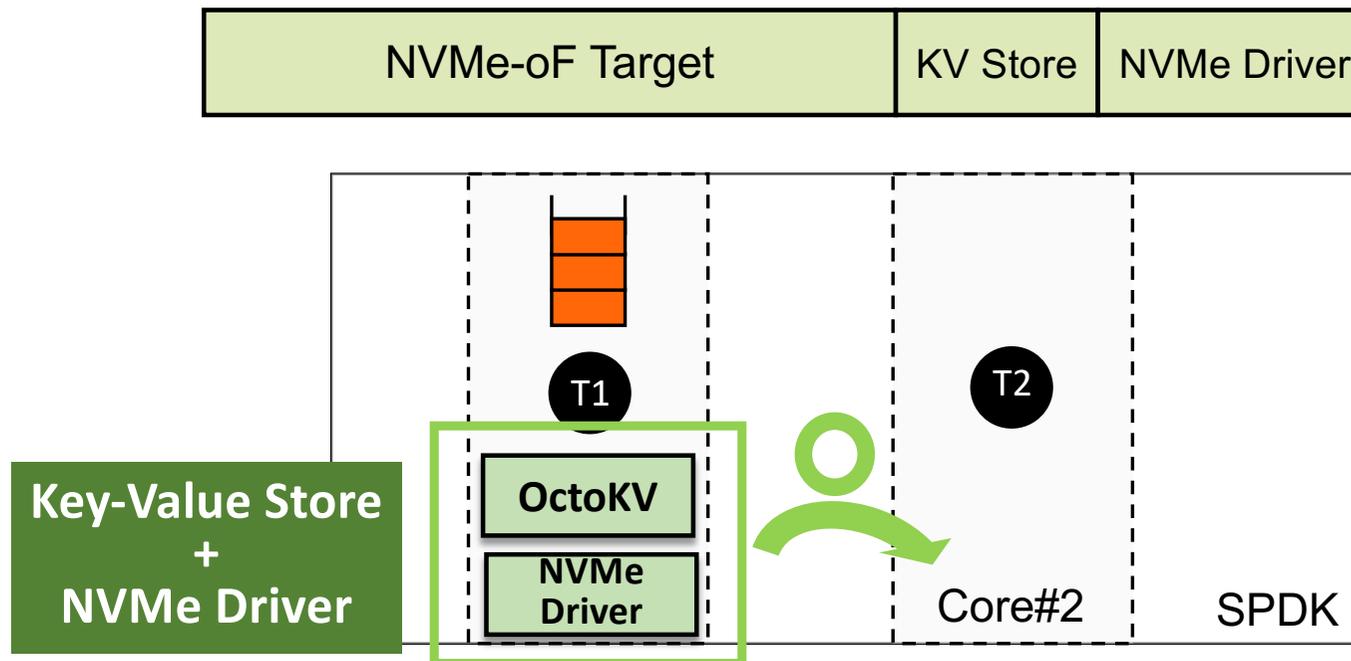
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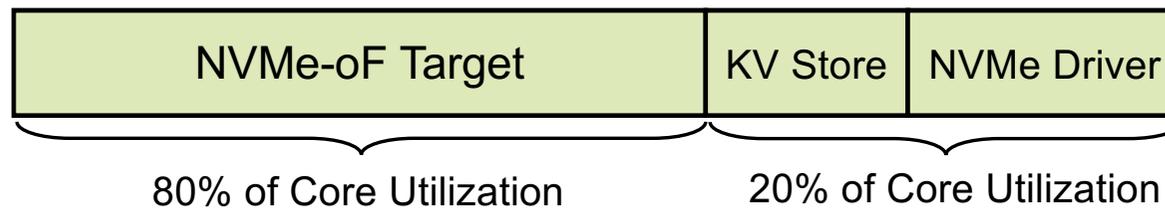


# Module#3: Scheduling

- OctoKV Scheduling Module migrates I/O requests from overloaded cores to idle cores
- A single I/O request consists of three stages



# Module#3: Scheduling



## High Group

Thread2 : 0.8

➔ Movable core utilization  
 $20\% \text{ of } 0.8 \Rightarrow 0.16$

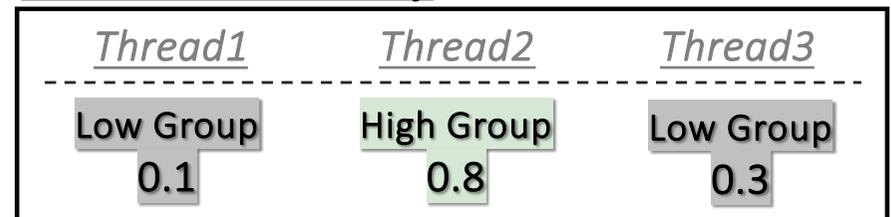
## Low Group

Thread1 : 0.1

➔ Acceptable core utilization  
 $(U_{avg} - 0.1) + (U_{avg} - 0.3) \Rightarrow 0.4$

Thread3 : 0.3

## Time Window1 array



All KV stores and NVMe Driver stages in the high group core can be moved to the low group core for processing.

# Module#3: Scheduling

- Two heuristic algorithms determine how much I/O to migrate to each core of low group

(Ex) *Thread1: 0.1    Thread3: 0.3     $U_{avg}: 0.4$*

## RoundRobin (RR)

*Thread1 : Thread3 = 1 : 1*

## Proportional Share (PS)

*Thread1 :  $U_{avg} - 0.1 = 0.3$*

*Thread3 :  $U_{avg} - 0.3 = 0.1$*

*Thread1 : Thread3 = 3 : 1*

# Content

- Background
- Problem Definition
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- OctoKV: Design and Implementation
- **Evaluation**
- **Conclusion**

# Experimental Setup

- Client

- § Running a db\_bench benchmark

- 1) Light workload

- 7 I/O threads issue Put/Get I/Os*

- 2) Medium workload

- 10 I/O threads issue Put/Get I/Os*

- 3) Heavy workload

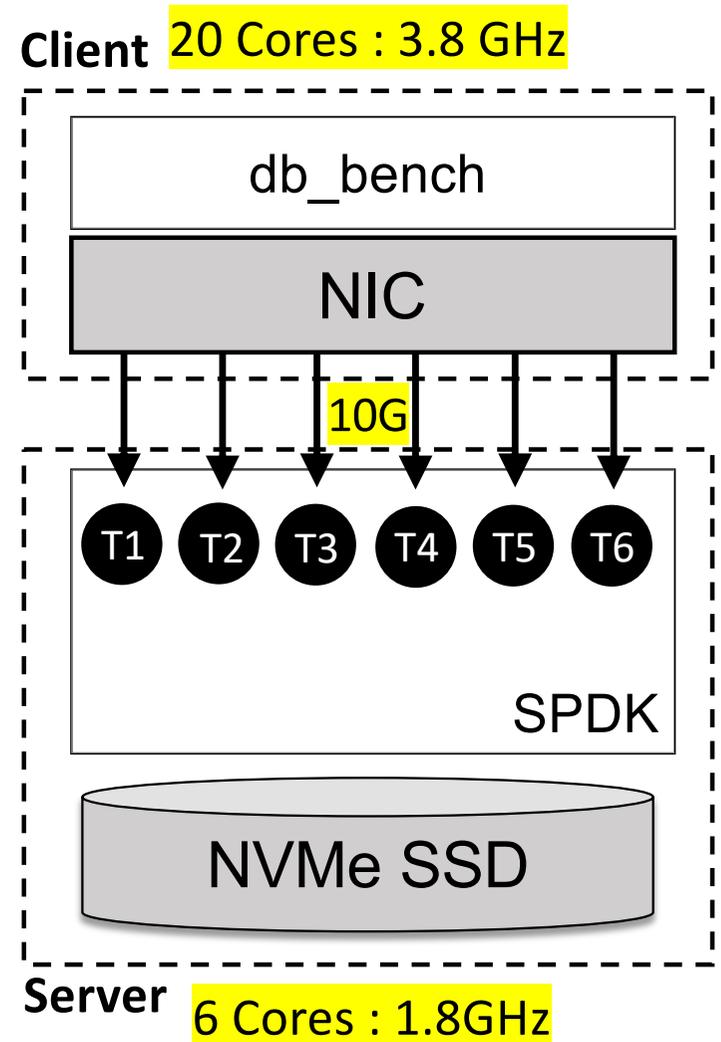
- 12 I/O threads issue Put/Get I/Os*

- § I/O request size = 16KB

- Server

- § 6-core device

- § Running a Linux OS using Intel SPDK



# Comparison

## (1) **Host KVS**

→ A hash-based key-value storage engine running on the client, layered atop the kernel and file systems

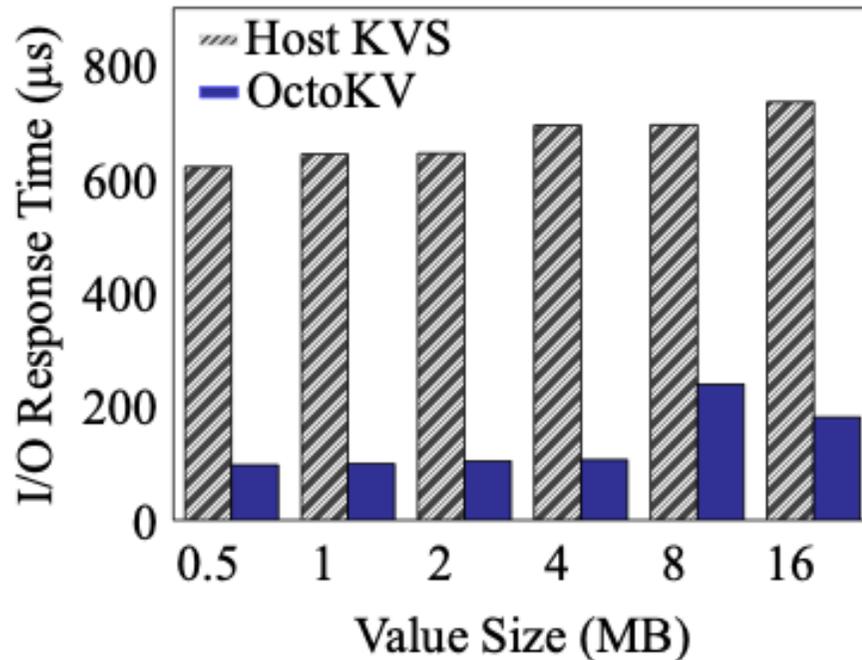
## (2) **OctoKV**

→ The proposed system with only the key-value storage engine running on the server

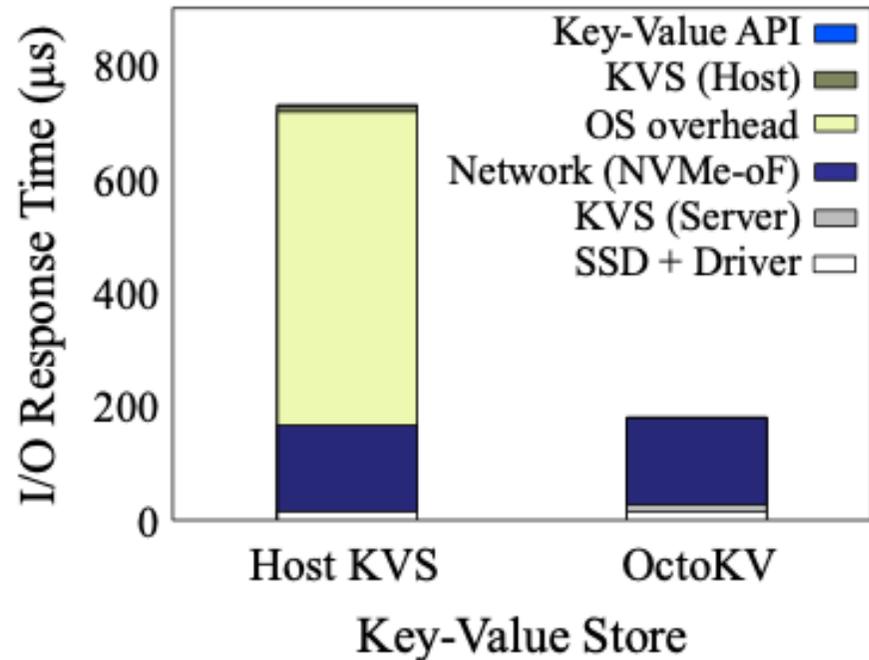
## (3) **OctoKV-LB**

→ OctoKV with the load-aware balanced I/O scheduling

# Evaluation – Put Workload



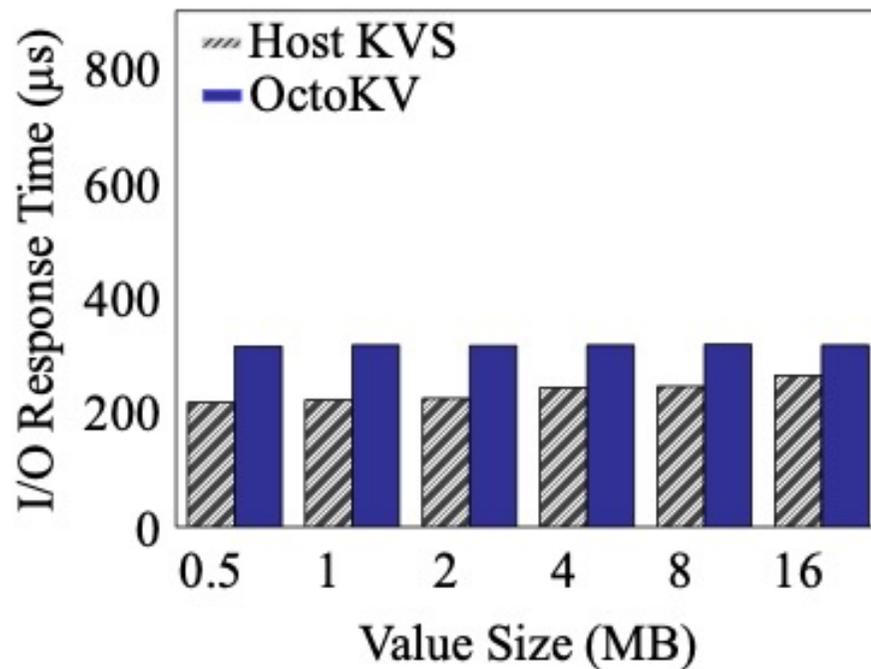
(a) I/O Response Time



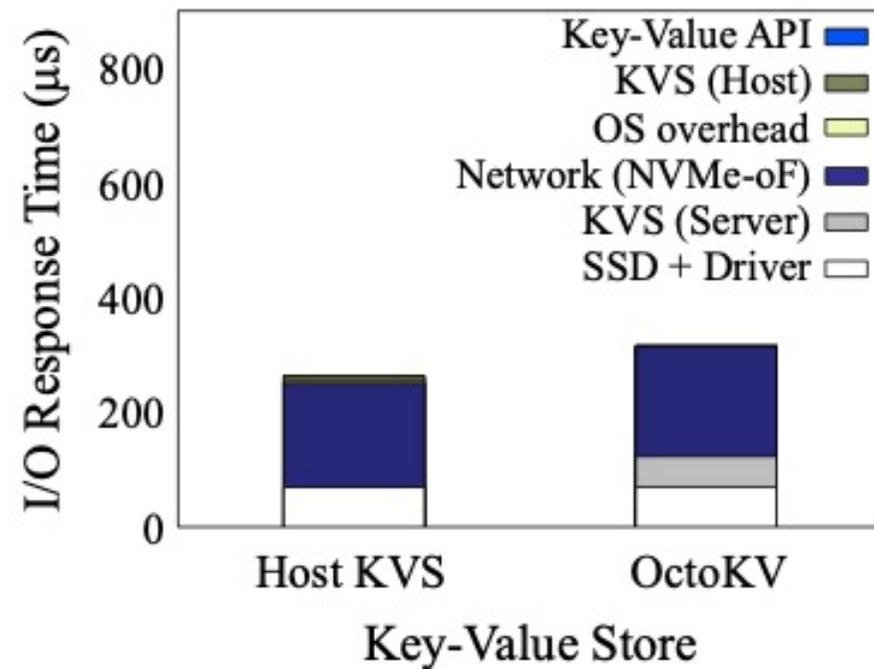
(b) Time Breakdown

The fsync() overhead of the DB file is quite large.

# Evaluation – Get Workload



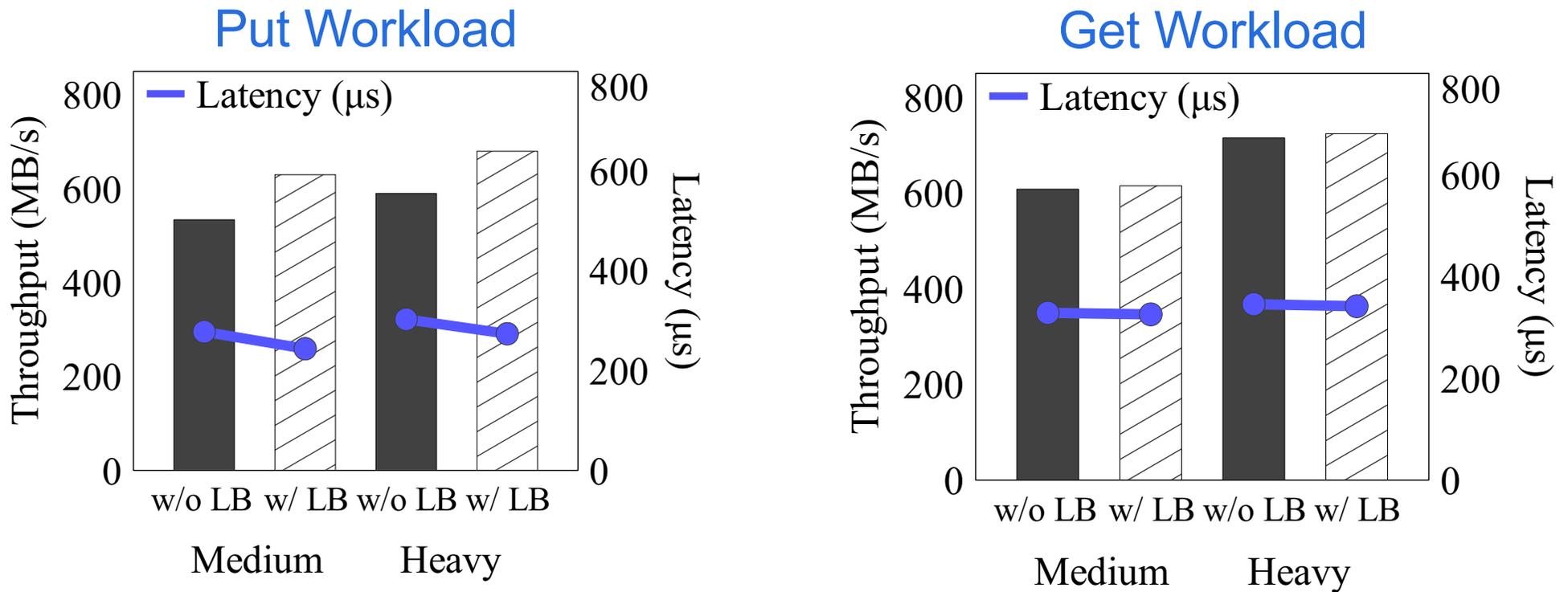
(a) I/O Response Time



(b) Time Breakdown

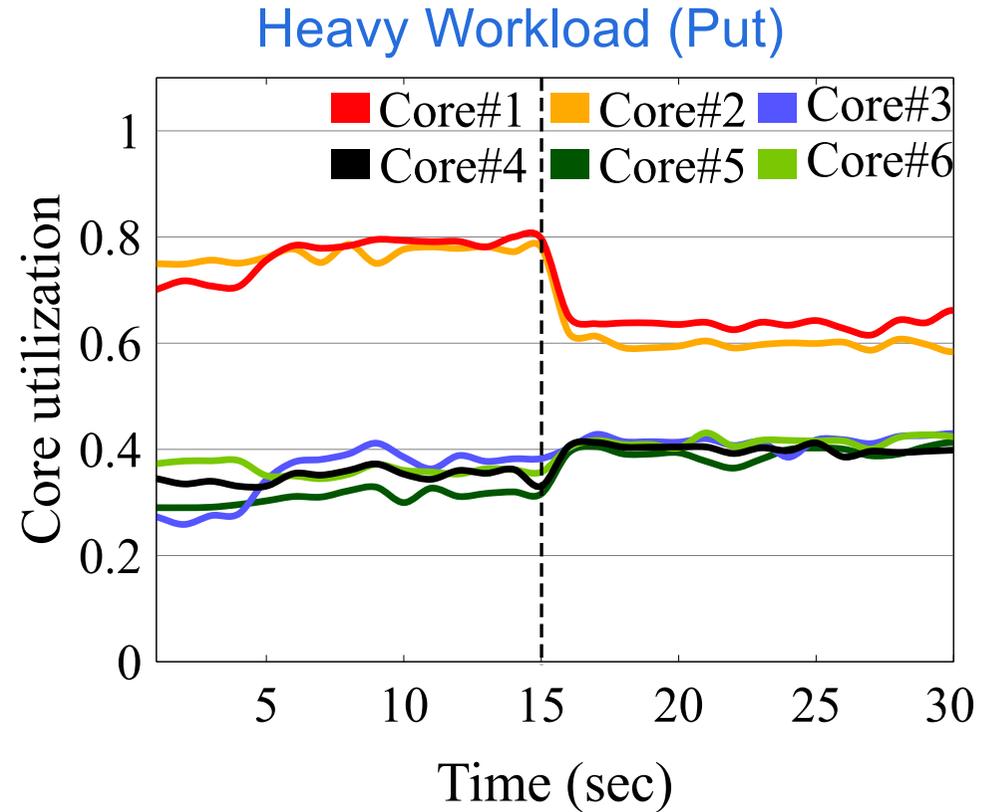
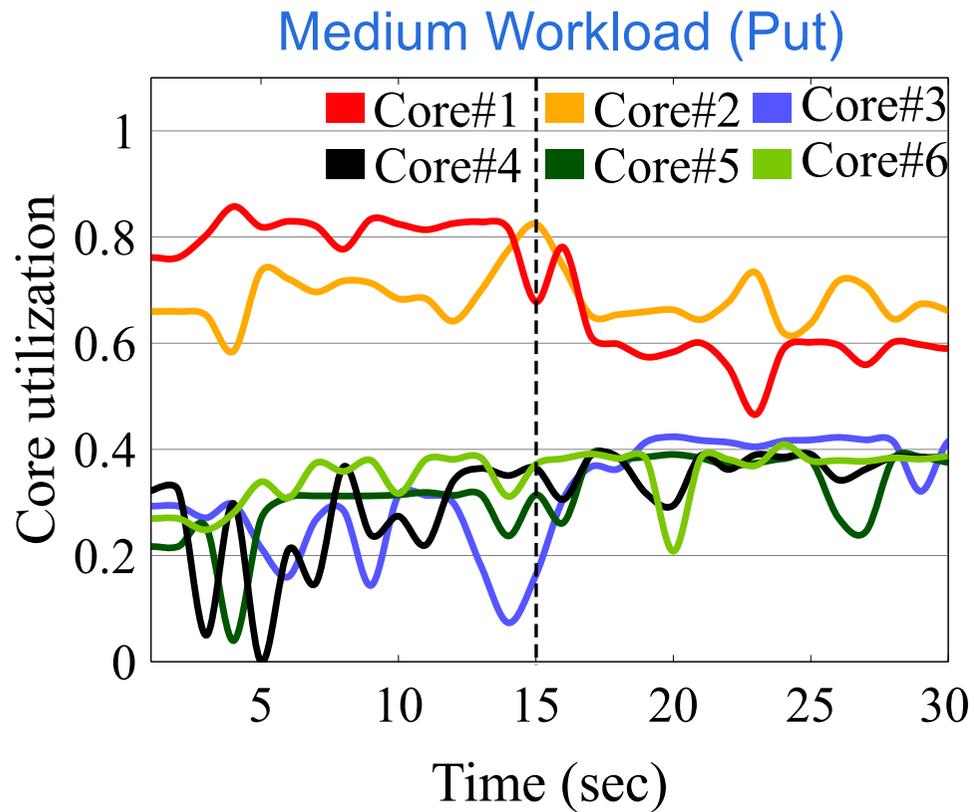
**Storage servers have low CPU performance and are slow to run key-value stores.**

# Evaluation – Load Balancing



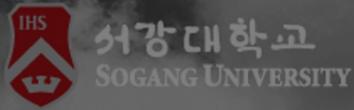
**The performance gain was significant in the Put workload.**

# Evaluation – Load Balancing



# Conclusion

- Proposed an **OctoKV, An Agile Network-Based Key-Value Storage System with Robust Load Orchestration**
- OctoKV is a **server-side key-value store** that leverages the SPDK capabilities for high-performance in disaggregated storage
- OctoKV has proposed a powerful **load-aware balanced I/O scheduling**



Thank You 😊  
*youkim@sogang.ac.kr*  
*Youngjae Kim*

